MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
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MIAMI UNIVERSITY
BOARD OF TRUSTEES
Minutes of the Board of Trustees Meeting
Oxford Campus, Marcum Conference Center, Rooms 180-186 Thursday, February 20, 2020

The Secretary to the Board of Trustees confirms that as specified in the Regulations of the Board of Trustees of Miami University, and in compliance with Section 121.22 of the Ohio Revised Code, due notice was given prior to holding this meeting of Miami University's full Board of Trustees.

The meeting was called to order at 11:30 a.m. in the Marcum Conference Center, on the Oxford Campus with Board Chair, David Budig, presiding. Roll was called with a majority of Trustees present, constituting a quorum. In addition to the Trustees, President Gregory Crawford, Provost Jason Osborne, Senior Vice President David Creamer, Senior Vice President Tom Herbert; Vice Presidents Jayne Brownell, Ron Scott, David Seidl, Brent Shock (interim), and Michele Sparks; General Counsel Robin Parker, and Director of Institutional Relations Randi Thomas, were present. Also present to address or assist the Board were; Director of Admission Bethany Perkins; and Ted Pickerill, Executive Assistant to the President, and Secretary to the Board of Trustees; along with many members of the Miami University community who were in attendance to observe, present and/or assist.

Roll call of Trustees:

Present:	C. Michael Armstrong (National Trustee)	John C. Pascoe
	David H. Budig	Diane Perlmutter (National Trustee)
	Megan Cremeans (Student Trustee)	Mark E. Ridenour
	Robert E. Coletti (National Trustee)	Rod Robinson
	Sandra D. Collins	Mary Schell
	Zachary Haines	Robert W. Shroder
	Will Kulis (Student Trustee)	Mark Sullivan (National Trustee)

Absent: Jeff Pegues (National Trustee)

Enrollment Update

Director of Admission Bethany Perkins updated the Board on the status of admissions for Fall 2020. She stated that applications are down 5%, primarily from international applicants, specifically from China. In the Mid-West, other schools are experiencing a similar downturn. Also, nationally, there is a 29% drop in Early Decision applications, and Early Action applications are down 3%.

She informed the Board that offers of admission are up; fewer students were deferred until May, and were offered admission sooner. The Admission Office also implemented completion initiatives, targeting students who had begun, but had not yet completed an application, this increased applications by over 300.

As with applications, confirmations are also down, due primarily to the reduction in Early Decision applications. She stated yield efforts, such as the Red Brick Roadshows, are currently underway.

Interim Vice President Brent Shock added to the international update. He stated that nationally, applications from China are down significantly. He informed the Board that Quest Holding International (QHI) is now representing Miami in China to help facilitate growing applications and increasing confirmations.

The coronavirus has had an impact. 24 students across all campuses could not return to Miami to start the Spring semester, due to quarantine. Miami is working with them to allow them to attend remotely, with some electing to defer the semester. In China, the coronavirus has necessitated the suspension of many college fairs and entry tests; Miami is continuing, during this waiting period, to train QHI so they are ready when China's college fairs begin again.

The enrollment presentation is included as Attachment A.

Capital Projects

Associate Vice President Cody Powell updated the Board on the status of major construction and capital projects. He stated Harris Hall work is moving quickly. Completion of work in Harris Hall is necessary to provide temporary space for the health center while the proposed Clinical Health Sciences and Wellness building is constructed at the current location of the health center. AVP Powell also provided an overview of other projects, including the proposed University Hall renovation in Hamilton. The University Hall work is to accommodate consolidation of the Regional Campuses' nursing program into a hub on the Hamilton Campus. The University Hall work will be completed in two stages.

Both the Clinical Health Sciences and Wellness building and the University Hall renovation, will be considered during the Finance and Audit Committee meeting, and are on the agenda for a vote by the full Board of Trustees at the meeting on Friday, February 21, 2020.

Associated materials are included as Attachment B.

Campus Planning

President Crawford presented the Miami RISE campus plan to create Corridors of Excellence to promote synergies across campus. The plan involves three key moves:

Construction of a Clinical Health Sciences and Wellness building
Construction of a Digital Innovation Center
Renovation of Bachelor Hall

The Clinical Health Sciences and Wellness building will be built at the current site of the health services building. It will house speech pathology, Oxford's current and proposed nursing programs, a proposed Physician's Assistant program, health services, and student wellness. The location creates a Clinical Corridor, with its proximity to Phillips Hall and kinesiology, the Goggin Ice Center, and the Recreational Services Center.

The Digital Sciences building will house statistics, mathematics, computer science and other similar departments and programs. It's location near the Engineering building, the Psychology building, and the Hughes and Pearson sciences buildings will create a STEM corridor

With mathematics and speech pathology moving from Bachelor, space is freed to locate other humanities in a renovated Bachelor Hall. With the honors dorms located on Western Campus near Bachelor Hall, a Humanities and Honors Corridor is created.

The corridors from the proposed construction, renovation and department moves, will join an Arts Corridor near the Performing Arts Center; a Social Sciences Corridor near Upham and Harrison Halls; a Business Corridor at the Farmer School of Business building; and an Education Corridor at McGuffey Hall.

The President discussed the cost and funding for the projects, and an optimistic timeline. He also provided an overview of the Regional Campuses' progress in consolidating resources to create an Engineering Tech Hub in Middletown, a Nursing Hub in Hamilton, and a Commerce Hub at VOA.

He concluded by discussing additional future possibilities, such as Public Private Partnerships for projects such as a hotel near Millett Hall, which would allow conversion of the Marcum Center into an Alumni Center.

The President was followed by Provost Osborne, Senior Vice President Creamer, and Senior Vice President Herbert, who spoke of advancing academic excellence, investing now to well-position Miami for the future, and building Miami's future through vision & philanthropy.

President Crawford's presentation is included as Attachment C.

Other Business

The Board returned to public session.

Adjournment of Meeting

With no other business to come before the Board, Trustee Ridenour moved, Trustee Haines seconded, and by unanimous voice vote, the Board adjourned at 1:30 p.m.

T. O. Pickerill II
Secretary to the Board of Trustees

Enrollment Update

Board of Trustees Meeting

February 20, 2020

MIAMI UNIVERSITY

Fall 2020 Applications

Residency

	2018	2019	2020	Δ 2019 to 2020
Non-Resident	17,814	16,097	15,230	-5.4%
Domestic Non-Resident	13,333	12,734	12,579	-1.2%
International	4,481	3,363	2,651	-21.2%
China	3,582	2,256	1,397	-38.1%
Ohio Resident	11,989	12,328	12,346	0.1%
Grand Total	**29,803**	**28,425**	**27,576**	**-3.0%**

Common Application Update

Application Trends by Size and Region

	Under 4,999	5,000 - 9,999	10,000 - 19,999	20,000 and Above
New England	-10.25%	-6.02%	1.47%	N/A
	12	7	9	N/A
Middle States	-5.54%	-0.75%	1.92%	7.53%
	12	18	9	6
Southern	-3.67%	-0.18%	16.68%	11.36%
	6	4	8	19
Midwestern	N/A	N/A	-3.35%	1.20%
	N/A	N/A	12	19
Western	N/A	N/A	N/A	12.03%
	N/A	N/A	N/A	10

Hobson's Naviance

Early applications are down year over year.

Nationally:

- Early Decision applications dropped a dramatic 29%
- Early Action applications are down 3%

Class Year	Early Action	Early Decision
2017	770,153	126,463
2018	889,998	138,694
2019	1,107,381	171,103
2020	1,074,346	121,903

Fall 2020 Admits

Residency

	2018	2019	2020	Δ 2019 to 2020
Non-Resident	11,008	11,170	11,222	0.5%
Domestic Non-Resident	10,215	10,437	10,524	0.8%
International	793	733	698	-4.8%
China	704	488	472	-3.3%
Ohio Resident	8,397	9,124	9,948	9.0%
Grand Total	**19,405**	**20,294**	**21,170**	**4.3%**

Data as of 02.03.2020

Fall 2020 Admits

Key Metrics

	2018	2019	2020
Admitted	19,405	20,294	21,170
ACT Best	28.9	28.9	28.5
GPA	3.89	3.90	3.92
Curriculum Strength	15.1	15.1	15.1
% Non-Resident	56.7%	55.0%	53.0%
% Student of Color	15.8%	16.3%	16.9%
% First Generation	11.8%	12.1%	13.1%

Data as of 02.03.2020

Fall 2020 Confirmations - All

Residency

	2018	2019	2020	Δ 2019 to 2020
Non-Resident	205	297	233	-21.5%
Domestic Non-Resident	203	290	226	-22.1%
International	2	7	7	0.0%
Ohio Resident	641	624	544	-12.8%
Grand Total	**846**	**921**	**777**	**-15.6%**

Data as of 02.03.2020

Yield Strategy Update

Events and Outreach

- Scholarships
- Honors Programs
- Graduate Program Promotion
- Financial Aid Counseling
- Outcomes Messaging by Locale
- Family Facebook Page
- Pathways
- **THE BEST** Events

Yield Events to Date

Increased Registration and Attendance

- 10 National Cities
- Attendance up 17%
- ~1,200 students and families

- 10 Spring Events
- Registration up 28%
- 2,357 students registered to date (+660)

Fall 2020 Applications

Residency

	2018	2019	2020	Δ 2019 to 2020
Non-Resident	17,814	16,097	15,230	-5.4%
Domestic Non-Resident	13,333	12,734	12,579	-1.2%
International	4,481	3,363	2,651	-21.2%
China	3,582	2,256	1,397	-38.1%
Ohio Resident	11,989	12,328	12,346	0.1%
Grand Total	**29,803**	**28,425**	**27,576**	**-3.0%**

Data as of 02.03.2020

International Update – Coronavirus

CNN World Africa Americas Asia Australia China Europe India Middle East United Kingdom LIVE TV Edition

Chinese students spend billions overseas. Coronavirus travel bans will leave some countries seriously out of pocket

By Julia Hollingsworth, CNN
Updated 8:12 PM ET, Sun February 16, 2020

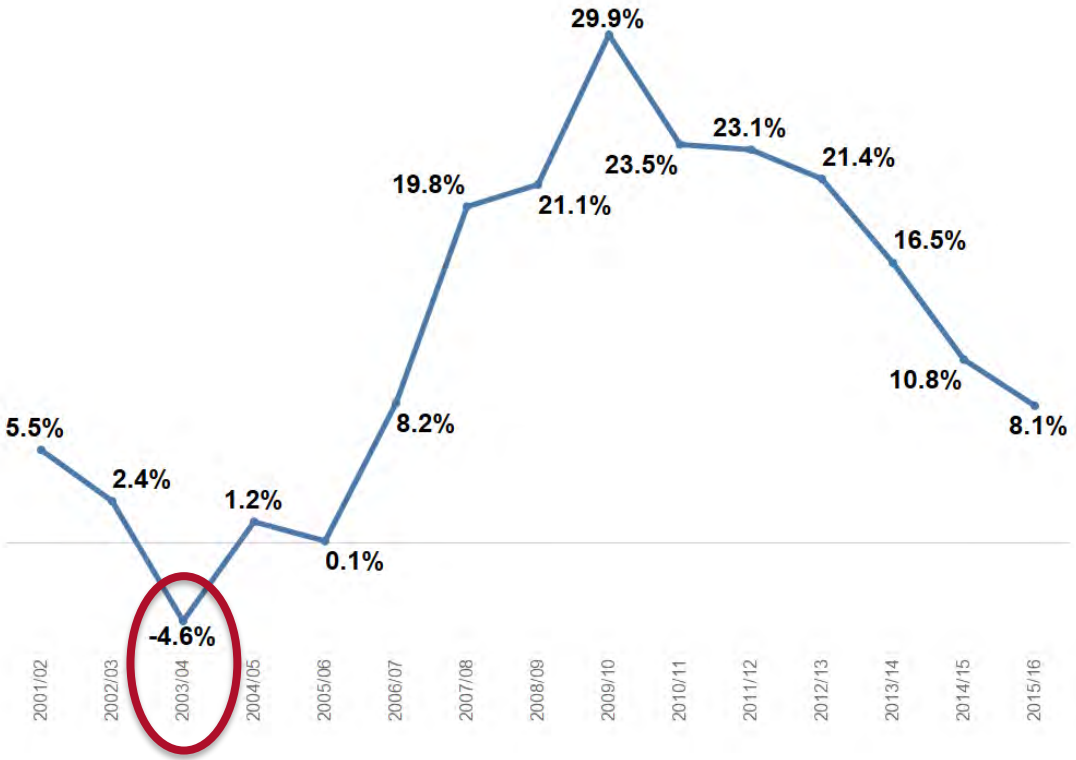
INTERNATIONAL - CORONAVIRUS

For universities that have come to rely on China, the coronavirus poses an unprecedented risk

BY NAOMI XU ELEGANT
February 9, 2020 11:00 AM EST

China Enrollment in US

% Change



China Update

- College fairs are all cancelled through February
  - March and April are unknown
- Schools and banks remain closed
- SAT, TOEFL, IELTS tests are cancelled
- Miami travel to China and Vietnam is cancelled

China Update

Miami's Response

- Make the most of the "downtime"
  - Online training with QHI
  - QHI working through counselors and agents
  - Exploring other test options for language proficiency
  - Focus on yielding 472 admits and 163 ACE
  - Online accepted student events March 15 & 16

International Update

Miami's Response

- Make the most of the "downtime"
  - Redoubling our efforts in other countries (Vietnam, India)
  - Work to complete incomplete applications
  - Extending our application deadline:

    February 1 ⇨ May 30 ⇨ June 30
  - Implemented a simplified, streamlined admission application

Questions

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

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Status of Capital Projects Executive Summary
February 20, 2020

1. Projects completed:

One major project was completed since the last report. The UTL Electric Feeder J and Feeder I Upgrade 2019 project completes a major electric utility conversion from 4,160 Volt distribution system to a modern 12,470 Volt system. This current project was one of many over the last decade in completing this strategic conversion. The new 12,470 Volt system is more efficient and adds substantial electrical capacity to our campus. In addition, the system was designed and installed to offer maximum redundancy to boost reliability of service. This last project impacted Campus Avenue Building, Williams Hall, Hanna House, Wells Hall, Goggin Ice Arena, and the Recreational Sports Center. The project was completed under budget. Nine projects under $500,000 were completed since the last report.

2. Projects added:

Six major projects and 23 projects under $500,000 were added since the last report. The Central Campus Hot Water Conversion and the South Quad Hot Water Conversion Phase 2 are important infrastructure projects supporting the Utility Master Plan. Both projects are expanding simultaneous heating and cooling, converting the legacy high-pressure steam system to more efficient heating hot water, and addressing deferred maintenance in several buildings on campus. Both projects are important elements in meeting strategic energy reduction and campus sustainability goals of decommissioning coal-fired steam systems by 2026. The King Library Renovation 2020 will reconfigure space on the lower level, first, and second floors to modernize and offer programming, meeting the needs of today's students. The South Quad Tunnel Top Phase 2 project continues necessary infrastructure upgrades in conjunction with building renovation projects. The University Hall Nursing Renovation provides substantial improvements to technology and quality of space for nursing on our Hamilton Campus. The project also addresses deferred maintenance in the existing building. Improvements in the space will align with technology and pedagogy expected in the new Clinical Health Sciences facility on the Oxford Campus. Finally, generous donor support has allowed us to begin design of a new indoor arena at our Equestrian Center. This is a welcome addition to this popular program.

3. Projects in progress:

The Harris Hall Renovation is a fast-paced project that began in December 2019. Demolition work occurred throughout the holiday season and early January. Work is progressing on schedule with framing and installation of mechanical, electrical, and plumbing systems. The student health services clinics, counseling and wellness are expected to move into this space in May 2020. Pearson Hall Phase 2 Renovation remains well ahead of schedule. Punchlist items are being addressed on the second and third floors. Casework is being installed on the first floor. The lower level is the least complete with flooring and ceiling installation underway. The renovation of Stanton Hall is progressing well. The third floor is the most complete with door hardware now being installed. The first and second floors are not too far behind with electrical and data devices being terminated. Restroom tile installation is continuing throughout the building. The project is comfortably tracking within schedule.

Respectfully submitted,

Cody J. Powell, PE
Associate Vice President –
Facilities Planning & Operations

Miami University
Physical Facilities Department
Status of Capital Projects Report

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Miami University
Physical Facilities Department
Status of Capital Projects Report

	Number of Projects	Value
Under Construction	**3**	**$55,200,000**
In Design	**6**	**$56,560,000**
In Planning	**6**	**$23,500,000**
Projects Under $500,000	**75**	**$18,946,683**
	Total	**$154,206,683**

New Projects Over $500,000

Central Campus Hot Water Conversion	**Page 12, Item 1**
Equestrian Center Indoor Arena	**Page 12, Item 2**
King Library Renovation 2020	**Page 13, Item 5**
South Quad Hot Water Conversion Phase 2	**Page 13, Item 4**
South Quad Tunnel Top Phase 2	**Page 10, Item 5**
University Hall Nursing Renovation	**Page 11, Item 6**

Projects Completed Since Last Report

UTL Electric Feeder J and Feeder I Upgrade 2019	**$1,225,000**
Total	**$1,225,000**

Miami University
Physical Facilities Department
Status of Capital Projects Report

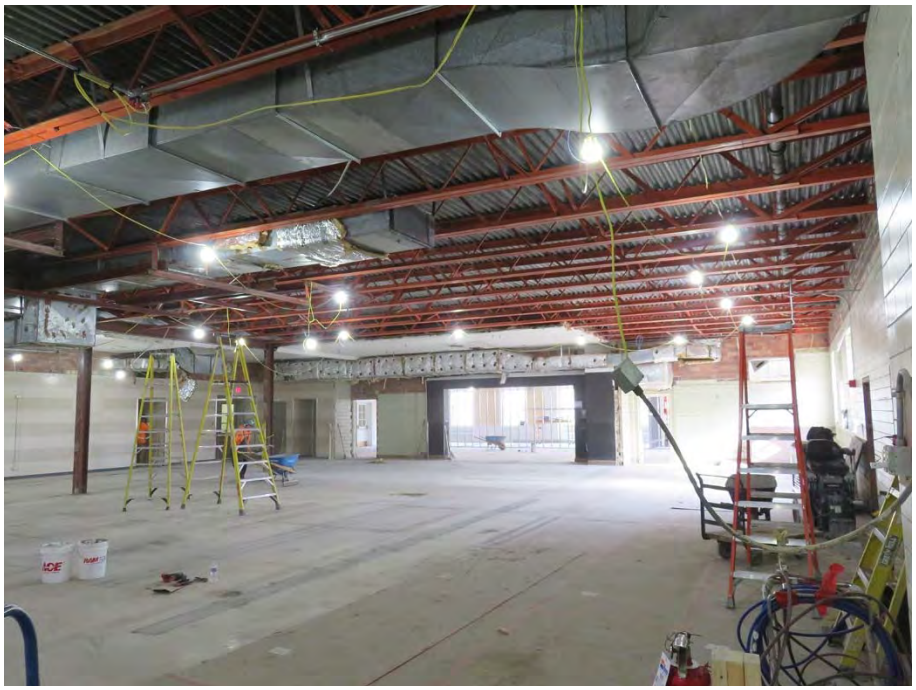
UNDER CONSTRUCTION

(Under Contract)
Projects Requiring Board of Trustees Approval

1. **Harris Hall Renovation: (Previous Report – In Planning)**
Heflin
This project is a Clinical Health Sciences facility enabling project. The new Clinical Health Sciences facility is slated to be built on the current site of the Health Services Center. Harris Hall will be renovated to house the health and counseling clinics and the student wellness center during the new facility construction. These operations will then move into the new Clinical Health Sciences facility upon its completion. The renovation will prepare the facility to be utilized for future swing space, which is a critical need for future planned renovation projects.

This renovation will include demolition of the old kitchen and east dining areas for construction of clinic exam and counseling rooms. The design includes improvements in the electrical, heating, cooling, plumbing and life safety systems and extends the life of the facility.

Demolition is complete. Framing and mechanical, electrical, and plumbing rough-in are in progress. The health services clinics are planned to move in May and begin operations in early June.



Under Construction

Miami University
Physical Facilities Department
Status of Capital Projects Report

Harris Hall Renovation (continued):

Delivery Method: Design Build

Project Cost	
Design and Administration	$390,000
Cost of Work	$5,410,000
Contingency	$265,000
Owner Costs	$135,000
Total	$6,200,000

Funding Source	
Local	$6,200,000
Total	$6,200,000

Contingency Balance: 100%
Construction Complete: 33%
Project Completion: May 2020

2. **Pearson Hall Renovation, Phase 2:**

Porchowsky

This project is to complete the balance of the renovation of Pearson Hall for the biological sciences, including the Departments of Biology and Microbiology. This phased, occupied renovation will address deferred maintenance issues with the facility through the installation of new and efficient mechanical, electrical, data, and fire suppression systems. The project also includes lab safety improvements. The project will be occupied during renovations.

Phase 2 will complete the remaining 50% of the necessary heating, cooling, and lab exhaust systems; upgrade electrical switchgear, modernize the public areas, remaining lab classrooms and research laboratories. This project will be completed in two, year-long segments ending August 2020. Research teams for this first segment have moved from their laboratories in Pearson to the swing space located in the Hughes Hall C-Wing.

The construction team is working down through the building completing punch-list items on the 2nd and 3rd floors, installing lab casework on the 1st floor and completing flooring and ceiling installation on the lower level. This project is tracking well ahead of schedule.

Under Construction

Miami University
Physical Facilities Department
Status of Capital Projects Report

Pearson Hall Renovation, Phase 2 (continued):

Delivery Method: Design-Build

Project Cost	
Design and Administration	$2,571,630
Cost of Work	$25,238,135
Contingency	$1,500,000
Owner Costs	$690,235
Total	$30,000,000

Funding Source	
State	$19,523,586
Local	$10,476,414
Total	$30,000,000

Contingency Balance: 28%
Construction Complete: 93%
Project Completion: August 2020

Under Construction

Miami University
Physical Facilities Department
Status of Capital Projects Report

3. Stanton Hall Renovation:

Heflin

This project will renovate Stanton Residence Hall as a continuation of the 2010 Long Range Housing Master Plan. Stanton Hall will receive an upgrade in the mechanical systems, fire suppression, energy efficiency, and minor interior renovations. The design includes improvements in the heating, cooling, electrical, life safety systems and building envelope. The renovation extends the life of the facility.

The construction team is completing the third floor lighting and door hardware installation, along with testing and control of fan coil units. Electrical and data devices are being installed and terminated on the first and second floors. Restrooms tile installation is ongoing. The project is tracking on schedule and on budget.

Delivery Method: Design-Build

Project Cost	
Design and Administration	$1,111,000
Cost of Work	$16,000,000
Contingency	$1,089,000
Owner Costs	$800,000
Total	$19,000,000

Funding Source	
Bonds	$1,500,000
Local	$17,500,000
Total	$19,000,000

Contingency Balance: 69%
Construction Complete: 62%
Project Completion: August 2020

Under Construction

Miami University
Physical Facilities Department
Status of Capital Projects Report

UNDER CONSTRUCTION
(Under Contract)
Projects Between $500,000 and $2,500,000

No Projects This Report

Miami University
Physical Facilities Department
Status of Capital Projects Report

IN DESIGN
(Pre-Contract)

1. Clinical Health Sciences Facility:

Heflin

A Programming Committee comprised of faculty, administration and Physical Facilities staff was convened in January 2019 to determine the program of the facility. The team is working with the Criteria AE firm of Moody Nolan and Perkins and Will to develop the Program of Requirements, assist in site selection analysis, and provide Conceptual and Schematic Design.

The health services and counseling clinics and the student wellness organization are included in the health sciences facility, along with the Speech Pathology and Audiology clinic and academic program, Nursing, and the new Physician Assistant program. The Clinical Health Sciences facility site is to be built on the existing footprint of the health and counseling center and adjacent parking lot. This location will require relocating the clinic operations to Harris Hall while the new facility is under construction. The project's $96,000,000 budget includes the $6,200,000 Harris Hall renovation budget which was previously approved by the Board of Trustees. Some of the program spaces include simulation and skills labs, a standardized patient clinic, speech and audiology research labs, gross anatomy and health innovation labs, as well as classrooms and faculty/staff offices.

The project is completing the Schematic Design phase. Messer Construction, the design-build firm, will commence with Design Development and into Construction Documentation, which includes early site utility packages. These packages are being developed simultaneously to prepare for the Health Services Center demolition and site construction to begin in June 2020.

Delivery Method: Design-Build

Proposed Budget: $96,000,000
Desired Start: Summer 2020
Desired Completion: Summer 2022

Funding Source	
TBD	TBD
Total	TBD

2. Dodds Hall Renovation:

Morris

This project will renovate Dodds Residence Hall at the northeast corner of the South Quad as a continuation of the 2010 Long Range Housing Master Plan. This will be the last of the South Quad halls to be renovated. Dodds Hall will receive an upgrade very similar to what was completed at Porter Hall – mechanical systems, energy efficiency, finishes and accessibility. The design includes improvements in the heating, cooling, plumbing and life safety systems, as well as the building envelope. The renovation extends the life of the facility.

The GMP negotiation has been completed. The Design-Build team has bid the work and will begin ordering long lead time materials for the project. The renovation is expected to begin in June 2020 and will continue over the 2020-2021 school year.

Miami University
Physical Facilities Department
Status of Capital Projects Report

Dodds Hall Renovation (continued):

Delivery Method: Design-Build

Proposed Budget: $17,600,000
Desired Start: June 2020
Desired Completion: August 2021

Funding Source	
Local	$17,660,000
Total	$17,660,000

3. High Street Safety Enhancements:

Christian

This project will enhance pedestrian safety on High Street between Patterson Avenue and Campus Avenue, and also on Patterson Avenue between State Route 73 and Withrow Street. The project includes refuge islands, planted medians, safety signals, lighting, sidewalks, and reducing the number of crosswalks.

In the spring of 2018, the City of Oxford and Miami University jointly applied for and were awarded a transportation safety grant in the amount of $1 Million from the Ohio Department of Transportation. The grant requires a minimum 15% local match for construction.

The City of Oxford and the University have developed a Memorandum of Understanding identifying the roles of staff and financial contributions from each entity. The University will perform the majority of design, project management, and day-to-day construction administration, with the City acting as the official Local Public Agency during bidding and construction. The University will fund the local construction match, design and administration fees, and contingency for the safety enhancements. The City will provide the local construction match and perform the design for the paving portion of the project.

The work will also include additional islands on Patterson and North Patterson Avenue to ensure the safety of pedestrians throughout this corridor. The project replaces the sidewalk along the entire south side of High Street between Patterson Avenue and Campus Avenue.

Bids will be received by the City of Oxford February 7th and are scheduled to be presented to and approved by Oxford City Council on February 18th.

Delivery Method: Single Prime Contractor

Proposed Budget: $3,900,000
Desired Start: May 2020
Desired Completion: August 2020

Funding Source	
Grant Funds (ODOT to City of Oxford)	$1,000,000
Local	$2,900,000
Total	$3,900,000

In Design

Miami University
Physical Facilities Department
Status of Capital Projects Report

4. Ogden Hall / Bell Tower Place Dining Renovation:

Morris

This project will renovate Ogden Residence Hall and Bell Tower Place Dining Hall, both within the same building. This is a continuation of the 2010 Long Range Housing Master Plan. Ogden Hall will receive an upgrade in the mechanical systems, fire suppression, energy efficiency, finishes, interior renovations and accessibility. The design includes improvements in the heating, cooling, plumbing, electrical, life safety systems, as well as the building envelope. The renovation extends the life of the facility.

The existing Dining Hall will be remodeled to provide a contemporary and modernized dining facility meeting the needs and expectations of the students. It will feature flexible food offering stations that may easily be converted without replacing equipment. The renovated facility will be operated as a buffet-style venue and feature expanded and unique menus, visible food prep areas, and will create an environment that has the same quality that is present in the newer dining facilities. A C-Store (convenience store) will be moved outside of the dining zone and will offer popular pre-packaged food options for grab-and-go, dry goods, and refrigerated goods.

A criteria architect has been selected. The selection process for the Design-Build team is underway and will be completed in February 2020.

Delivery Method: Design-Build

Proposed Budget: $23,000,000
Desired Start: May 2021
Desired Completion: August 2022

Funding Source	
Local	$23,000,000
Total	$23,000,000

5. South Quad Tunnel Top Phase 2: (New Project This Report)

Morris

The utilities around Miami's campus utilize tunnels in many areas. These tunnels allow for easier maintenance and upgrade of the utility distribution systems. The tunnels across campus vary greatly in age and condition. The tunnel tops are being updated in phases with a new, more efficient top design. The tunnels in the South Quad are circa 1960. This project will replace the tunnel tops on the east side of the quadrangle from Center Drive to Harris Hall's breezeway. The project will also repair portions of the pipe insulation inside this tunnel. This project will complete the tunnel replacements in the South Quad area. Other tunnel tops were completed in conjunction with the adjacent residence hall renovations.

Delivery Method: Design-Build
Proposed Budget: $2,000,000
Desired Start: December 2020
Desired Completion: August 2021

Funding Source	
Local	$2,000,000
Total	$2,000,000

In Design

Miami University
Physical Facilities Department
Status of Capital Projects Report

6. <u>University Hall Nursing Renovation:</u> (New Project This Report)

Porchowsky

This project will renovate University Hall to provide students and faculty a state-of-the-art educational facility with high fidelity simulation and skills laboratory spaces, flexible and technologically-integrated classrooms and study spaces, as well as the necessary administrative and faculty support spaces need to support the program.

The renovation of University Hall will focus on three primary priorities, building infrastructure upgrades and deferred maintenance, interior renovations and improvements, and exterior enhancement. This two-phased project is planned to begin in May 2020 and complete in February 2021.

Delivery Method: Design-Build

Proposed Budget: $10,000,000
Desired Start: May 2020
Desired Completion: February 2021

Funding Source	
Local	$10,000,000
Total	$10,000,000

In Design

Miami University
Physical Facilities Department
Status of Capital Projects Report

IN PLANNING
(Pre-A&E)

1. <u>Central Campus Hot Water Conversion:</u> (New Project This Report)

Van Winkle

As part of the Campus Utility Master Plan, the Central Campus Hot Water Conversion Project will convert a large portion of the central quadrangle of campus from steam to hot water. This project includes the creation of a new satellite campus heating plant to be located in the basement of Upham Hall. Hot water piping will be extended from this new satellite campus heating plant to the buildings in central area of campus. The project will also create redundant connections to the existing distribution piping from the South Chiller Plant. The cross connection between the two plants will improve robustness and reliability for both the South Chiller Plant and the new Central Campus Utility Plant. The new hot water piping will be located in the existing tunnel systems and will be direct buried in other locations. Hot water connection and conversion of Ogden is occurring as that building is being renovated. Hot water connection and conversion to Williams, Bonham House, Warfield, MacMillan, Kreger, Irvin, Alumni, Bishop, McGuffey, Hall Auditorium, King Library, Harrison and Upham will occur as part of this project.

This project is a key step in the Utility Master Plan to meet strategic energy reduction and campus sustainability goals of decommissioning coal-fired steam systems by 2026. The scope of the project includes direct buried hot water piping, direct buried chilled water piping and converting the buildings named above from steam heating to hot water heating. The change from steam to hot water will improve both the efficiency and reliability of heating the central portion of the campus.

The Design Architect/Engineer selection process is currently underway.

Delivery Method: Construction Manager at Risk

Proposed Budget: $12,000,000
Desired Start: February 2021
Desired Completion: September 2022

Funding Source	
Local	$12,000,000
Total	$12,000,000

2. <u>Equestrian Center Indoor Arena:</u> (New Project This Report)

Christian

This project will construct an indoor arena at the Equestrian Center consistent with the 2012 Equestrian Center master plan. The building will be a pre-engineered metal building enclosing a 120’ x 250’ riding area with bleacher seating for approximately 200 spectators.

The selection process for the Design-Builder is ongoing with final selection expected in early March 2020.

Delivery Method: Design-Build

Proposed Budget: $3,800,000
Desired Start: June 2020
Desired Completion: January 2021

Funding Source	
TBD	$3,800,000
Total	$3,800,000

Miami University
Physical Facilities Department
Status of Capital Projects Report

3. Hamilton Campus – Knightsbridge Building Renovation:

This project will provide for the renovation of the recently acquired 23,500 square feet Richard Allen Academy building located on the Hamilton Campus at the intersection of Knightsbridge Drive and University Boulevard in Hamilton. A facility assessment to be used in developing program and renovation cost has been completed. The assessment has identified the need for mechanical/electrical upgrades as part of the renovation, reporting approximately $4,000,000 in probable cost. A recent professionally-prepared campus space plan is contributing to the programmed scope of this project.

Planning is underway to align the campus space requirements, academic priorities, and existing facilities condition/needs.

Proposed Budget: TBD
Desired Start: TBD
Desired Completion: TBD

Funding Source	
TBD	TBD
Total	TBD

4. Innovation/STEM Building:

Christian

This project is performing programming related to innovation, multidisciplinary project-based work, and instilling a sense of leadership and entrepreneurship in our students. The possibility of renovation or new construction is being evaluated. A Programming Committee with representation from areas of STEM, data science, entrepreneurship, Interactive Media, and design thinking was convened in January 2019. The University has hired a Criteria AE firm to develop the program of requirements, assist in potential site selection, and provide conceptual design.

The Criteria AE firm is under contract, but commencement of the programming phase is on hold pending further direction by senior administration.

Proposed Budget: $50,000,000
Desired Start: To Be Determined
Desired Completion: To Be Determined

Funding Source	
TBD	TBD
Total	TBD

5. King Library Renovation 2020:

Christian

This project comprises interior renovations of the ground, first, and second floors of King Library. The lower level will include reconfiguration and upgrades to the existing café including casework, finishes, furnishings, and some food service equipment. The first floor will include reconfiguration and finish upgrades to existing spaces including the lobby, access services, various offices, and restrooms. The project also includes the creation of new distinct areas within the existing open stack space, including a maker space. The second floor will be limited to minor alterations as required to accommodate shifting of existing services and programs between floors.

The selection process for the Architect/Engineer is ongoing with final selection expected in mid-March 2020.

Delivery Method: Single Prime Contracting

Proposed Budget: $3,800,000
Desired Start: May 2021
Desired Completion: January 2022

Funding Source	
Local	$3,700,000
Total	$3,700,000

In Planning

Miami University
Physical Facilities Department
Status of Capital Projects Report

6. <u>South Quad Hot Water Conversion Phase 2:</u> (New Project This Report)

Van Winkle

As part of the Campus Utility Master Plan, the South Quad Hot Water Conversion Phase 2 will extend hydronic heating and cooling infrastructure from the recently completed South Quad Hot Water Conversion project. The hydronic infrastructure installed under this project will serve the Campus Avenue Building and be sized to serve future buildings in the newly formed Health District of campus. The hydronic infrastructure is planned to eventually extend North across Spring Street ultimately connecting to the future Central Campus Utility Plant. This extension will occur in a future project under the Utility Master Plan. The cross connection will improve robustness and reliability of the existing South Chiller Plant and the future Central Campus Utility Plant. In addition, the project is budgeted to convert Hanna House to heating hot water. The team is evaluating if an appropriate return on investment exists to advance this component of the work.

This project is a key step in the Utility Master Plan to meet strategic energy reduction and campus sustainability goals of decommissioning coal-fired steam systems by 2026. The scope of the project includes direct buried hot water piping, direct buried chilled water piping, converting Campus Avenue Building from steam heating to hot water heating, removing an air-cooled chiller from the building and converting the cooling system to use campus chilled water. Both the change from steam to hot water and the change from stand-alone air-cooled chiller to campus chilled water will improve the efficiency and reliability of heating and cooling the building.

Delivery Method: Construction Manager at Risk

Proposed Budget: $4,000,000
Desired Start: March 2020
Desired Completion: September 2020

Funding Source	
Local	$4,000,000
Total	$4,000,000

In Planning

Miami University
Physical Facilities Department
Status of Capital Projects Report

COMPLETED PROJECTS

1. UTL Electric Feeder J and Feeder I Upgrade 2019:

Fellman

The UTL Electric Feeder J and Feeder I Upgrade 2019 project upgraded the existing 4,160 Volt electric feeder J and I to 12,470 Volt. This project completed the conversion from the 4,160 Volt electric distribution system to the new 12,470 Volt electric system. The 12,470 Volt distribution system has increased the electric capacity on campus while also creating a more reliable electric looped distribution system.

The project included new underground electric feeders and duct-bank. In addition to the upgraded feeder, a new electrical substation was installed in the Campus Avenue Building, new pad mounted transformers were installed for Williams Hall and Bonham House, and a new 12,470 Volt Electrical Switch was installed behind Hanna House. Hanna House and Wells Hall were refed from a relocated step-down electrical transformer. Finally, a new feeder was installed to serve Goggin Ice Arena and Recreational Sports Center to balance out existing circuits with new 12,470 Volt feeds to the buildings.

This project was completed on schedule and under budget.

Delivery Method: Single Prime Contractor

Project Revenue	
Design and Administration	$70,000
Cost of Work	$1,045,000
Contingency	$100,000
Owner Costs	$10,000
Total	$1,225,000

Project Expense	
Design and Administration	$69,246
Cost of Work	$1,018,289
Contingency	$0
Owner Costs	$10,000
Total	$1,097,535

Est. Contingency Balance Returned: $100,000
Est. Contingency Balance Returned, Percent of Total: 100%
Est. Bid Savings / VE Returned: $27,465
Est. Final Total: $127,465

Miami University
Physical Facilities Department
Status of Capital Projects Report

Projects Between $50,000 and $500,000

Project	Budget
Alumni Hall Graduate Studio	$253,800
Armstrong Student Center – Elevator Upgrade 2019	$104,000
Armstrong Student Center – Esports Café 2019	$306,900
Armstrong Student Center – Smoothie Bar	$191,360
Armstrong Student Center – Sushi Bar	$71,075
Bachelor Hall – Emergency Generator Replacement 2019	$77,143
Bonham House – Interior Refresh	$110,075
Boyd Hall – Fire Alarm Upgrade 2020	$105,000
Brandon Hall – HVAC Upgrades	$499,090
Cole Service Building – Purchasing Renovation 2017	$400,000
Collins Hall – HVAC Upgrades	$359,677
Dennison Hall – HVAC Upgrades	$500,000
Dorsey Hall – Ventilation AHU Upgrade 2020	$210,000
Dorsey Hall – Ventilation DOAS Upgrade 2020	$418,000
E & G Buildings – LED Retrofits 2020	$350,000
Engineering Workshop – State Route 73 Renovation 2018	$275,000
Farmer School of Business – Building Envelope Study	$54,037
Flower Hall – Ventilation AHU Upgrade 2020	$243,000
Flower Hall – Ventilation DOAS Upgrade 2020	$485,000
Garden Commons – Dishwasher Improvements 2019	$200,000
Goggin Ice Center – Arena Lighting Upgrade 2020	$480,000
Goggin Ice Center – Pad A Ceiling Clean 2020	$200,000
Goggin Ice Center – Pad B Lighting Upgrade 2020	$220,000
Hahne Hall – Addition Ventilation Upgrade 2020	$330,000
Hahne Hall – Ventilation AHU Upgrade 2020	$245,000
Hahne Hall – Ventilation DOAS Upgrade 2020	$245,000
Hamilton Campus – Mosler Hall E-Campus Expansion	$500,000
Hamilton Campus – Rentschler Library Additional Study Rooms	$85.000
Hepburn Hall – HVAC Upgrades	$500,000
Hoyt Hall Partition Walls	$80,000
Hoyt Hall UPS Upgrade 2020	$205,000
Hughes Hall – Exterior Door Replacement	$200,000
Indoor Sports Center LED Conversion 2020	$290,000
King Library – Howe Renovation	$60,305
King Library – Room 216 Office Addition	$80,000
Lewis Place – Exterior repairs	$250,000
MacFarland Hall – Fresh Air Upgrade 2020	$496,000
Marcum Conference Center – Fresh Air Upgrade 2020	$490,000
Marcum Conference Center – Wallcovering Removal 2019	$219,350
McBride Hall – Ventilation Upgrade 2020	$382,000
McGuffey Hall – Room 406 Renovation	$100,000
Miami Inn – Roof Replacement 2020	$300,000
Middletown Campus – Johnston Hall Career Services Offices	$500,000
Middletown Campus – Thesken Hall Fire Suppression	$285,300
Morris Hall – Shower Surrounds	$250,000

Miami University
Physical Facilities Department
Status of Capital Projects Report

MUO Campus Services Chimney Repairs (Morris, Havighurst, Symmes)	$200,000
MUO Campus Services Exterior Painting 2019	$192,000
MUO Campus Services Exterior Painting 2020	$173,000
MUO Dead Tree Removal 2019	$252,837
MUO E & G Exterior Painting 2019	$150,000
MUO Masonry & Limestone Repairs 2019	$124,461
MUO Misc ADA Projects	$100,000
MUO Rental Demos 2020	$88,000
North Campus Garage Improvements 2019	$365,000
Old Manse – Electric Service Upgrade 2019	$60,000
Peabody Hall – Electrical Distribution Improvement 2019	$78,776
Peabody Hall – Restroom Upgrades 2019	$499,000
Phillips Hall – Exterior Door Replacement	$50,000
Police Services Center Renovation 2020	$208,000
Recreational Sport Center – Natatorium Lighting Replacement 2018	$200,000
Residence Halls – Ventilation A/E Fees	$290,000
Roudebush Hall – Chiller Boiler and Humidifier Upgrade 2020	$440,000
Simpson Shade House Renovation 2019	$100,000
Steam Plant – Wartsila 800 hour PM 2019	$200,000
Symmes Hall – HVAC Upgrades	$498,982
Tappan Hall – Fire Suppression System 2019	$285,300
University Stables – Estimate on Fence Sections	$100,000
University Stables – Equestrian Center Erosion Control 2019	$500,000
Utility Enterprise Plant Security Upgrades	$500,000
Utilities – DHRC Piping Improvements 2020	$246,000
Utilities – Pad Switch Replacement 2020	$320,000
VOA – Exterior Repairs	$100,000
Western Dining Commons – Express Renovation	$98,000
Yager – Field Hockey Locker Room Upgrade	$225,000
Yager West Shelter	$181,130

Projects Closed Between $50,000 and $500,000

Project	Original Budget	Returned Funds
Campus Services – Roof Repairs 2019 – Heritage Commons and Stoddard Hall	$190,000	$50,852
Center for Career Exploration & Success Renovation Phase 1	$241,300	$62,015
E & G Buildings – LED Retrofits 2019	$350,000	$4,568
Gross Family Athlete Development Center – One Stop	$100,000	3,106
Millett Hall – Gym Floor Replacement 2019	$400,000	$9,530
North Chiller Plant – Side-Steam Filtration for Condenser Water	$115,000	$12,724
Recreational Sports Center – Ceiling Pad Replacement	$123,536	$2,052
Recreational Sports Center – Exterior Sealant Replacement	$90,000	$38,965
Regional Campuses – Classroom AV Tech Upgrades 2018	$65,650	$1,277

Miami University
Physical Facilities Department
Status of Capital Projects Report

Glossary of Terms

Construction Manager at Risk (CMR) – is a delivery method which entails a commitment by the construction manager to deliver the project within a Guaranteed Maximum Price (GMP). The owner contracts the architectural and engineering services to perform the design from concept through construction bid documents using the construction manager as a consultant. The construction manager acts as the equivalent of a general contractor during the construction phase. CMR arrangement eliminates a "Low Bid" construction project. This method will typically be used on projects with high complexity and demanding completion schedules.

Contingency – includes both owner contingency and the D/B or CMR contingency where applicable.

Cost of the Work – is the cost of construction. This includes general condition fees, contractor overhead and profit, D/B or CMR construction stage personnel.

Design & Administration – includes all professional services to support the work. This consists of base Architect/Engineer (A/E) fees, A/E additional services, A/E reimbursables, non-error/omission A/E contingency fees, geotechnical services, special inspection services partnering services, multi-vista photo documentation of projects, D/B or CMR pre-construction services, third party estimator, and local administration fees.

Design Build (D/B) – is a project delivery method in which the design and construction services are contracted by a single entity and delivered within a Guaranteed Maximum Price (GMP). Design Build relies on a single point of responsibility contract and is used to minimize risks for the project owner and to reduce the delivery schedule by overlapping the design phase and construction phase of a project. This method will typically be used on projects with less complexity and have demanding completion schedules.

Guaranteed Maximum Price (GMP) – is the negotiated contract for construction services when using D/B or CMR. The owner negotiates a reasonable maximum price for the project (or component of the project) to be delivered within the prescribed schedule. The D/B firm or CMR is responsible for delivering the project within the agreed upon GMP. This process eliminates bidding risks experienced by the owner, allows creative value engineering (VE) to manage the budget, and permits portions of the work to begin far earlier than traditional bidding of the entire project.

Multiple Prime Contracting – is a project delivery method historically allowed by the State of Ohio. The owner contracts the architectural and engineering services to perform the design from concept through construction bid documents. The construction services are divided into various trade specialties – each bid as a separate contract (general, plumbing, mechanical, electrical, sprinkler, etc.). The owner is responsible for managing the terms of each contract and coordinating the work between the multiple contractors.

Owner Costs – are costs directly borne by the owner to complete the project. This includes furniture, fixtures, and equipment (FF&E), audio/visual (A/V), IT networking, percent for art (applicable on State funded projects exceeding $4 million), printing and advertising expenses, and any special moving or start-up funds.

Preconstruction Services – are the development and design services provided by a D/B firm or CMR to the owner. These services are typically performed for an identified cost prior to the negotiation of a GMP. These services are included in “Design and Administration.”

Single Prime Contracting – is a project delivery method in which the owner contracts the architectural and engineering services to perform the design from concept through construction bid documents. The construction services are contracted separately, but through a single entity. Single Prime Contracting is beneficial on projects with specialized construction requiring more owner oversight or control. This method will typically be used on projects with high complexity and low schedule importance.

Status of Capital Projects Updates

February 20, 2020

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Harris Hall Renovation

Project Cost: $6,200,000
Completion Date/% Comp: May 2020/33%
Contingency/Balance: $265,000/100%

Cost of Work: $5,410,000
Project Delivery Method: Design-Build

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Pearson Hall Renovations, Phase 2

Project Cost: $30,000,000
Completion Date/% Comp: August 2020/93%
Contingency/Balance: $1,500,000/28%

Cost of Work: $25,238,135
Project Delivery Method: Design-Build

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Pearson Hall Renovations, Phase 2

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Stanton Hall Renovation

Project Cost: $19,000,000
Completion Date/% Comp: August 2020/62%
Contingency/Balance: $1,089,000/69%

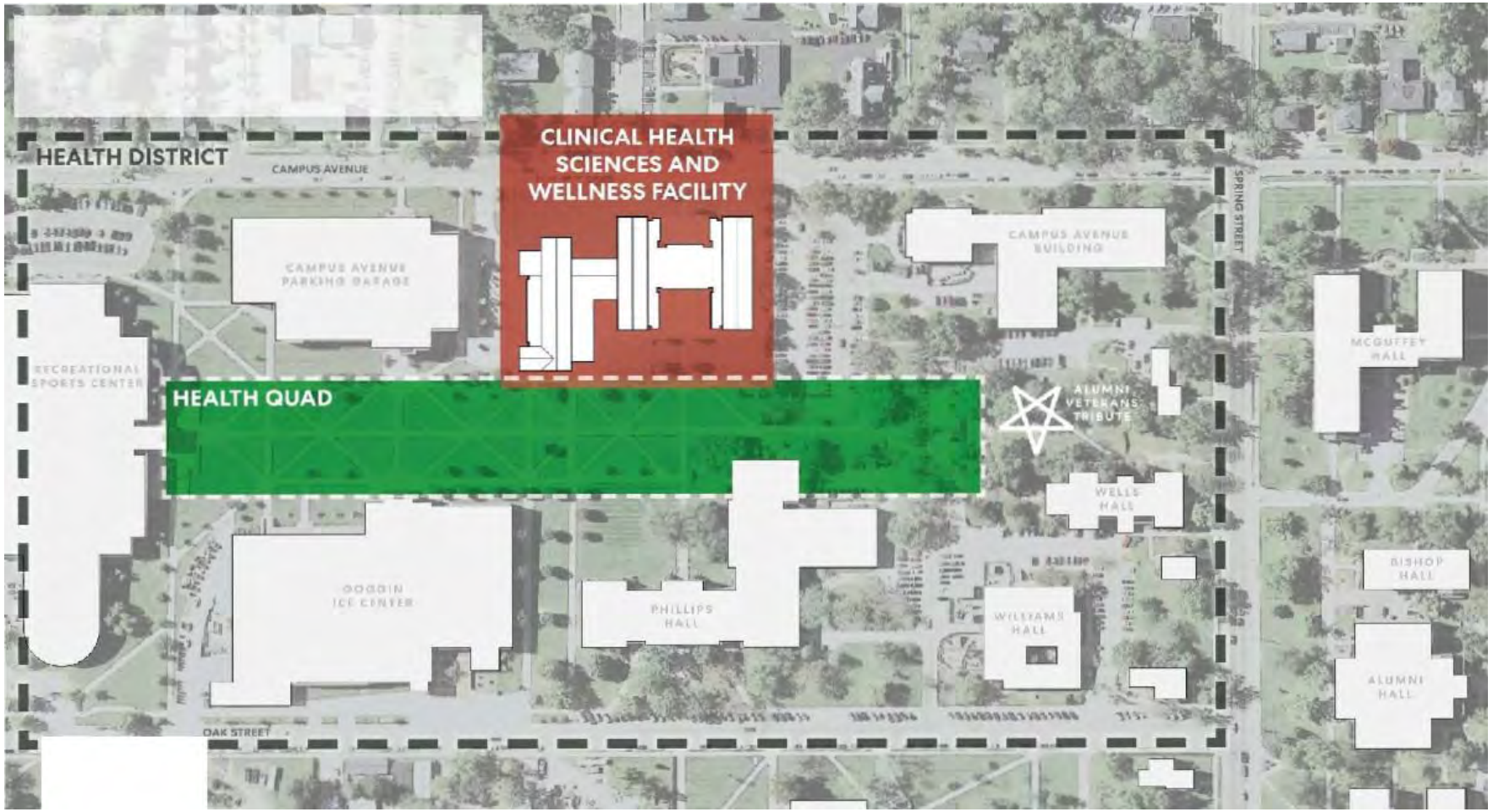
Cost of Work: $16,000,000
Project Delivery Method: Design-Build

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Stanton Hall Renovation

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Clinical Health Sciences and Wellness



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PHYSICAL FACILITIES DEPARTMENT

Clinical Health Sciences and Wellness

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Clinical Health Sciences and Wellness

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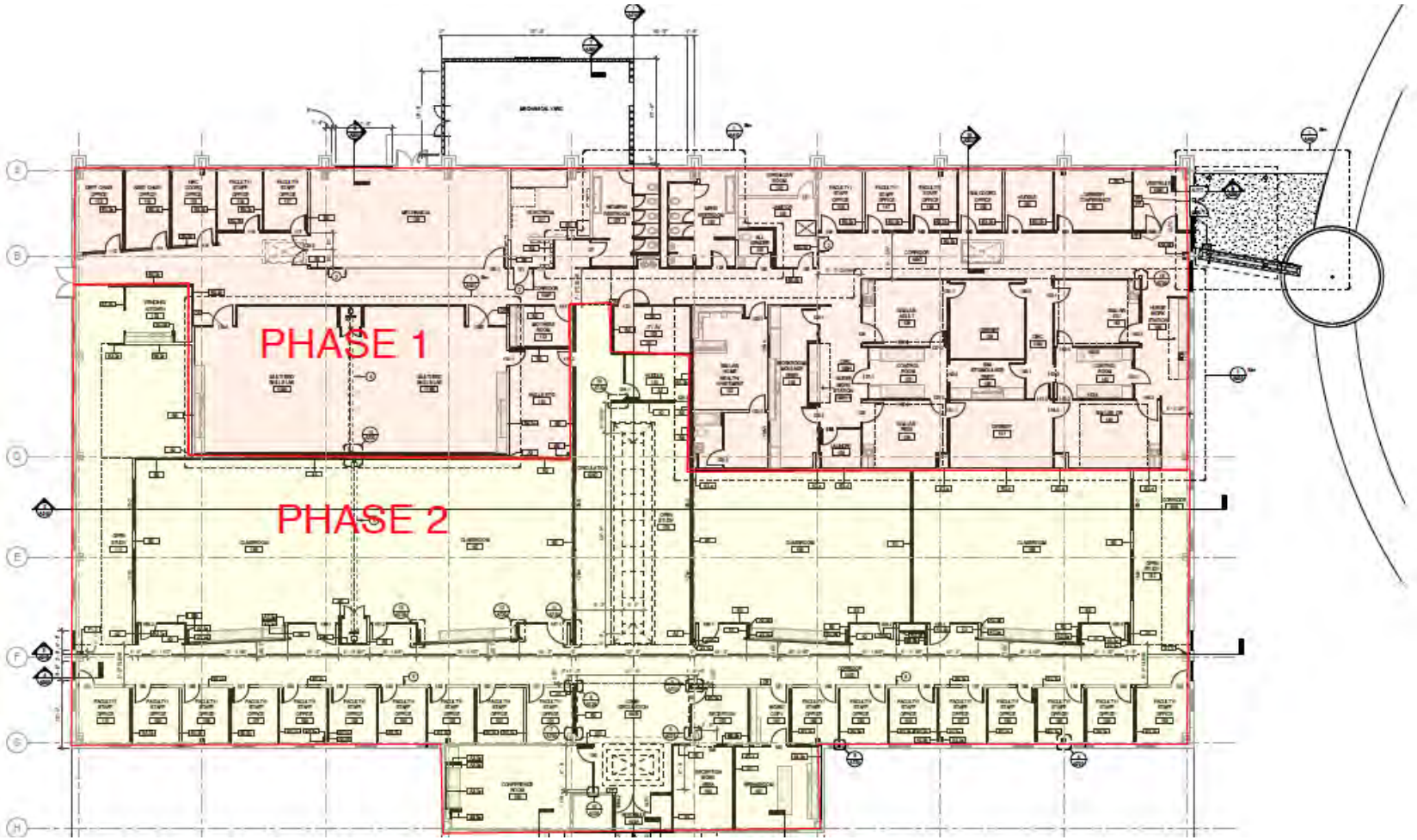
University Hall Nursing Renovation



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PHYSICAL FACILITIES DEPARTMENT

University Hall Nursing Renovation

MIAMI UNIVERSITY
REGIONALS

UNIVERSITY HALL RENOVATION
SKILLS LAB

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Questions?

MIAMI UNIVERSITY
PHYSICAL FACILITIES DEPARTMENT

Board of Trustees

(February 20, 2020)

- Higher Education Landscape
- Long Term Success
- MiamiRise Initiatives

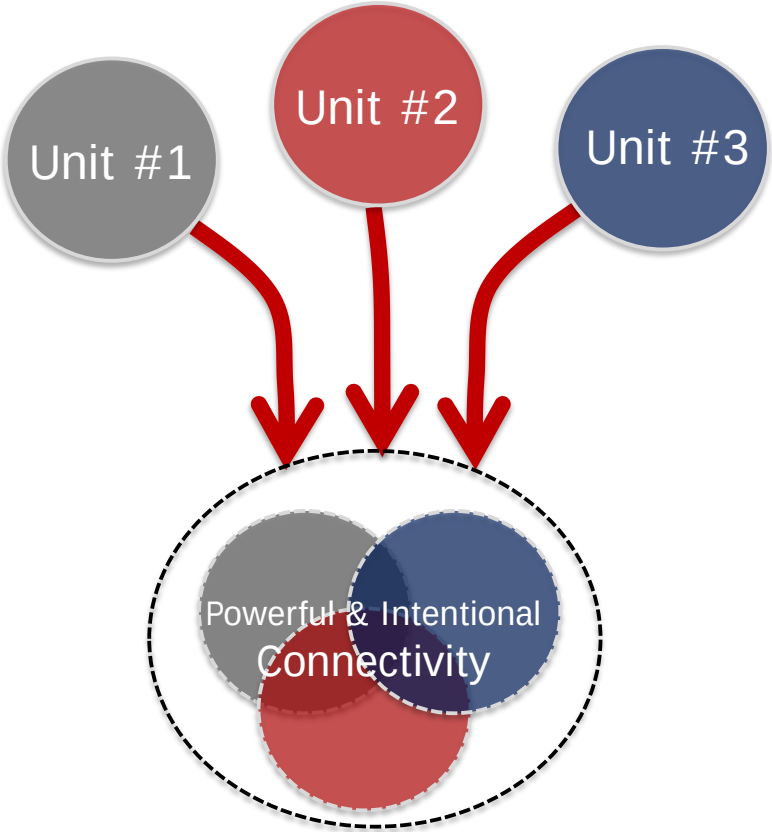
Progress & Planning for Future

- Changing Swiftly
- Budgeting Wisely
- Investing Boldly
- Building Corridors of Excellence
- Fundraising Effectively

Building Corridors of Excellence

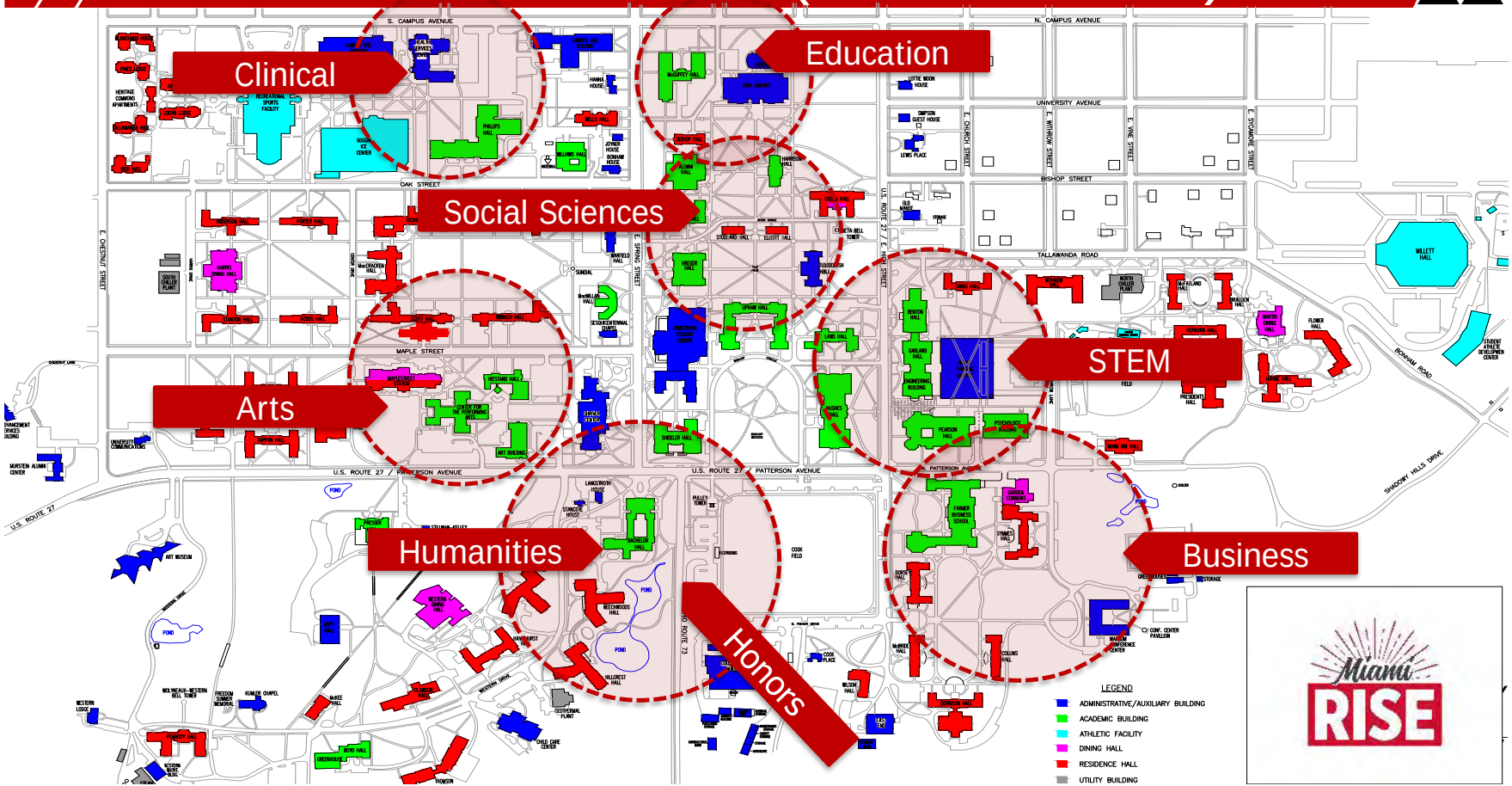
Campus Plan – Connect & Collaborate

- Proximity Matters
- Incubating High Demand & Future Programs
- Concept of Hoteling & Intentional Cross-Pollination
- Pave Way for Contemporary Infrastructure & Transformative Environment



Connect & Collaborate

Corridors of Excellence (Infrastructure)

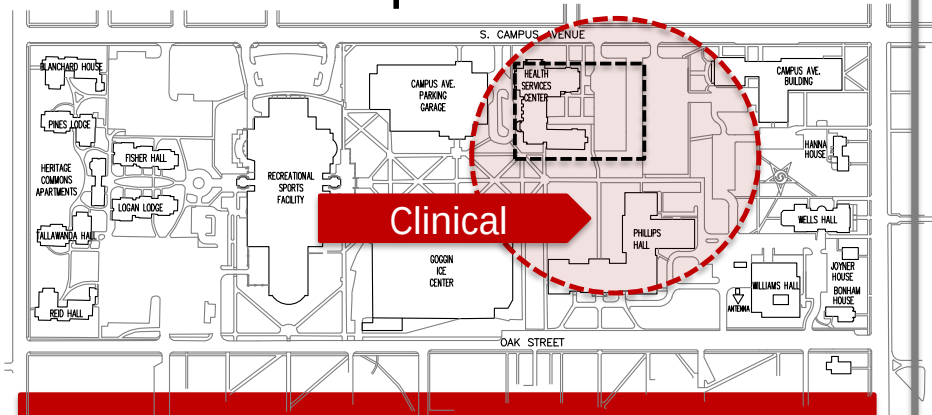


Proposed Investments on Campus

- Clinical Health (New)
- Digital Innovation (New)
- Bachelor Hall (Renovate/Cap)
- Regional ”Hubs”

Clinical Health Building

S. Campus Avenue



Where the best infrastructure & programs anywhere—without a medical school—equips graduates with the most innovative clinical health sciences degrees in the nation.

Est. Cost: $96 M

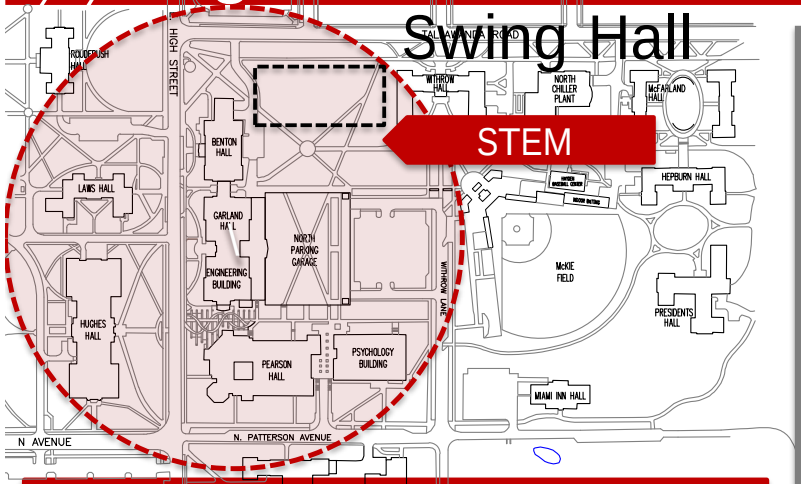
- Clinical Health Disciplines
- New Clinical Programs
- Speech Clinic
- Tri Health Partnership
- Committee Highly Engaged

Clinical Health Building

A Named Speech & Hearing Clinic

Digital Innovation Building

Swing Hall



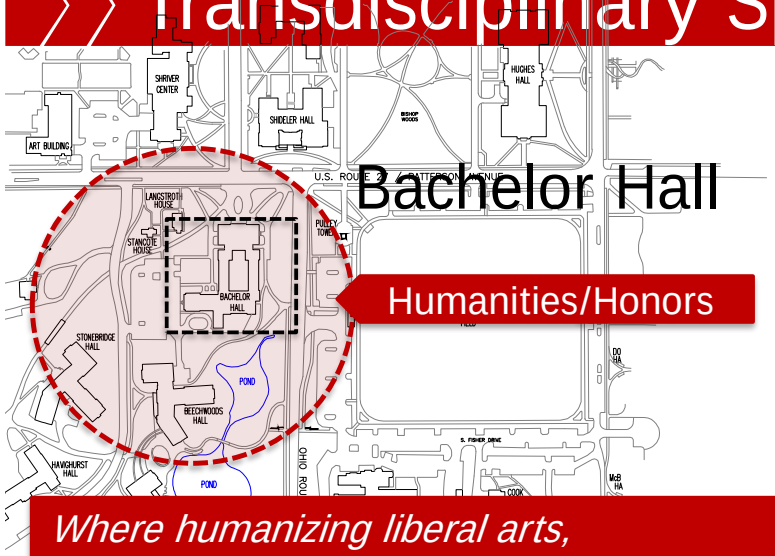
Where training in data, analytics, & AI technology training equips quantitatively-minded, ethically-aware graduates to lead the advances of Industry 4.0 & artificial intelligence for human good.

Est. Cost: $50-60 M

- Position Miami for Industry 4.0
- Quantitative/Digital Disciplines
- New Data/Robotics Programs
- Possible P3 Partnerships
- Committee Set & Engaged

MIAMI UNIVERSITY

Transdisciplinary Space & Honors



Bachelor Hall

Humanities/Honors

Where humanizing liberal arts, communication & technology knowledge converge to equip graduates for integration, globalization, ethical reasoning & leadership, tackling grand global challenges, answering enduring questions of our time, through transdisciplinary thinking & teams.

Est. Cost: $44-55 M

- Gateway to Campus
- Transdisciplinary Building
- English/MJF, Others

MIAMI UNIVERSITY
CAMPUS MAP

- Honors (also Western)
- Committee to be formed

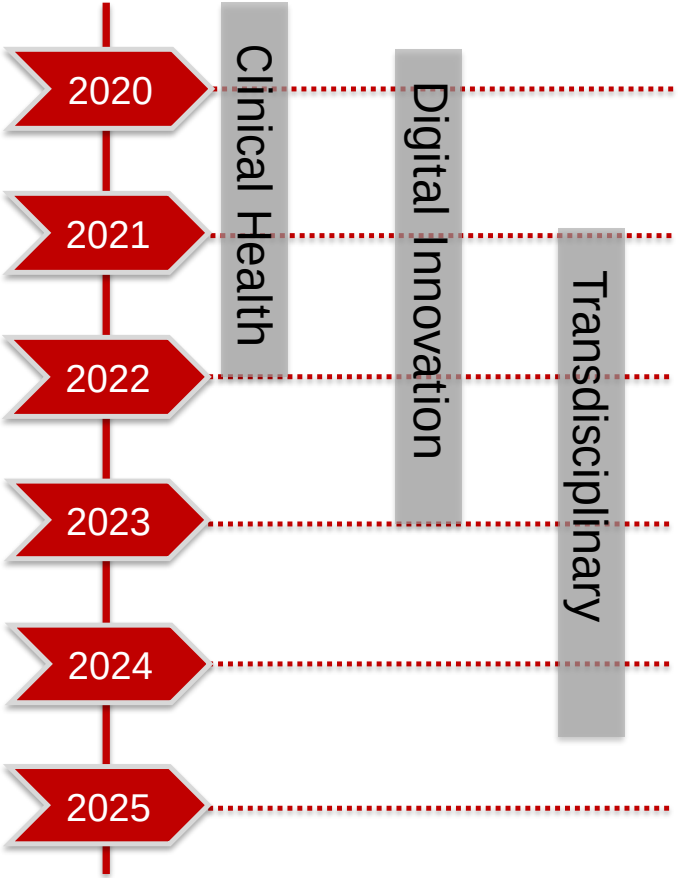
Estimated Costs & Funding

Major Projects	Disciplines	Estimate Cost
Clinical Health	Clinical Departments	$96 M
Data	Computer Science, AIMS, Statistics & Mathematics	$50-60 M
Bachelor Hall	Humanities, Communication & Honors	$44-55 M
Total		$190-$211 M

Estimate Avoidance Costs *(Williams Hall, Student Health Services, etc.)*	$63.5 M

Optimistic Timeline

- Significant Cost Avoidance
- Repurposing Resources
- State Capital Allotment
- Donor Philanthropy
- Corporate Philanthropy
- Possible Debt
- Possible Surpluses



"Hub" at Regional Campus (Alignment)

- Hamilton Campus (Nursing & Health)

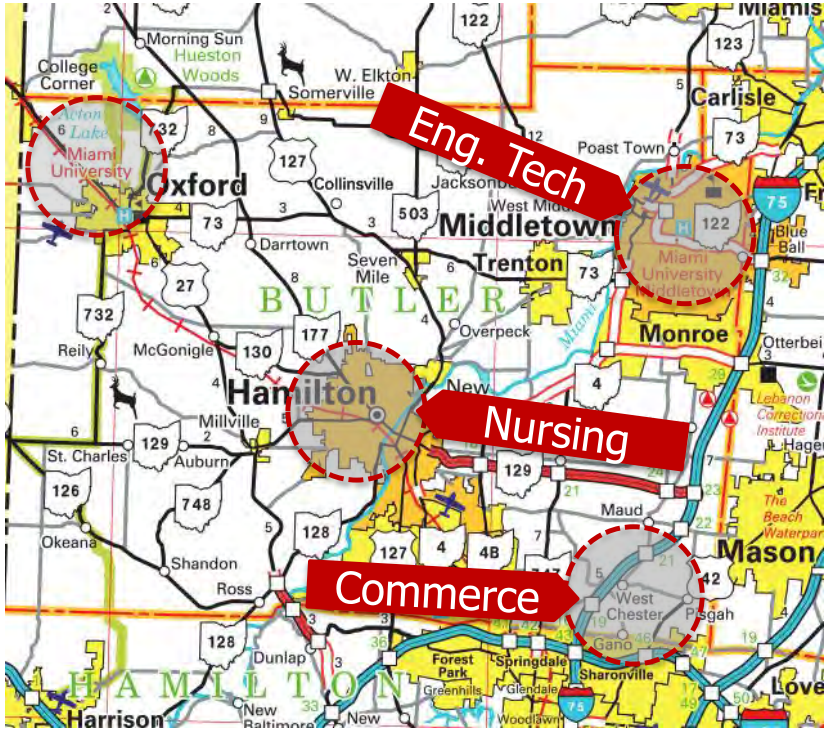
  Invested: $10 M (incumbered)

- West Chester VOA (Commerce)

  Invested: $1 M (complete)

- Middletown Campus (Engineering Technology)

  Investment Needed (Estimate):



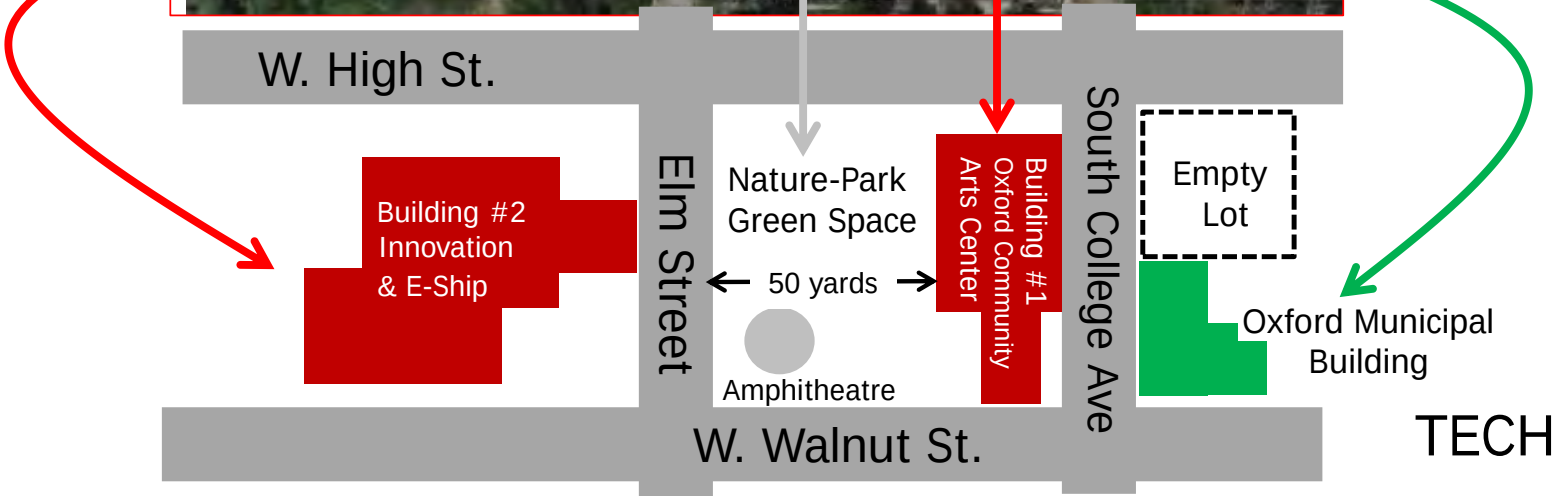
Phase II Beyond Five Years

Future Infrastructure Considerations	
Williams Hall	Demolish
Student Health Center	Demolish
Bonham House	Major Renovations or Other
Upham Hall	Possible Renovate
Hall Auditorium	Possible 2nd Floor is open (Philosophy move)
Irving Hall	Possible Renovate (Classics, Languages move)
MacMillan Hall	Possible Renovate (GIS move)
Old Manse House	Possible Renovate
Laws Hall	Possible Renovate
Garland Hall	Grow New Programs (CS moves)
Farmer School of Business	Grow New Programs (ISA moves)
College of Creative Arts (CCA)	Renovation Needs
Education, Health & Society (EHS)	Renovation Needs

Other Infrastructure & Possibilities

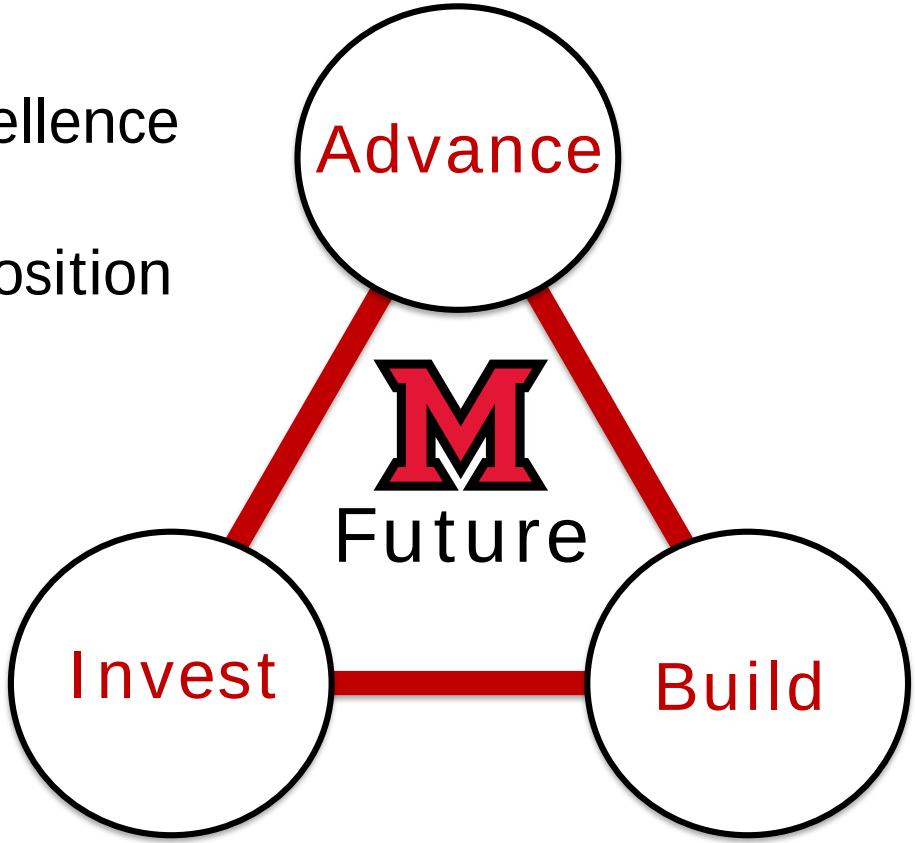
- E. High Street (State/MU)
- Bike Path/Trails (State/City/MU)
- Equestrian Center (Gift to MU)
- Possible Elm Street (State)
- Possible Alumni Center (Gift to MU)
- Possible Hotel (P^3)
- Possible CVG Airport (P^3)

Technology, Entrepreneurship, Creativity, Hub



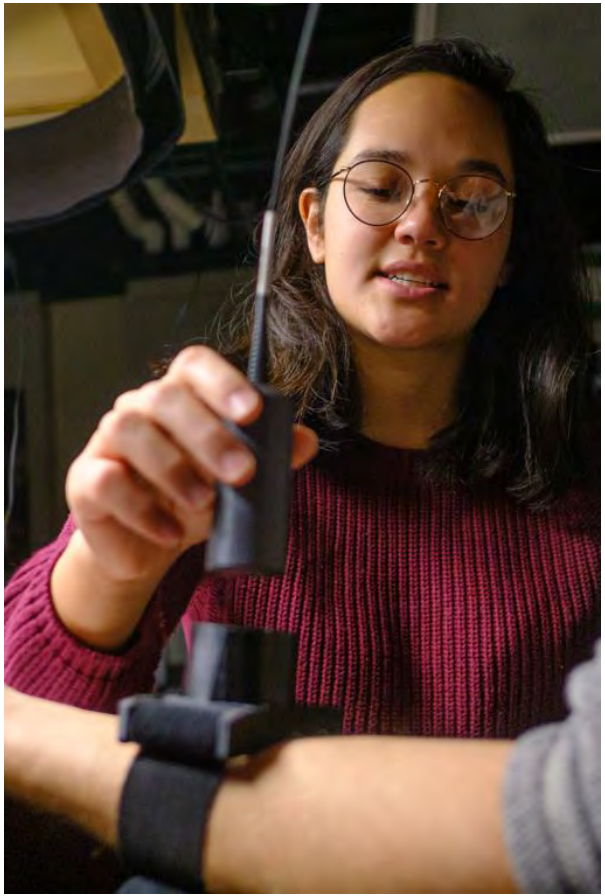
Impacting Our Future

- Advancing Academic Excellence
- Investing NOW to Well-Position Miami for the Future
- Building Our Future Through Exciting Vision & Philanthropy



Thank You!

- Accelerating Change
- Reduce, Reallocate & Invest
- Aggressively Invest in Programs & Infrastructure
- Reputation, Unique, Excellent
- Attractive to Students/Families



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MIAMI UNIVERSITY
BOARD OF TRUSTEES
Minutes of the Board of Trustees Meeting
Oxford Campus, Marcum Conference Center, Rooms 180-186
Friday, February 21, 2020

The Secretary to the Board of Trustees confirms that as specified in the Regulations of the Board of Trustees of Miami University, and in compliance with Section 121.22 of the Ohio Revised Code, due notice was given prior to holding this meeting of the Board of Trustees.

The meeting was called to order at 9:00 a.m. in the Marcum Conference Center, on the Oxford Campus with the Board Chair, Trustee David Budig, presiding. Roll was called with a majority of Trustees present, constituting a quorum. In addition to the Trustees, President Gregory Crawford; Provost Jason Osborne; Senior Vice Presidents David Creamer, and Tom Herbert; Vice Presidents Jayne Brownell, Ron Scott, David Seidl, Brent Shock (interim), and Michele Sparks; General Counsel Robin Parker; David Sayler, Director of Athletics; Randi Thomas, Director of Institutional Relations; and Ted Pickerill, Executive Assistant to the President, and Secretary to the Board of Trustees, were also present, along with many members of the Miami University community who were in attendance to observe, present and/or assist.

Roll call of Trustees:

Present: C. Michael Armstrong (National Trustee)
David H. Budig
Megan Cremeans (Student Trustee)
Robert E. Coletti (National Trustee)
Sandra D. Collins
Zachary Haines
Will Kulis (Student Trustee)
John C. Pascoe
Diane Perlmutter (National Trustee)
Mark E. Ridenour
Rod Robinson
Mary Schell
Robert W. Shroder

Absent: Jeff Pegues (National Trustee)

Comments from the Public

Chair Budig welcomed everyone to the meeting, and began with comments from the public. Professor Cathy Wagner addressed the Board of Trustees regarding the proposed changes to the Board of Trustees Regulations. Specifically, to express concern regarding the statement that "The Board retains full authority for policies that govern the terms and conditions of employment and tenure of the faculty."

Public Study Session

City of Oxford and Miami University Partnership

Assistant City Manager Jessica Green addressed the Board to inform them of the partnership between the City of Oxford and Miami University. She spoke of efforts such as the

proposed TECH Hub at the former Food Service Building on Elm Street. The City and Miami have jointly proposed the project to the State of Ohio, and to the Federal Government.

Work Plus

Senior Vice Chancellor Gary Cates updated the Board on progress regarding the Work Plus program. The Miami Regional Campuses based program is doing well, with implementation on a statewide basis expected soon, followed by national implementation.

Senior Vice Chancellor Cates was joined by a Miami student who is participating in the Work Plus program. She expressed her gratitude for the program and stated that she had traveled to Columbus with Dean Cathy Bishop Clark, and Director for Institutional Relations Randi Thomas to inform state leaders regarding Work Plus.

Promotion and Tenure

Chair Budig introduced Provost Osborne who then highlighted six of the faculty being promoted and/or tenured today.

The Provost's presentation is included as Attachment A.

Public Business Session

Consent Calendar

Resolutions on the Consent Calendar, included:

- Designation of Emerita/Emeritus
- Campus Naming

Trustee Ridenour moved, was seconded, and by voice vote, the resolutions on the Consent Calendar were unanimously approved, with all voting in favor and none opposed.

All resolutions from the Consent Calendar are included as Attachment B.

Comments by the Chair

Chair David Budig relayed the following information:

Good morning and welcome to this meeting of the Miami University Board of Trustees.

I would like to again thank Jessica Green, Assistant City Manager of Oxford, for visiting with us today. We are so pleased to learn how the City and the University are partnering so closely on important projects such as the Elm Street, Technology, Entrepreneurship and Creativity Hub. We know there are many other opportunities, such as Amtrak, Sustainability, and the Oxford Area Trails for Miami and Oxford to join forces to make this incredible community even more special. As I have said before, Miami is what it is today because of the

strong partnership with the Oxford community. Thank you, Jessica.

I'd like to begin by informing everyone that this month we welcomed CBS news correspondent and Miami alumnus Jeff Pegues to the Board as a National Trustee. Jeff covers Washington D.C. and homeland security for CBS and is out on assignment, so unfortunately, he could not be here today, but we look forward to working with Jeff at our future board meetings.

I would also like to welcome our 2020 officers; Bob Shroder-Vice Chair, Rod Robinson-Treasurer and Sandra Collins-Secretary. We look forward to their leadership during this dynamic year of positioning Miami for the future. Thank you all for serving the Board in these capacities.

Today will be the final meeting for two very engaged Trustees, Megan Cremeans and Mark Ridenour. I believe Megan's mother is here today and we thank her for guiding her daughter to choose Miami University. Megan has been an incredible Trustee and is a true campus leader. Megan is a Goldman Prize winner and has focused her research on inequities in K – 12 school funding. Megan's insight as a student trustee will be deeply missed and we hope that she returns often to visit and share highlights from her future successes.

And Trustee Mark Ridenour – what can we say? Mark has answered the call for our Board whenever, however, and wherever needed, in nearly every possible role. He has chaired Finance and Audit, and the Investment Subcommittee, served as our representative to the Foundation Board, and held the offices of Treasurer, Vice Chair and Chair. Mark, we thank you for your service, and we'll all have a little more to say later on today.

I would like to congratulate the faculty honored here today for their promotion and tenure, and to also recognize all of the other faculty similarly selected this year – well done to all of you and thank you for your incredible service to the university.

Miami is fortunate to have so many incredible faculty and I'd like to take a moment to mention and highlight just one of the many exceptional faculty members from each of our academic divisions:

From the College of Arts and Science, Chemistry Professor Ellen Yeziersky was awarded a five-year $1.9 million grant from the National Science Foundation, to help high school chemistry teachers prepare students to become more scientifically literate. Her works will impact tens of thousands of high school students, with the focus on traditionally underserved groups, including English language learners.

In the College of Engineering and Computing, Department of Chemical, Paper, and Biomedical Engineering, Assistant Professor Andrew Jones, who we will mention again later today regarding a patent associated with his incredible research. Dr. Jones has discovered a method to produce psilocybin from E. Coli through metabolic engineering. It is now in clinical trials and medical professionals see promising results for its use in treating addiction, depression and post-traumatic stress disorder in humans. Last meeting, we had the pleasure of receiving a presentation from Dr. Jones and his students, on this fascinating achievement.

College of Creative Arts Associate Professor of Architecture and Interior Design Mary

Ben Bonham has published a new book with Routledge, titled Bioclimatic Double-Skin Facades. Her work is an important contribution to discourse in architecture about sustainability.

Dee Kinney, Assistant Professor, in the College of Liberal Arts and Applied Science's Department of Education and Society, was a recipient of the M.I.A.M.I. Women's Giving Circle Grant. This grant will help to invite regional campus students to the table to better identify their challenges in achieving academic success and how to best support them to overcome those challenges. A senior Kinesiology student will be working with her on the World Cafe Research via Independent Study and the intention is to have the student be a co-author of the eventual manuscript for this research project.

From the College of Education, Health and Society, the Department of Family Science and Social Work's Associate Professor Anthony James, is the Director of the Family Science Program. Dr. James was a Booker T. Washington Community Center "Be Inspired" Honoree, and his Truth & Reconciliation Project was covered by local WLWT, and has resulted in several recognitions. He is also Co-Principal Investigator, with Dr. Sarah Woodruff, on a $400,000 Ohio Department of Education grant titled "Evaluation of The School Climate Transformation, Phase II."

Management Professor, and University Distinguished Professor, Lisa Ellram, from the Farmer School of Business, was named among the 100 Most Influential Women in Supply Chain Management by B2G Consulting - Global Women Supply Chain Leaders 2020. She was also awarded the Operations and Supply Chain Management Division of the Academy of Management, Distinguished Scholar Award. This award honors one leading scholar from the supply chain field, selected for their commitment to both significant service and leading scholarship in the field.

We are incredibly fortunate to have such remarkable and dedicated faculty, and we are also incredibly fortunate to have so many successful alumni, including Ohio's Governor, Mike DeWine. Earlier this month we were thrilled to learn that the Governor will be our Commencement speaker this May. Governor DeWine is a very loyal and supportive alumnus, and indeed, as a Miami merger, Miami father, and Miami grandfather, many other members of his family are alumni as well. He has dedicated his adult life to public service. Prior to being Ohio's Governor, he has been:

- A prosecuting attorney
- Four-term U.S. Representative for Ohio's 7th congressional district beginning in 1983.
- Lieutenant governor of Ohio from 1991-1994.
- From 1995-2007, he represented Ohio in the U.S. Senate and
- Ohio attorney general from 2011-2019

And in every role, he has generously given his time to meet and engage with Miami students. Currently, as governor (the elected leader of the State of Ohio), he is arguably, the most senior public leader amongst all Miami alumni. There are 100 senators in the United States, but only 50 governors.

As a public university and state institution, there is always an open invitation for the governor to address our graduates. With the election of an alumnus who taught at Miami from

2007 to 2009, and has a career-long history of making himself available to assist our students, such a visit is even more special and we look forward to Governor DeWine and his address to our graduates and their guests in May.

We are extremely privileged to have so many caring community members and Miamians who stepped up to lead us during the period of the coronavirus testing. We thank the Governor, Dr. Amy Acton, head of the Ohio Department of Health, Jenny Bailess, Butler County Health Commissioner, the Butler County Emergency Management Agency, Oxford Fire Chief Detherage, and his EMT team, campus leaders Robin Parker, Jayne Brownell, Michele Sparks, Kimberley Moore, Steve Large and Pete Haverkos, and we would like to especially thank the employees who staffed the call center to calm the many worried and anxious individuals who reached out for information. Thank you everyone - your dedication and service during this concerned period was greatly appreciated.

Another group of exceptional Miamians are those leading our sustainability efforts. President Crawford will soon talk more about this topic but I want to thank our team within facilities management, including Cody Powell, Doug Hammerle, and the many others who are implementing our campus utility plan. I would also like to thank the Sustainability Committee, including co-chairs Suzi Zazycki and Helaine Alessio for their support and guidance to help us continue our sustainability efforts into the future, towards carbon neutrality. And, of course, our Director of Sustainability, Adam Sizemore, who is setting a vision for the Miami Community and leading the effort to empower all Miami Community members to create a culture of sustainability. To everyone, thank you so much.

We have always known what an incredible police force we have, and now the nation knows as well. Our police force is ranked ninth in the United States for dedication to the safety and security of the campus community. The ranking is from the 2020 Department of the Year Awards, by the National Campus Safety Summit, a national conference dedicated to discussing and improving campus safety. To Chief McCandless and all of the many officers and staff in the Miami University police department, we are grateful for your dedication, and for placing the safety of our students above all us.

Another area of campus excellence is our Strategic Communication major, within the Department of Media, Journalism, and Film. Miami's program was recently ranked seventh in the nation by grad-reports. Well done!

Now I would like to recognize a community member for their success; the City of Oxford Citizen of the Year. This year's citizen of the year is a life-long law enforcement officer, who has tirelessly served Oxford, Ohio and the Talawanda School District. The Citizen of the Year is Jim Squance, spouse of our own Kathy Squance. Kathy, please tell Jim congratulations from all of us!

Unfortunately, we have two individuals who will be leaving Miami University. Claire Wagner is retiring after three decades of directing Miami's news team, and Vice President Michele Sparks, our Chief Communications and Marketing Officer, is departing to lead Valvoline's communications and recruiting efforts. We cannot thank both of you enough for your dedicated service, and you will be greatly missed. Miami is a much better place because of all that you have done. We will have a certificate of recognition to present to each of you later in

today's meeting.

Thank you, this concludes my remarks.

Love and Honor.

Reports, Ordinances and Resolutions

Report of the Chair of University Senate Executive Committee

Senate Executive Committee Chair Dana Cox addressed the Board, informing them that Miami has a University Senate, that it is not only a faculty Senate, but a Senate with staff and student representation as well, which provides for a diverse set of university community voices. The Senate also includes regional campuses representation, and that the Senate recently held a retreat at the Wilkes Conference Center on Miami University's Hamilton Campus.

The Senate report is included as Attachment C.

Report of the Student Body President

Student Body President, Jaylen Perkins, relayed the following information:

Good morning Board of Trustees, members of the President's cabinet and valued members of the Miami University community. It is my pleasure to once again address you all, and give you a glimpse of things that the Associated Student Government is looking forward to this semester:

Coming up this Wednesday, we will be holding our Annual Mental Health Forum where we will host activist Nadya Okamaoto, founder of the Period Movement. We anticipate a great turnout as we continue to break the stigma of mental health.

For our Diversity and Inclusion committee, we are looking forward to continuing to work with Dr. Crawford, Dr. Ron Scott, and the Western Alumni Association in developing the Freedom Summer of '64 initiative and renaming of the lobbies of the dorms on Western Campus, which we anticipate unveiling in late April.

Tomorrow, 12 members of ASG will be traveling to Bowling Green State University for the Ohio Student Government Association's annual retreat to collaborate with other student government leaders from all over Ohio.

For our Sustainability and Infrastructure Committee, we look forward to the Earth Week speaker series, an ambitious event that will be programmed through the newly-founded Student Sustainability Council. Our Secretary is looking forward to working with the administration this semester to finalize the university's carbon neutrality agreement.

Our Safety Committee is looking forward to hosting nearly 200 students at the Tinder and

It's on Us collaborative event surrounding sexual and interpersonal violence prevention. In addition, we anticipate working with the Tri-Council Presidents of Greek Life on an alcohol safety forum.

Our Funding and Audit Committee saw a very successful first semester, and anticipates working with our student body to have a successful second funding cycle and unveiling of the new additions to the Red Brick Rewards program.

Finally, our Advancement and Alumni Committee just welcomed back ASG alumni at this past weekend's hockey game and looks forward to our end of year banquet and honoring our seniors for their hard work and dedication.

We thank you all for your support thus far, and appreciate being able to represent our student
body to you all.

Thank you.

President's Report

President Crawford thanked Jaylen Perkins and Brandon Small for the naming of the three residence hall lobbies for Chaney, Goodman and Schwerner. In April there will be a dedication ceremony and reception for the entire Miami Community.

The President also spoke of the coronavirus stating it was a very trying ordeal as the University awaited news of the self-isolated community members' test results. The Miami University, City of Oxford, local and state teams pulled together to provide information, and to ease fears. He was very thankful for the assistance of all who aided Miami.

President Crawford then spoke of sustainability, and the decision to sign the Presidents Climate Leadership Commitments.

President Crawford's presentation is included as Attachment D.

Academic and Student Affairs Committee

Report of the Committee Chair

Committee Chair Diane Perlmutter relayed the following information:

The Academic and Student Affairs Committee met yesterday here in the Marcum Center. During the meeting, four resolutions were considered, and the Committee unanimously recommends approval for all of them today.

The Committee received written reports from the University Senate and from student leaders. The Committee heard from the Provost, the Vice President for Student Life, the Vice President for University Communications and Marketing, and the interim Vice President for

Enrollment Management and Student Success. The Committee received presentations on several topics, and also reviewed written reports, which will be available in the meeting's minutes.

We began the meeting with a presentation and student panel discussion on Residence Life, which included a focus on the "Pillars of Success:" Healthy Homes; Persistence & Retention; Transition to Adulthood; Extended Classroom; and Diversity & Inclusion. That was followed with another opportunity to hear from students as we learned about student athlete service to the community.

Vice Presidents Brownell, Shock and Sparks then addressed the Committee in turn, to provide an update on each of their areas. They also recognized two campus leaders who will soon be leaving, Claire Wagner who is retiring after thirty years, and Scott Sportsman who is taking a leadership role at the University of Tennessee; they will be greatly missed.

Provost Osborne presented four resolutions for consideration: Promotion and Tenure; the partition of Kinesiology into Kinesiology and Health, and Sports Leadership and Management; the consolidation of Classics with French and Italian; and a Bachelor of Science in Primary Education. All are unanimously recommended for approval today.

Provost Osborne also shared that long-time Office of the Provost Academic Personnel leader, Celia Ellison, will be retiring. Assistant Provost Ruth Groom spoke of Celia's exceptional and steadfast service, and all will miss her dedication, professionalism, and incredible commitment to Miami.

Finally, we heard from Dean Makaroff, who highlighted many of the College of Arts and Science programs, initiatives and research. He introduced Professors Pepper Stetler, April Smith, and Rick Page who, along with their students, spoke of their remarkable research efforts. Thank you, that concludes my report.

Resolutions

The Academic and Student Affairs Committee Resolutions are included as Attachment E.

Promotion and Tenure

Provost Osborne spoke in support of the resolution. Trustee Shroder then moved, Trustee Pascoe seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Kinesiology Partition into Kinesiology and Health, and Sports Leadership and Management (SLAM)

Provost Osborne spoke in support of the resolution. Trustee Haines then moved, Trustee Ridenour seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Consolidation, Classics with French and Italian

Provost Osborne spoke in support of the resolution. Trustee Robinson then moved, Trustee Shroder seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Bachelor of Science in Education, Pre-K – 5

Provost Osborne spoke in support of the resolution. Trustee Robinson then moved, Trustee Shroder seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

The Academic and Student Affairs Committee Resolutions are included as Attachment E.

Finance and Audit Committee

Report of the Committee Chair

Chair Armstrong relayed the following information:

The Finance and Audit Committee met yesterday at the Marcum Conference Center. The Committee considered one ordinance, eight resolutions and received several reports at the meeting. The nine action items are recommended for approval later in today's meeting.

The majority of yesterday's meeting was devoted to the Committee's ongoing discussion of financial planning for the University. Concerns about student affordability, major demographic changes, international enrollment issues, state support, technological change, new academic program interests, and the reduced return on investment from a college education are not easily solved and suggest that higher education is facing a long period of transformative change.

Following a budget update from Vice President Creamer, the Committee focused much of its discussion on the issues and challenges associated with student recruitment. The new budget reallocation plan provides added financial flexibility for adjusting to the enrollment issues resulting from fewer graduating high school seniors and the health crisis in China but we must continue to adapt our enrollment strategy in response to market changes. I want to thank he entire enrollment management team for the excellent work they are doing in the face of a very difficult and a constantly shifting environment. I also made it clear to them that the Committee is ready to assist the enrollment management team in meeting their scholarship needs to ensure our enrollment success with the new fall class.

While enrollment was the major focus of the budget discussion, other issues are also important to ensuring our financial future. Vice President Herbert updated the committee on the good progress that is occurring with the comprehensive campaign. He also is very optimistic about the fundraising opportunities for the new academic buildings. Fundraising success is important not only to the University's financial future, but for improving the quality of our academic programs and the affordability of a Miami education.

During other meetings yesterday, we learned about the academic planning that the provost and president are leading. These plans are critical elements of our financial planning, and several important resolutions were acted on by the Committee that are important to the execution of these academic plans. Two facility resolutions were considered that will provide the classrooms and labs needed to offer new and expanded academic programming in the clinical health sciences. The Committee also considered the next phase of the utility master plan that will assist in meeting the University's sustainability goals and lower the cost of utilities for future budgets.

To assist in the funding for the new clinical health sciences building and the proposed digital innovation building, the Committee considered a debt authorization request and recommends the adoption of the resolution authorizing $125 million in new debt. The new debt will not only provide the resources needed for these new buildings but the issuance should occur at a time when interest rates are near historic lows, improving the affordability of these projects.

The Committee reviewed and recommends the approval of the proposed 2% increase in room and board rates for the new fall cohort. The increase is not only below the cost of inflation but only applies to new students since returning students will see no increase in their room and board rates.

Finally, the Committee reviewed a request for a new quasi-endowment, an authorization for the creation of a new E-sports conference, and actions related to the University's patent policy. As noted earlier, each of these resolutions is recommended for approval by the Trustees.

Mr. Chairman, that concludes the report of the Finance and Audit Committee.

Ordinances and Resolutions

The Finance and Audit Committee Ordinances and Resolutions are included as Attachment F.

Ordinances

Room and Board

Senior Vice President Creamer spoke in support of the ordinance. Trustee Ridenour then moved, Trustee Pascoe seconded, and by roll call vote, the ordinance was unanimously approved, with all eight voting in favor and none opposed.

Resolutions

Clinical Health Sciences Building

Senior Vice President Creamer spoke in support of the resolution. Trustee Ridenour then moved, Trustee Haines seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

South Chiller Plant Conversion, Phase 4

Senior Vice President Creamer spoke in support of the resolution. Trustee Ridenour then moved, Trustee Collins seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

University Hall Renovation

Senior Vice President Creamer spoke in support of the resolution. Trustee Collins moved, Trustee Pascoe seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Issuance of New Tax-Exempt Bonds
Issuance of Tax-Exempt Refunding Bonds
ODHE Debt Authorization Request

Chair Budig proposed that the three related debt issuance bond resolutions be considered in a single vote. Hearing no objections, Senior Vice President Creamer spoke in support of the resolutions. Trustee Ridenour then moved, Trustee Robinson seconded, and by voice vote, the three resolutions were unanimously approved, with all voting in favor and none opposed.

Quasi-Endowment, Ecology Research Center

Senior Vice President Creamer spoke in support of the resolution. Trustee Ridenour then moved, Trustee Shroder seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Patent Policy

Senior Vice President Creamer spoke in support of the resolution. Trustee Ridenour then moved, Trustee Haines seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

ESports

Senior Vice President Creamer spoke in support of the resolution. Trustee Haines then moved, Trustee Robinson seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

The Finance and Audit Committee Ordinances and Resolutions are included as Attachment F.

Student Trustee Reports

Student Trustee Kulis relayed the following information:

As the second semester of the school year has started, it was definitely not without surprise. As nervousness and tension grew about a possible case of the infamous coronavirus

being on our campus, and the media craze that followed, I would like to take a moment, from a student perspective, to commend our Miami administration for how they reacted. This is something that has been talked about a lot this week, but I think it is important to note that the consistent and timely communications put out by the administration showed the situation was under control, and that you, as a group, would keep us safe, allowing the student body to move throughout their transition back to campus with very minimal distraction.

As the excitement of returning to campus was shared by many returning students, there have been many potential students getting to experience, maybe their first interaction with our beautiful campus through the Make It Miami program. As many of you know, this is an opportunity for high school seniors who have been accepted by Miami to come and learn more about a place they might choose to call home. This full day experience is jam packed with speakers, tours and open houses that have been designed so that potential students are able to cater the experience to what they want to see. I talked to a tour guide who told me "Make it Miami provides a great opportunity for students to see what makes Miami so special. Whether it's their first time on campus or have been here before, they have many different activities to choose from. They are able to go on a campus tour, speak with faculty and advisors, interact with current students and even try out our dining halls. I'm honored to be part of such a well-organized event that makes it difficult for prospective students to not choose Miami". As my younger sister came to experience the Make it Miami program, and she considers making Miami her home as well, it was really exciting to hear about all of the marketing content and efforts we have been talking about in the last couple board meetings like "From Now On" and the impact it has made on her and other potential students as they consider this life decision. Obviously, I have made it known that I am bias as to where she should attend, but this university has been properly positioned to speak for itself.

When it comes to Thursday, Friday, and Saturday nights in Oxford, the MAP student organization has gone above and beyond. Miami Activities and Programming, or MAP, is a student-run organization that hosts energetic, memorable, and student-focused events to enhance and celebrate the Miami experience. I spoke to the MAP president who said "Joining MAP was one of the best decisions I have ever made. I am surrounded by passionate and authentic individuals who truly want to enhance the Miami experience for everyone. It's amazing how much of an impact we can make on the Mimi community! I am so thankful to lead an organization that I love so much" These are alternative programs that engage students. An example of one of the great events that MAP has hosted would be the "I Love You Beary Much" event that was February 14th. At this event some 800 teddy bears were available to be hand created "build-a-bear" style, and all 800 were gone within a couple hours, along with many boxes of Valentines Candy. Some of the other events that Map is well known for include: Welcome Weekend, Family Weekend, Holiday Fest, Uptown Park Concerts and the Movies in Wilks Theatre. They are doing great work and really making a difference for Miami students.

As I wrap up my report, there are a few important goodbyes to be made. First, to Mr. Mark Ridenour, it is clear to all that Miami is making some big strides in innovation and designing this campus to be a place for students looking to be on the forefront of education, and having an institution that is prepared to be here for a long time. None of this would be possible without the carefully laid and strong foundation that Mr. Ridenour has been an integral part of building at Miami for years. The time and dedication you have shown to this university has not gone unnoticed and has served as inspiration for many, including myself. Thank you for

everything you have done.

And finally, to Megan, my fellow student trustee and mentor, thank you for this past year and showing me what it takes to be a strong student trustee. You demonstrate your leadership not only in your trustee position, but also in so many other places on campus. Your influence and insight, as well as your passion for the Miami students, will be missed. You have undoubtably left very large shoes to fill. I wish you luck on your future endeavors, as you continue on your journey.

This concludes my report.

Love and Honor.

Student Trustee Cremeans relayed the following information:

Good morning and thank you.

This week, we wished a happy 211th birthday to Miami University. In all of those years, Miami has been up to extraordinary things as we have worked to educate and inspire hundreds of thousands of young people. With such a long history, Miami has certainly been through a lot: she bore many of the nation's first fraternity chapters, opened its doors to women, educated thousands of veterans, and stood for justice in times of growth in the nation. Even in difficulty, like when Miami shut its doors for the duration of the Civil War, the tenacity of the Miami spirit has soldiered on. There is no Miami without resilient students to carry her.

Here is an example of one of these resilient students. A second year from Cincinnati, she is majoring in Public Health with a minor in Latin American studies. Tough times brought her to travel to Oxford from home. As a commuter student in her first year, she had a hard time feeling close to Miami, but she pushed on and took advantage of our increasingly robust resources for commuting students. She also joined many clubs. She is a student on the Armstrong Student Center Board and has joined Unidos, which is the Latinx organization on campus. She said it is very important to her because she is "able to feel welcomed on campus and find people that have lived similar experiences as me!"

Shared experiences can help us all find peace and hope in troublesome times. This year, the Associated Student Government and other organizations, including Greek Life, have put a tremendous emphasis on mental health. Next week, ASG will be hosting their fourth annual Mental Health Forum, on Wednesday, Feb. 26th from 6:30-8pm in Wilks Theater. The guest speaker for the Mental Health Forum is Nadya Okamoto, a student at Harvard University and the founder of the Period Movement. She will be discussing her perspective and challenges with mental health through the lens of a college student.

Another is an Anthropology and Finance double major from Youngstown, who was instrumental in planning the event as Student Body Vice President. He is excited to see how she will talk about the intersectionality of race, gender, and other identities with mental health, knowing it is so important to all of our students. The forum, as well as other events like Yoga with the Crawfords, happening this Monday, helps us all to come closer as a Miami family.

Along those lines, I welcome Mr. Jeff Pegues to the Board from afar. Your service and commitment to Miami is appreciated.

Finally, I would like to thank you all. What started with a curious phone call with Trustee Emeriti Dennis Lieberman and a much longer (and certainly curious, though in a different way) phone call with Trustee Emeriti John Altman has turned into a learning experience with more magnitude than I could have ever dreamed of. To the Board, thank you for your mentorship and dedication. Each of you have demonstrated a devotion to making Miami an exceptional place to learn and from you I have learned about leadership, service, and of course-- what it means to be resilient.

I served under two Chairs - Chairman Emeritus Ridenour, you are a champion for Miami University and truly embody what it means to belong to this family. I think your own family makes up a significant chunk. I can't thank you enough for your service as we leave the Board together. Chairman Budig, thank you for your courageous leadership and thank you both for your commitment to working together to protect our gunless fortress of freedom.

President and Ambassador Crawford, the PEC, and all of the Deans-- thank you for your commitment to Miami's students. You are charged with an enormous responsibility-- you help to create the future of not just Miami, but of an increasingly global, democratic world.

Will, thank you for being a great partner and friend. When you first started out, I sent you a poem from The Talmud that I think of often. I reminded you that, like all of us, "You are not obligated to complete the work, but neither are you free to abandon it."

Serving with you as a student trustee has been the greatest honor of my short time here. Please know this is only the beginning of my lifelong commitment to serve my fellow Miamians.

Mr. Chairman, in Love and Honor, this concludes my final report.

Other Business

Recognition of Employees

Chair Budig recognized two employees who were retiring, and one who was departing. He praised and thanked retiring employees; Bobby Burke, of the Myaamia Center, and Claire Wagner, Director of University News and Communications, for their many years of loyal, dedicated, exceptional service to the Miami Community. He then praised and thanked Michele Sparks, Vice President for University Communication and Marketing, who was departing Miami University to work for a major corporation in Kentucky.

Resolutions

Other Business resolutions are included as Attachment G.

Resolution of Appreciation for Student Trustee Megan Cremeans

Trustee Ridenour moved, Trustee Collins seconded, and by voice vote, the resolution was

unanimously approved, with all voting in favor and none opposed.

RESOLUTION 2020-42

Resolution of Appreciation to
Megan Cremeans

WHEREAS, Megan Cremeans was appointed a Miami University Student Trustee on March 1, 2018; and

WHEREAS, Ohio law sets the length of this appointment, which expires on February 28, 2020; and

WHEREAS, Megan has thoughtfully and ardently served Miami University as a member of the Board of Trustees, and as a tireless and dedicated Miami supporter and student.

NOW, THEREFORE BE IT RESOLVED; that the members of the Board of Trustees do hereby express to Megan their appreciation for her service to this Board and to the Miami University student body, and offer her their best wishes for good health and good fortune in all future endeavors.

Done, by the Miami University Board of Trustees, this Twenty-First Day of February, Two Thousand Twenty at Miami University, in the City of Oxford, County of Butler, State of Ohio, during the Two Hundred and Eleventh year of the University's Charter.

Resolution of Appreciation for Trustee Mark Ridenour

Trustee Haines moved, Trustee Shroder seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

RESOLUTION R2020-43

Resolution of Appreciation for
Chair Emeritus Mark Ridenour

WHEREAS, Mark E. Ridenour was appointed a Miami University Trustee on September 13, 2011; and

WHEREAS, Ohio law sets the length of this appointment, which expires on February 28, 2020; and

WHEREAS, Mark Ridenour has thoughtfully and ardently served Miami University as a member of the Presidential Search Committee, the Farmer School of Business Board of Visitors, as a Foundation Director, as Chair of the Finance and Audit Committee, Chair of the Investment Sub-Committee, Treasurer, Vice Chair, and as Chair of the Board of Trustees, and as a tireless Miami supporter, alumnus, spouse and parent; and

WHEREAS, Mark earned his bachelor's degree in Finance from Miami University,

where he met his future spouse and Miami merger, Karen, and began a family which carried on the Miami tradition with additional Miami graduates and mergers; and

WHEREAS, he tirelessly serves his colleagues and community, having served, frequently as Chair or President, Toledo's Center of Science and Industry, Financial Executives International, Kateri School District, Lourdes College, St. John's Jesuit High School, St. Ursula Academy and Foundation, the Susan G. Komen Breast Cancer Foundation, the Toledo Zoological Society and Foundation, the Toledo Walleye Advisory Board; the Advisory Board for the Junior League of Toledo (where he hosted and introduced William F. Buckley), the John W. Altman Foundation, and Mercy Health – Toledo; and

WHEREAS, commitment, dedication, service and vision have been hallmarks of Mark's character throughout his life, leading to the award of Eagle Scout as a youth, and at Miami, as the Drum Major for the Miami Marching Band, as an officer of the Alpha Chapter of Phi Kappa Tau, and through four years of service on the Miami University Student Foundation, and most recently as Chair of the Board of Trustees during a time of significant strategic planning and growth; and

WHEREAS, Mark's creativity, vision and community spirit as Chair extended beyond Miami's Campus boundaries to help strengthen the bonds between Miami University and the Myaamia Tribe of Oklahoma, resulting in the design of the trustee stole worn at ceremonial events and the creation of the Miami Heritage Logo, both of which celebrate and honor Miami's special relationship with the Tribe, and proclaim the value of learning together; and

WHEREAS, Mark has steadfastly applied his many leadership skills while a Trustee. During Marks's tenure Miami created today's vision – Miami RISE, implemented Boldly Creative, which emphasizes data, analytics and programs that span traditional disciplines, creating engaged citizens and workplace leaders who will help expand the Ohio economy, and successfully completed the Miami 2020 Strategic Plan, which proved instrumental in Miami being recognized by U.S. News and World Report as our nation's number one, most efficient university for producing high quality results; and

WHEREAS, the sound strategic planning and financial leadership during Mark's tenure enabled an unprecedented transformation of the Oxford campus. Miami has seen the renovation and expansion of numerous existing buildings, the rebirth of Gaskill, Culler and Rowan Halls to become the Armstrong Student Center, and the construction of entirely new facilities, including eight residence and dining halls, an indoor sports field, and an athletic performance center, all of which have significantly enhanced the Miami experience for many generations to come; and

WHEREAS, the Miami Trustees, faculty, staff, and students will miss Mark's strong commitment to service, wisdom, experience, wit and extraordinary insight;

NOW, THEREFORE BE IT RESOLVED, that the members of the Miami University's Board of Trustees do hereby express their sincere gratitude and deepest appreciation for Mark E. Ridenour's service and leadership; and

BE IT FURTHER RESOLVED, that the members of this Board offer their best wishes for his continued good health and success in all future endeavors, and extend an open invitation

to Mark and Karen to visit often in the years ahead.

Done, by the Miami University Board of Trustees, this Twenty-First Day of February, Two Thousand Twenty at Miami University, in the City of Oxford, County of Butler, State of Ohio, during the Two Hundred and Eleventh year of the University's Charter.

Other Business resolutions are included as Attachment G.

Trustee Ridenour replied to the group, stating:

Love and Honor to all of you for the kind words. As I mentioned to Ted when I first saw this resolution… I see my life has been reduced to one page! Better than no pages! It has been a distinct honor and privilege to serve our alma mater, alongside you and so many other great trustees that came before us. Thank you, Mike, David, Bob C., Sandy, Zac, Will, Megan, John, Diane, Rod, Mary, Bob S., and Mark, for all the support, friendship, and for all you have done, and will do, for Miami. A special thank you to Mike Armstrong for accepting the call I made a couple years ago… when you agreed to come back on the Board of Trustees, to finish your second term. I want to single out one retired Trustee, Chair Emeritus Don Crain, who was the Chair when I joined. He was the welcoming committee for David and I at the Miami Inn, as we prepared for our first meeting. I always think of Mr. Miami as my Chair.

I have so many great memories of my time here. Whether it be the graduations, watching so many new Miamians become part of Miami history, or marveling at the Alma Mater being performed by hundreds of our students at Carnegie Hall, or attending Luxembourg's fiftieth anniversary, just to name a few. I remember all the administrators and staff that I worked with, and I want to deeply thank them. I recall meeting Myaamia Chief Doug Lankford for the first time some years ago, with David Budig, just down the hall. He broke down, so appreciative the BOT cared enough to meet with the Tribe. I am more than pleased how the relationship has flourished.

There was my not so serious side that had a lot of fun along the way (you have heard some of that today). Whether it be joking with all of you, getting stuck in the Trenton Home Coming Parade on the way back from a Committee meeting, creating Creamer's Cans for one of our retreats, or John Altman and I resurrecting the Miami Poets Society for David Hodge's retirement dinner (the founders of the Society are still rolling over in their graves), or laughing at Greg and Renate who did not know their voices carried on the river, as they argued about how to maneuver that canoe in Northern Michigan. One of the funniest moments when I nearly laughed uncontrollably, was after Greg lamented he could not get Dr. Creamer to laugh, and Dr. C. casually replied "you have to say something funny." You just can't make some of this stuff up.

This appointment afforded me the opportunity to meet and get to know so many great Miamians, far too numerous to name. Whether it be present and past Trustees, Directors of the Foundation, or so many other groups around campus. There were two Presidents and Ambassadors in David and Valerie Hodge and Greg and Renate Crawford, three Provosts in Bobby Gempesaw, Phyllis Callahan, and now Jason Osborne. I met fantastic Deans and dozens of administrators and staff, and great donors all over the country. And last, but not least, our students and graduates. Whether lecturing to the Farmer School's ethics classes, meeting with

our new student trustees, recruiting students, or attending various sporting, cultural and other events, I came to realize that we are truly blessed with an accomplished, expansive and diverse, Miami Family. I tell people I am not all that concerned about the future of our country, after spending time with our bright students. After serving and meeting so many, I have a much greater appreciation today for the well-rounded education Miami offers, such as blending the humanities with business, and I encourage you to figure out how to further integrate the curriculum across all disciplines, with diversity of all kinds. The silos need to come down further.

There are some people that I especially want to thank and acknowledge. The first is Karen, (she sends her regards), and my family, since the time I spent on Miami matters was time away from home or buried in my office. Next is Greg Crawford. It is hard to forget the first time we met as you interviewed for your job. You came in, threw your suit coat in the corner, said in your characteristic "how it is going?" Sat down with no notes, and there you were with your cowboy boots. It was either going to go very well and very badly. It was a pleasure working with you. Next is Dr. Creamer. David, it has been a great journey and I have genuinely enjoyed our work, some of which was complicated and tedious, but always strategic and forwarding looking. There is much left to do my friend. Next is Ted Pickerill. As Chair and Vice Chair I got to know Ted on personal and professional basis. Ted is a real unsung hero, with very few of us really knowing all that Ted does for the University, and the Board of Trustees. You have no idea. Thank you, Ted, for your friendship, support, and dry sense of humor. John Altman and I had planned on a gift for you last June, but that did not happen with John's absence, but we wanted to make up for that. David would you do the honors.

The last…and definitely the least…is David Budig. It is time to break up the band my friend. What a great ride. I don't think anyone believes us when we tell them we did not know each other until that fateful day in 2011. I can't even begin to imagine the amount of time we spent on the phone, and in meetings, discussing all things Miami. There was no half speed or half in, for either one of us. It has been an absolute pleasure serving with you and forming one of those lifelong Miami friendships, even if you are a Beta.

I don't know what this model looks like going forward, but I know it is not exactly what we have today. We have generated resources, and many new ideas, that we expect to be successful and sustainable. Higher Education is not known for its speed. Lack thereof…in this changing landscape…is our enemy. I wish you all the best in navigating the next steps, with careful consideration and swift implementation. To the newer Trustees…this is a large complex organization with lots of moving parts. Take your time, take it all in, add your insight, and guard against getting too deep, leave that to the Chairs. This place is far larger than any one of us or any administrator…what we do here, is for the students. They are our legacy and our future. This is why "Miami First" matters!

I will watch you from afar, and be back for future graduations as number 15 and 16, from our immediate family, are currently here in Oxford.

As I step down, I can't help to think about our famous phrase…"to think in such a place, I led such a life."

May God bless the United States, all of you, and Miami University.

"Kiiloona Myaamiaki."

We are Miami!

Written Reports

Tom Herbert, Senior Vice President for Advancement submitted a written report which is included as Attachment H.

Executive Session

Following a motion, second and unanimous roll call vote, with eight voting in favor and none opposed, the Board convened to Executive Session to consult with counsel, review pending litigation, and for Personnel Matters, the appointment of a public official, and employment of public employees as provided by the Open Meetings Act, Ohio Revised Code Section 121.22.

Other Business

The Board returned to public session.

Approval of Prior Meeting Minutes

Trustee Ridenour moved, Trustee Haines seconded, and by voice vote, the minutes of the prior meetings of the Board of Trustees were unanimously approved, with all voting in favor and none opposed.

Resolutions

These resolutions are included as Attachment G.

Appointment of a National Trustee

It was proposed that S. Biff Bowman be appointed a National Trustee. Several spoke in support of Mr. Bowman and highlighted his expertise, support of Miami, and experience.

Trustee Ridenour then moved, Trustee Robinson seconded, and by unanimous voice vote, with all in favor and none opposed, the Board appointed Biff Bowman as a National Trustee, with a three-year term from May 1, 2020 through April 30, 2023.

Changes to the Board of Trustees Resolutions

Trustee Ridenour moved, Trustee Robinson seconded, and by voice vote, the resolution was unanimously approved, with all voting in favor and none opposed.

Adjournment of Meeting

With no other business to come before the Board, Trustee Haines then moved, Trustee Pascoe seconded, and by unanimous voice vote, with all in favor and none opposed, the Board, adjourned at 1:00 p.m.

T. O. Pickerill II
Secretary to the Board of Trustees

Promotion and Tenure Recommendations

Board of Trustees
February 21, 2020

MIAMI UNIVERSITY

Candidates for Promotion and Tenure

Promotion to Full Professor

Marianne Cotugno
Dana Cox
Marcia England
Jonathan Grenier
Ben Jacks
Cynthia Klestinec
Theresa Kulbaga
Katherine Kuvalanka
Stephen Lippmann
Rachael Morgan-Kiss
Tory Pearman
Monica Schneider

Tenure and Promotion to Associate Professor

Stephanie Baer
William Brink
Michelle Buchberger
Michael Conger
Stephanie Danker
Gregory Fisher
Haim Kassa Gebeyehu
Tracey Hoffman
Daniela Inclezan
Mahmud Khan
Mary Kovach
Tim Lockridge
Joshua Magee
Sara McLaughlin
Fadel Megahed
Beth Miller
William Moser
Greg Niemesh
Karthik Vishwanath
Maria Weese
David Woods

MIAMI UNIVERSITY

Jonathan Grenier

Accountancy
Farmer School of Business
Candidate for Promotion to Full Professor

Joined Miami in 2010 as an Assistant Professor
Ph.D., Accountancy, University of Illinois

- Juror judgment and decision making in audit litigation
- Accountants' involvement in sustainability / corporate social responsibility (CSR) initiatives

MIAMI UNIVERSITY

Katherine Kuvalanka

Family Science and Social Work
College of Education, Health & Society
Candidate for Promotion to Full Professor

Joined Miami in 2007 as an Assistant Professor
Tenured and promoted to Associate Professor in 2013

Ph.D., Family Studies, University of Maryland, College Park

- Issues families face with trans* or gender-nonconforming children

MIAMI UNIVERSITY

Stephanie Danker

Art
College of Creative Arts
Candidate for Tenure & Promotion to Associate Professor

Joined Miami in 2014 as an Assistant Professor
Ph.D., Art Education, University of Illinois, Urbana-Champaign

- Preparing future art educators to facilitate growth in their students and communities
- Expanding awareness, critical thinking and empathy through art experiences

MIAMI UNIVERSITY

Daniela Inclezan

Computer Science & Software Engineering
College of Engineering and Computing
Candidate for Tenure & Promotion to Associate Professor

Joined Miami in 2013 as an Assistant Professor
Ph.D., Computer Science, Texas Tech University

- Knowledge representation, commonsense reasoning about actions and change, logic programming
- Automated narrative understanding, applications of AI to the social sciences, AI for sustainability

MIAMI UNIVERSITY

Mahmud Khan

Physics
College of Arts and Science
Candidate for Tenure & Promotion to Associate Professor

Joined Miami in 2013 as an Assistant Professor
Ph.D., Applied Physics, Southern Illinois University

- Novel magnetic materials (broadly defined) that exhibit multifunctional properties

MIAMI UNIVERSITY

David Woods

Computer & Information Technology
College of Liberal Arts and Applied Science
Candidate for Tenure & Promotion to Associate Professor

Joined Miami in 2005 as a Sr. Research Computing Support
Ph.D., Physics, University of Minnesota

- Student learning, especially through the use of technology
- The importance of IT strategy and communication for all IT professionals

MIAMI UNIVERSITY

MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
ROUDEBUSH HALL ROOM 212
OXFORD, OHIO 45056
(513) 529-6225 MAIN
(513) 529-3911 FAX
WWW.MIAMIOH.EDU

February 21, 2020
Consent Calendar

RESOLUTION R2020-27

BE IT RESOLVED: that the Board of Trustees hereby approves the following for the rank of Professor Emerita effective on the formal date of retirement:

Mary Jane Berman
Anthropology

Susan Marine
Mathematical & Physical Sciences
Chemistry & Biochemistry

Ellen Price
Art

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

BE IT RESOLVED: that the Board of Trustees hereby approves the following for the rank of Professor and Vice President Emeritus effective on the formal date of retirement:

James T. Oris
Biology

BE IT RESOLVED: that the Board of Trustees hereby approves the following for the rank of Professor Emeritus effective on the formal date of retirement:

Glen Roger Davis
Music

David Walsh
Management

BE IT FURTHER RESOLVED: that the Board of Trustees hereby approves the following for the rank of Administrator Emerita effective on the formal date of retirement:

Celia Ellison
Office of the Provost

Bobbe Burke
Myaamia Center

BE IT FURTHER RESOLVED: that the Board of Trustees hereby approves the following for the rank of Administrator Emeritus effective on the formal date of retirement:

Terrence Ponder
Office of Strategic Procurement

MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
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February 21, 2020
Consent Calendar

RESOLUTION R2020-28

BE IT RESOLVED: that the Board of Trustees hereby approves the following naming recommendation of the Committee for Naming Campus Facilities:

Clinical Health Sciences and Wellness Building

Bowman Family Speech and Hearing Clinic Suite
Will be located in the new Clinical Health Sciences and Wellness Building

Richard and Carol Puzzitiello Retired Jersey Wall
Located in the Athletic Performance Center

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

EXECUTIVE COMMITTEE of UNIVERSITY SENATE
Dana Cox, Chair
James Bielo, Chair-elect
University Senate Website: www.MiamiOH.edu/senate/

February 21, 2020

To: Board of Trustees, Academic and Student Affairs Committee
From: Dana Cox, Chair, Executive Committee of University Senate
RE: University Senate Report to Board of Trustees – February 21, 2020 Meeting

Executive Committee of University Senate membership:

- Dana Cox, (Mathematics), Chair
- James Bielo, (Anthropology), Chair-Elect
- Chip Hahn, (Speech Pathology and Audiology), at-large member
- Jaylen Perkins (Student Body President), undergraduate
- Ikaika McKeague-McFadden, graduate student
- Jason Osborne, Provost, Chair of University Senate
- Jeffrey Wanko, (Associate Provost), Secretary of University Senate
- Becky Sander (Executive Assistant for Admin Services), Recording Secretary

The following summarizes items of University Senate Business conducted since the Executive Committee submitted a report to the Board of Trustees on December 12, 2019.

- New Business, Specials Reports and Updates delivered to University Senate:
  - **January 27, 2020**
    - University Senate Committees – Joe Carlin – Senate Liaison, Governance Committee
    - Discussion of TCPL cap resolution
  - **February 10, 2020**
    - Entrepreneurship Department Formation – Tim Holbomb – Director, ESP
    - Primary Education Major – Sheri Leafgren – Associate Professor, EDT
- Senate Resolutions

SR 20-08

January 27, 2020

BE IT HEREBY RESOLVED that University Senate endorse proposed revisions to the Miami University Policy and Information Manual (MUPIM) 7.11, *Teaching, Clinical Professors and Lecturers*, as set forth below:

Decisions about faculty composition should be made at the divisional level and should be made in adherence to the teacher-scholar model that is central to the mission of Miami University as well as in accordance with principles of shared governance that respect both divisional and departmental goals. Faculty composition are influenced by multiple factors, including strategic goals, budgetary resources, and curricular offerings and demand. Each dean prepares a hiring plan for their unit every fiscal year for review and approval by the Provost. The Provost owns every vacant faculty line, and allocates them according to mutually-agreed-upon hiring plans. Divisional hiring plans need to reflect and be responsive to budget, curricular demands, strategic priorities, and should ensure the division is within any policy constraints on faculty composition approved through appropriate processes within the division.

Limitation on Number of Teaching, Clinical Professors and Lecturers (TCPLs)

TCPLs may not exceed the following percentages of total number of full-time tenured and tenure-track faculty within each division:

CAS: 25.0%

CCA: 25.0%

EHS: 35.0%

CEC: 25.0%

FSB: 25.0%

CLAAS: 30.0%

Divisions must work within the structure of governance processes to modify this limitation and to address faculty composition more broadly. Divisions must adhere to their faculty composition policy and the dean of the college/school must annually communicate with faculty on current and historical trends in faculty mix. This communication should provide context including relevant budgetary resources and curricular needs. The provost will annually update the University Senate on changes to divisional policies and faculty mix. Any changes that incur a raising of the new caps in this resolution must be approved by Senate.

Appointment to a TCPL position requires either:

1. a competitive search; or
2. the approval of the Provost upon the positive evaluation and recommendation of the department if required by departmental governance, the department chair, the program director (when appropriate), and dean for a person currently holding another instructional staff position at Miami University.

SR 20-08 approved by voice vote with 2 nays and 3 abstentions.

SR 20-09

February 10, 2020

BE IT HEREBY RESOLVED that University Senate endorse the proposed degree, Bachelor of Science in Education, with a major in Primary Education, School of Education, Health and Society;

AND FURTHERMORE, that the endorsement by University Senate of the proposed degree and major will be forwarded to the Miami University Board of Trustees for consideration.

SR 20-09 approved unanimously by voice vote.

cc: Provost Jason Osborne, Chair, University Senate

Dana Cox, Chair, Executive Committee of University Senate
Jeffrey Wanko, Secretary, University Senate
Becky Sander, Recording Secretary, University Senate

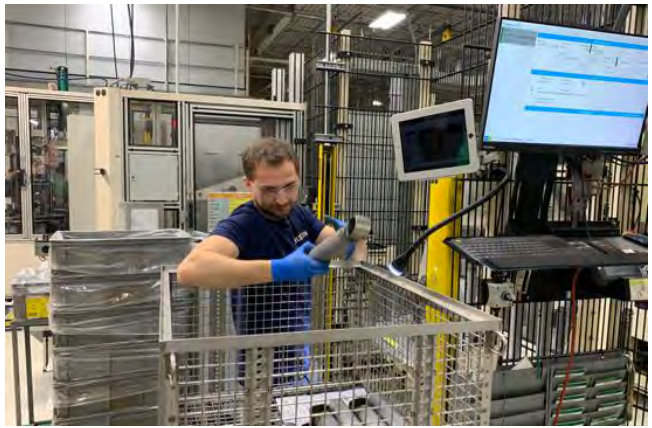
Board of Trustees

Miami University

(February 21, 2020)

Quick Updates

- ASG Plan & Vision (Western)
- Coronavirus (State/County)
- One Miami Weekend
- Work + Update (Regionals)
- Testimony DC & Columbus
- Freedom Summer Awards



Associated Student Gov't Vision for Western

- Freedom Summer 1964
- Chaney, Schwerner & Goodman
- Name Common Areas
- Western Residence Halls
  - → Hillcrest Hall
  - → Beechwoods Hall
  - → Stronebridge Hall

Coronavirus at Miami University

- 2 tests were negative
- Incredible campus effort
- Call center & communication
- Incredible State Partners

→ Butler Co. Health District (Jenny Bailor)
→ Ohio Dept. Health (Dr. Amy Acton)
→ Ohio Gov't (Governor Mike DeWine)
→ Governor DeWine Commencement Speaker

One Miami Weekend & Love.Honor.Care

- Four games (2 for 4)
- Honored Faculty, Staff & Students
- ~5,000 Tickets Requested
- ~$50,000 Love.Honor.Care

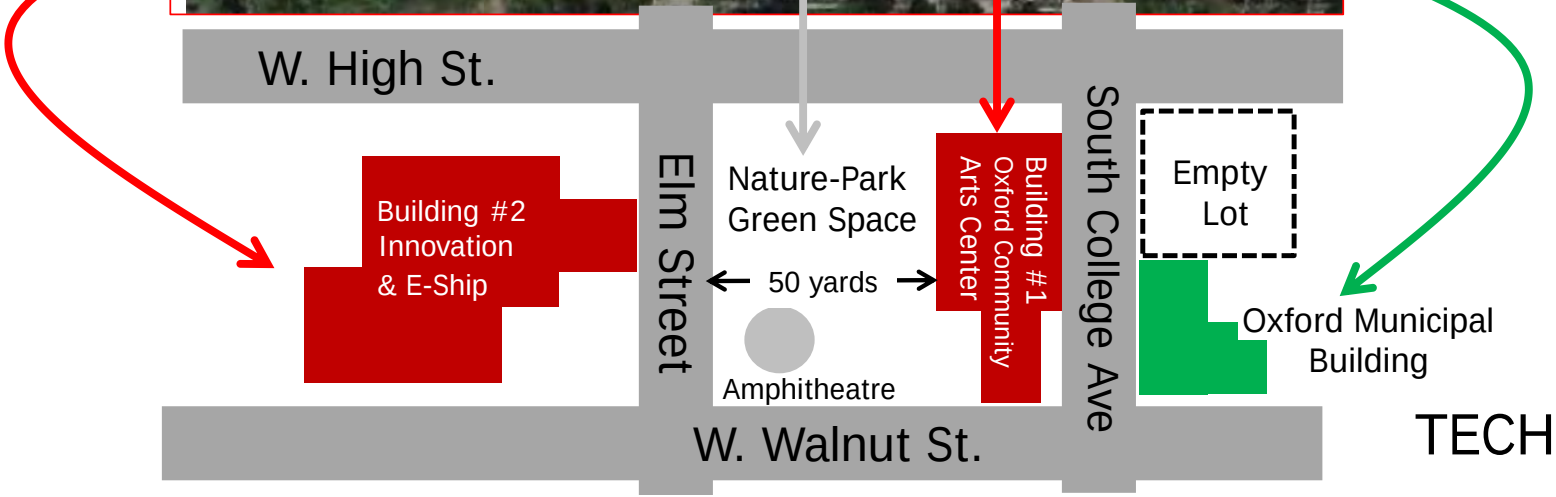
Work + Debt Free Education

- 8 Company Partners
- 30 Students Participating
- 24 Hours per week
- Salary & Tuition
- Debt-Free Education
- Ohio SB 181 (Expand)

Testimony in Washington D.C.

- House Subcommittee
- Small Business & Rural
- Potential Oxford-Miami Model
- Our E-Ship Education
- Oxford City Manager Attended

Technology, Entrepreneurship, Creativity, Hub



Freedom Summer 1964 Awards

- Inaugural Award (Rep. Lewis)
- 2010 Award (Mt. Zion)
- Carolyn Jefferson Jenkins
- Joe Madison
- Civil Rights Conference in Oxford June 2020.

Sustainability Update

- Sustaining Tradition
- Leading Now
- Investing Deep
- Going Neutral
- Aiming High

Thank You – Students, Faculty & Staff

Name	Position	Area
Jon Ralinovsky	Staff	Music
Helaine Alessio (co-chair)	Faculty	Health
Brian Ballou	Faculty	Business
Brian Keane	Faculty	Biology
Jonathan Levy	Faculty	Env./Sustain
Doug Meikle	Faculty	Biology
Suzi Zazycki (co-chair)	Staff	Env./Sustain
Tim Cameron	Faculty	Engineering
Iñaki Pradanos-Garcia	Faculty	Social Justice
Rob Abowitz	Staff	Student Life
Jeff Johnson	Staff	EHS

Name	Position	Area
Benjamin Spilman	Staff	MUPD
Leishman, Brent	Staff	Finance
Dave Beitz	Staff	Info. Tech.
Kristina Rotundo	Staff	HDRBS
Adam Sizemore	Staff	Env./Sustain
Olivia Herron	Student	Env./Sustain
Cecil Okotah	Student	Env./Sustain
Antonio Lim	Student	Env./Sustain
Kevin Young	Student	Bus./Sustain
Cody Powell	Staff	Facilities
Lindsay Carpenter	Staff	Academics
Susan Meikle	Staff	Comm

My Own "Lens"— Scientific & Personal

Low Power Displays

Waveguiding Alternatives

Personal

Sustaining Tradition

Institute for Environment & Sustainability

- Founded 1969, Before EPA
- Transdisciplinary Co-Major
- Masters Env Science (MEn)
- International Reputation
- Research Excellence
- Citizen Science Program

Prof. David Prytherch
GEO 454/554 "Planning the Sustainable City"
New York City, NY
Philadelphia, PA
Baltimore, MD
Washington D.C.
Richmond, VA

Prof. Peggy Shaffer
Institute for Food

Prof. Craig Williamson
United Nations Environmental Assessment Panel (UV & Ozone)

Prof. Rachel Morgan-Kiss
Climate Change in Antarctica

Prof. Melany Fisk
Response of Forest Ecosystem
to Climate Change

Prof. Mike Vanni
Effects of Agriculture on Streams & Lakes

Prof. Lisa Ellram
Sustainable Supply Chain Management

Prof. Amelie Davis
Environmental Impacts of Land Use & Land Change

Many Examples of Student Engagement

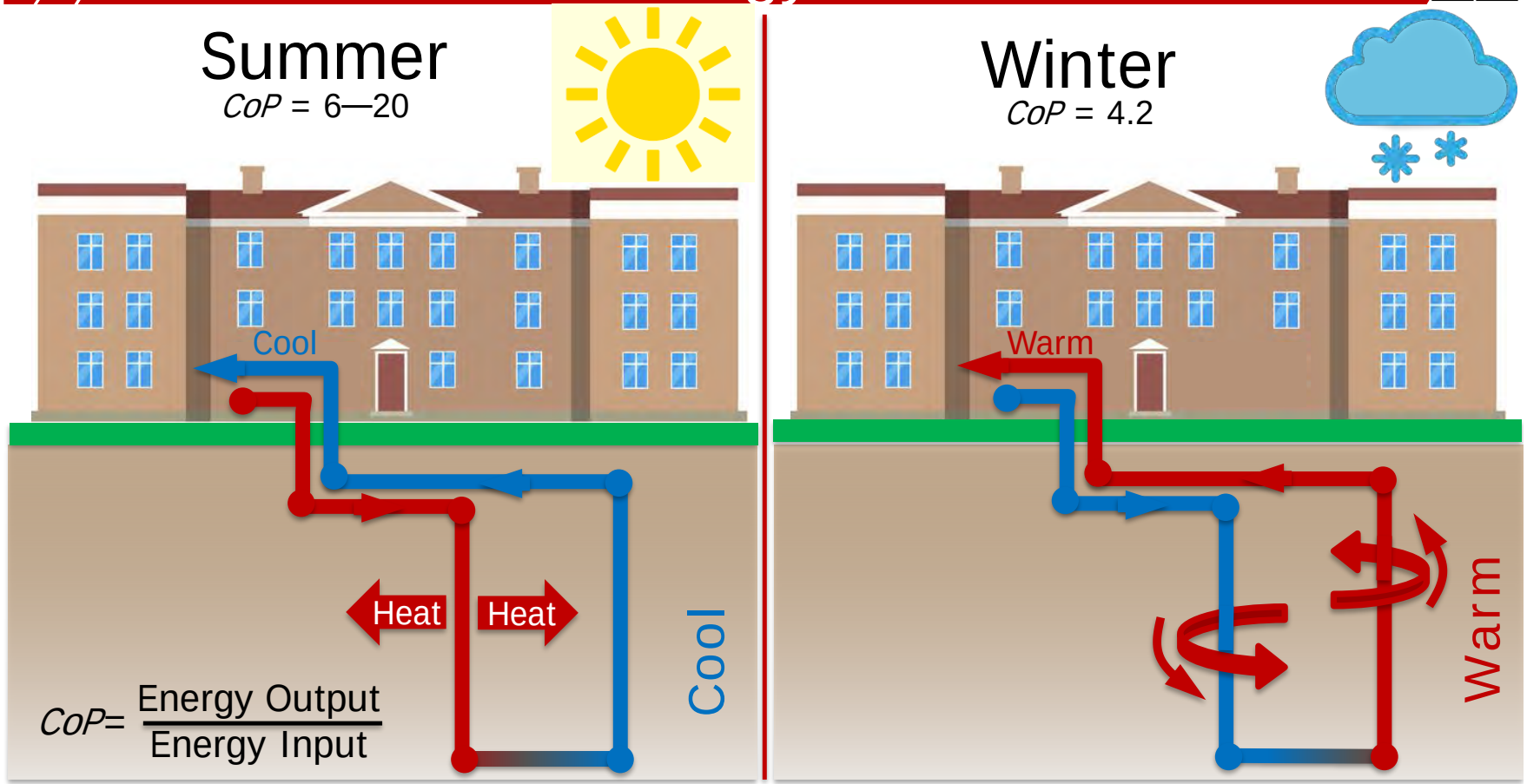
- Student Sustainability Council
- Green Team
- EcoReps
- Green Hawks Media
- Green Oxford
- Engineers w/o Borders

Extraordinary Expertise & Commitment

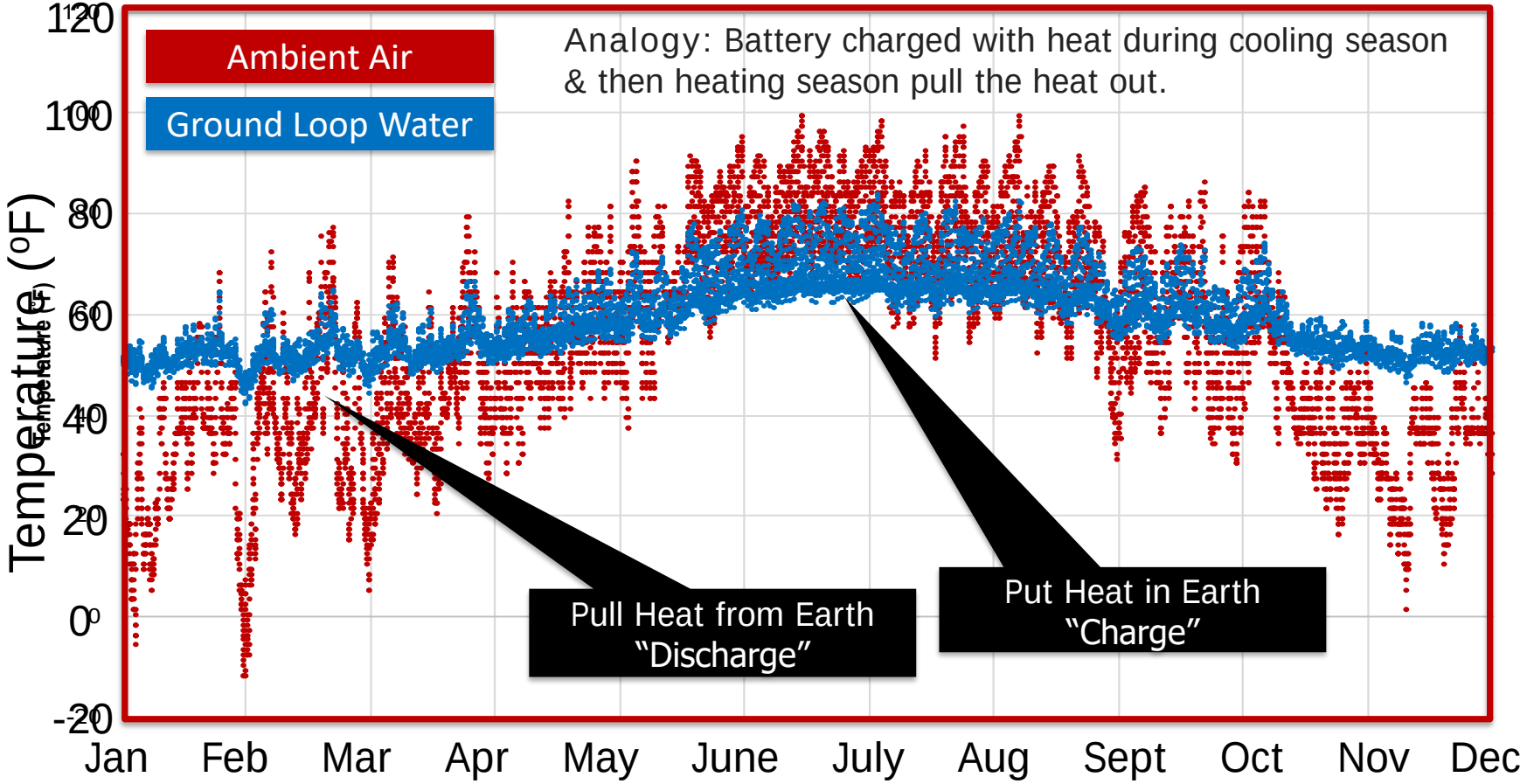
- Many more examples
- Strong research & scholarship
- Exceptional academic curriculum
- Committed students, faculty & staff
- Forward looking (50 years)

Leading Now

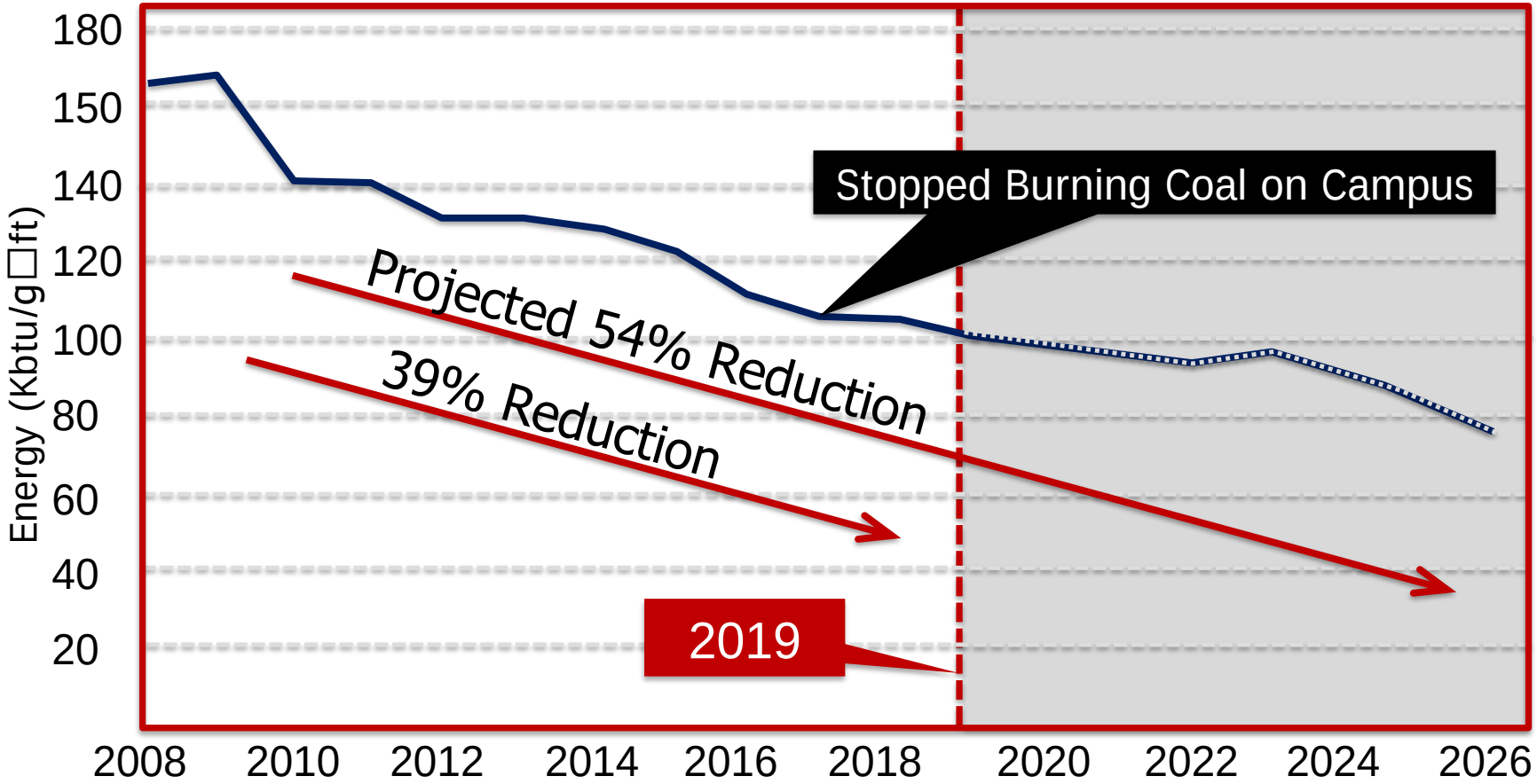
Geothermal Technology Investment



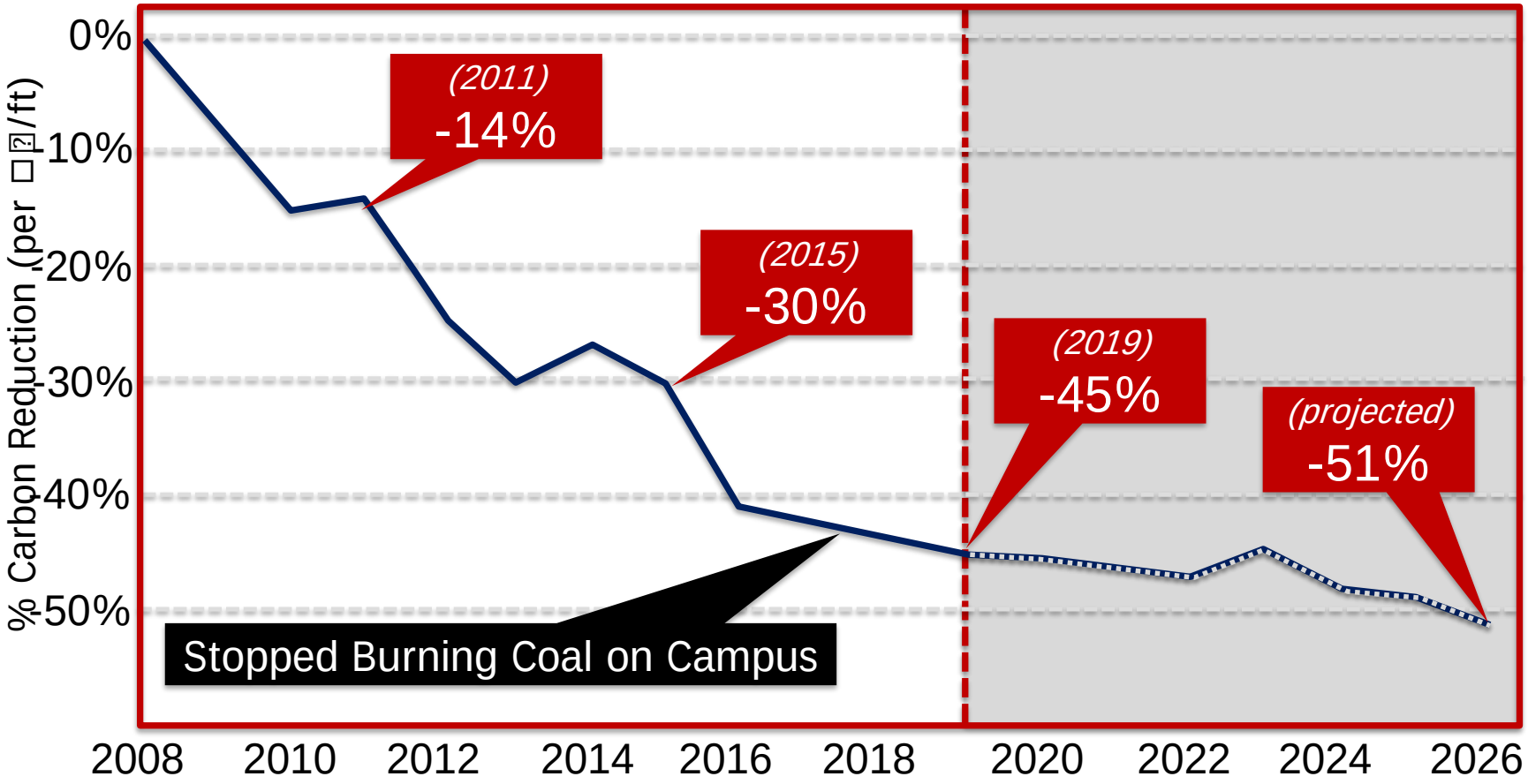
Geothermal Technology Investment



Significant Reductions in Energy Usage

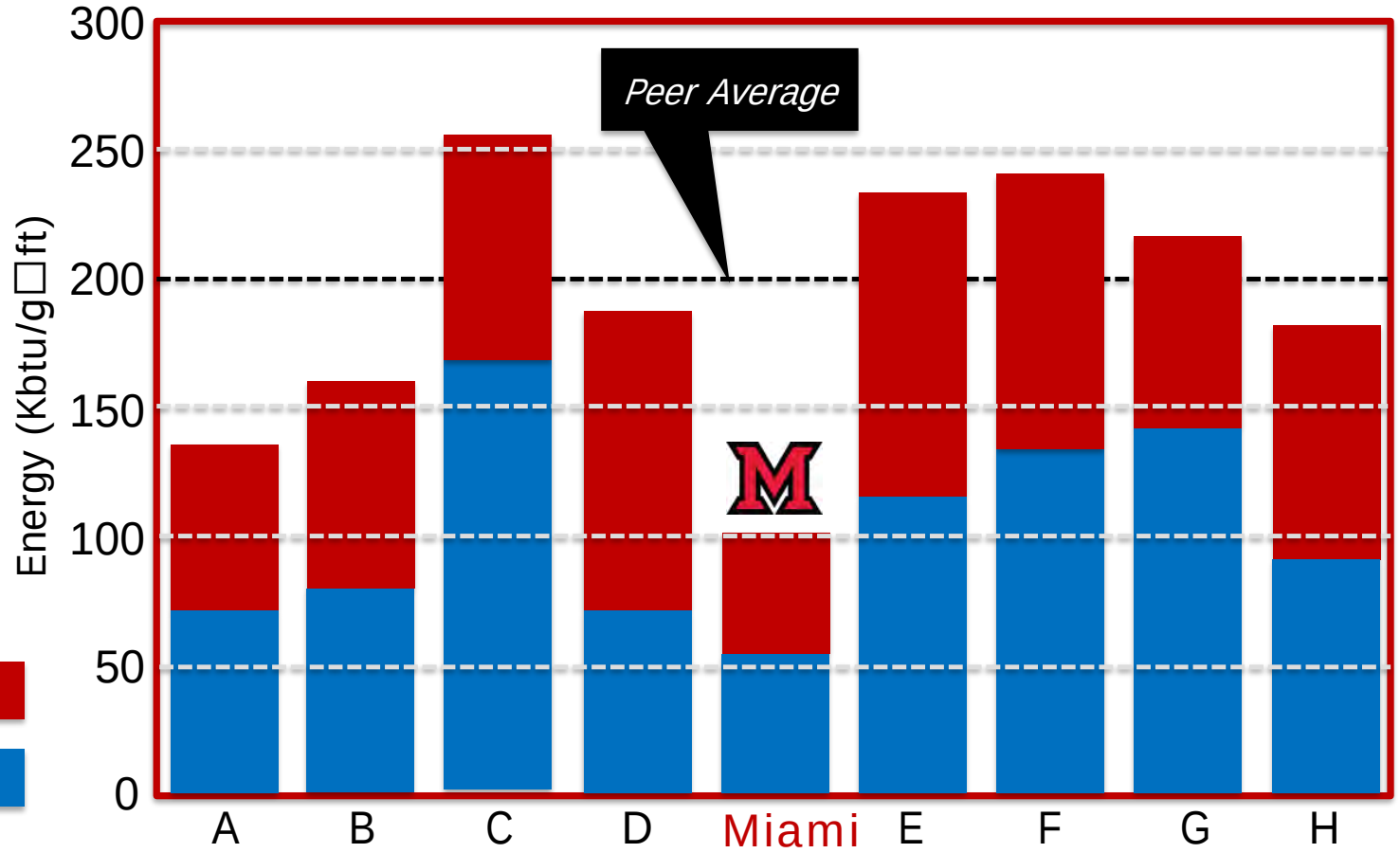


Significant Reductions in Carbon Footprint



How Do We Measure-Up Against Peers?

Energy Peer Institutions
Bowling Green
Carnegie Mellon
Kent State
Northwestern
Notre Dame
Ohio State
Ohio University regional campus
Purdue
Univ. of Cincinnati



Accolades & Accomplishments

Geothermal Energy Reduction

Minnich & Scott LEED Gold

Solar Array at Inst. Food Farm

Notably Sustainability Successes

Accolades	Achievements
Gold STARS Rating	22 Academic Programs
Sierra Club Top 100 "Coolest Schools"	100 Courses & 322 Research Proposals
The Princeton Review Green College List	29 LEED Buildings
Bicycle Friendly Campus Designation	Student Sustainability Council
	Energy System Transformation

Investing Deep

Major Investments Past & Present

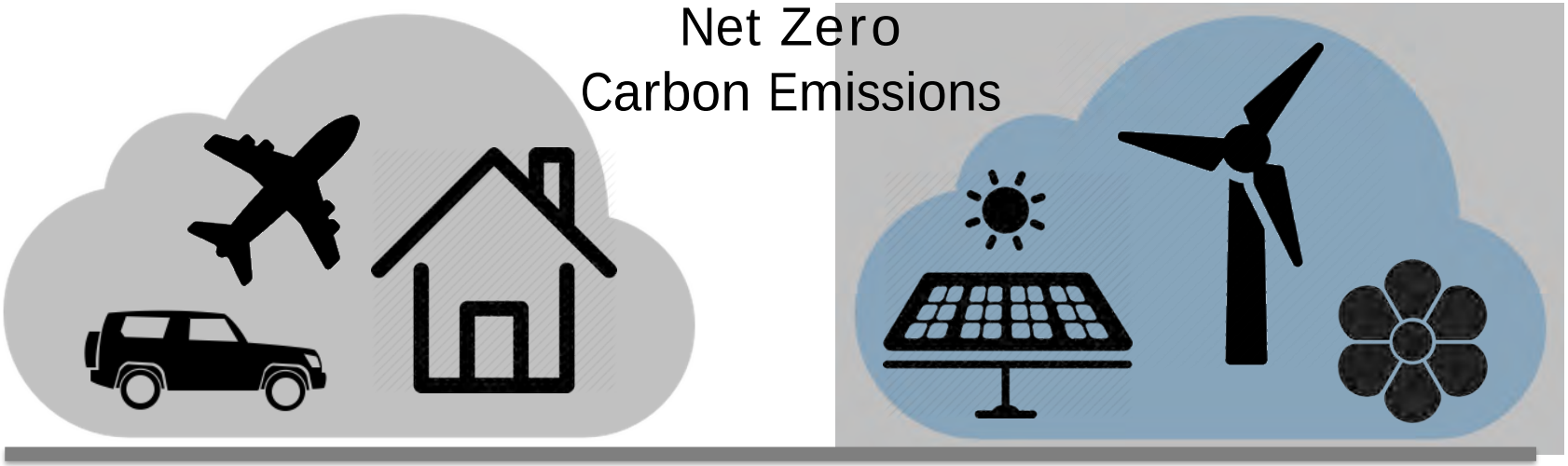
Planned Investment ~$60 M

- 2020 — Strategic Planning Carbon Neutrality
- 2022 — Central Campus HHW Conversion
- 2024 — Geothermal Phase III Western
- 2025 — North Chiller Conversion to Geothermal
- 2026 — Steam Plant Conversion to HHW

Going Neutral

Reducing Greenhouse Gases

What is Carbon Neutrality?



CO_2

Balance Carbon Emission
with Carbon Reduction
& Removal

CO_2

Why Carbon Neutral?

>80%

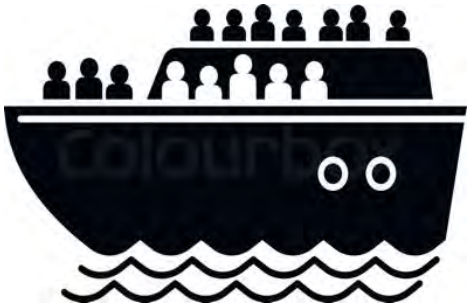
Natural Disasters from Climate Change

50%

Children under 5, 50% more likely to be malnourished in drought prone countries

2B

Possibly 2B migrants displaced from climate change



- Far Reaching Behavior Changes
- Limit Global Warming <1.5^{o}C
- Net Zero around 2050

Intergovernmental Panel on Climate Change (EPCC), 2018

Aiming High
We will sustain our excellence, our leadership, and our bold vision for a carbon-neutral future as we move forward in the field of environmental stewardship. We will build on our forward-looking infrastructure, teaching, and scholarship and our long record of achievement to create a model of responsible action for our students, our community, our state, our nation, and the world.

Sustainability Committee Charge

Charge Committee

Jan 2019

#1	Most Sustainable University
#2	Baseline & Metrics
#3	Assess Programs & Curriculum
#4	Assess Research & Scholarship
#5	Enhance Academic Collaboration
#6	Student Sustainability Experience
#7	Technology Plan
#8	Enhance Campus Collaboration
#9	Connect with External Partners
#10	Consider PCLC

Report "Commitment to Lead: A proposed, renewed vision to position Miami University as a sustainability leader among higher education institutions"

June 2019

Recommendation

→ Sign PCLC & Plan

—or—

→ Develop Own Plan

Report "Further Examination of Carbon Neutrality Pathways"

Feb 2020

Recommendation

→ Sign PCLC & Plan

"Rally campus & empower community members as we work together to achieve Carbon neutrality & resilience"

What are PCLC Commitments?

- Framework Guide
- Set C-neutral date
- Uniform reporting
- Assessible Database
- 437 Signatories
- 77 of 437 Gold STARS

5 Institutions

Impacts of climate change

321 Institutions

Carbon Neutrality

110 Institutions

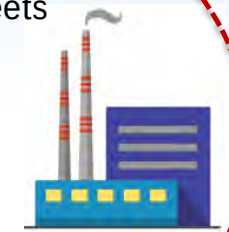
Carbon Neutrality + Resilience

Measuring Carbon Neutrality (PCLC)

Greenhouse Gas Emissions

CO_2 SF_6 CH_4 N_2O HFCs PFCs

Vehicles & Fleets


On-site Sources

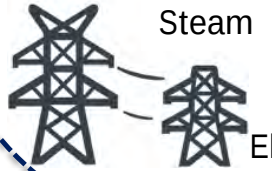
Landfills & Wastewater

Scope 1

Owned & Controlled

Heating & Cooling

Steam


Electricity

Scope 2

Purchased & not-Controlled

Commuting

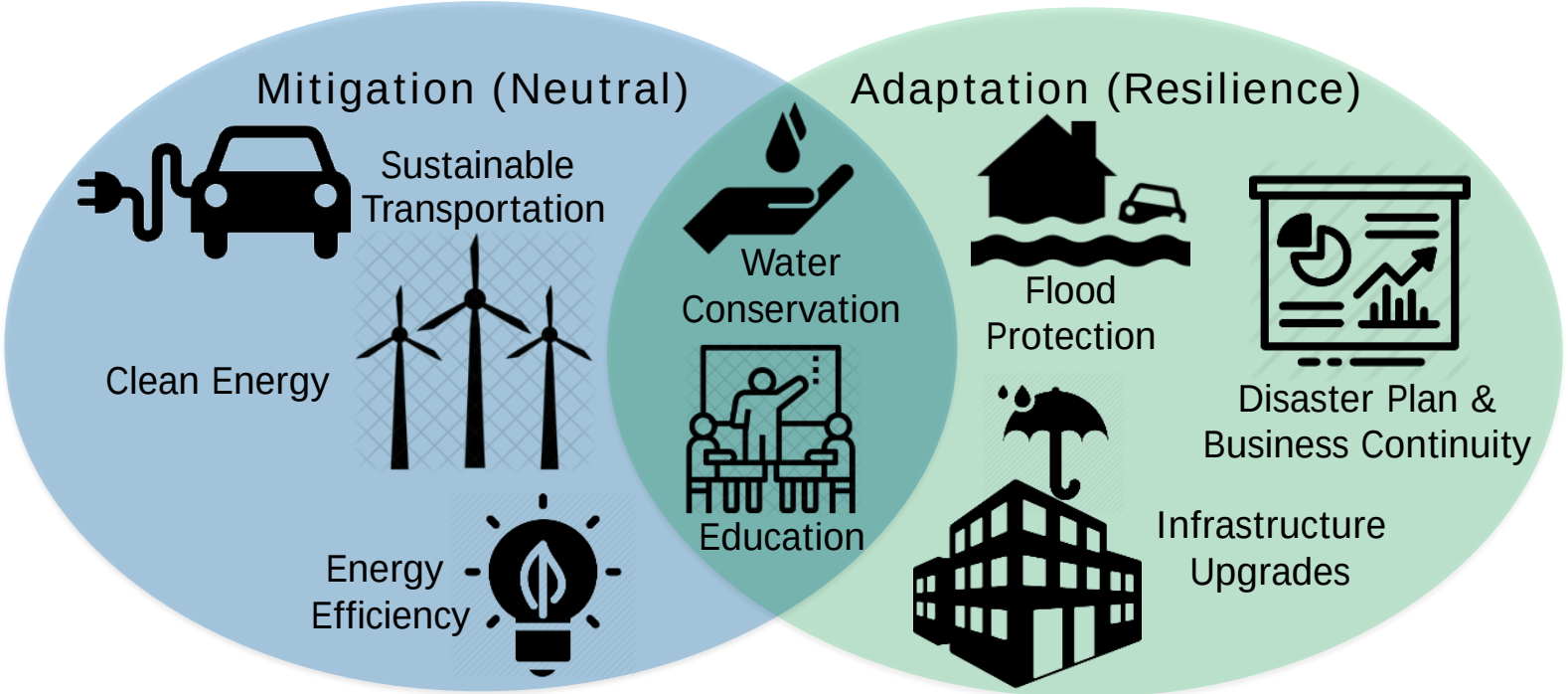
Air Travel

Others

Scope 3

Not-Owned & not-Controlled

Journey to Carbon Neutrality and Resilience



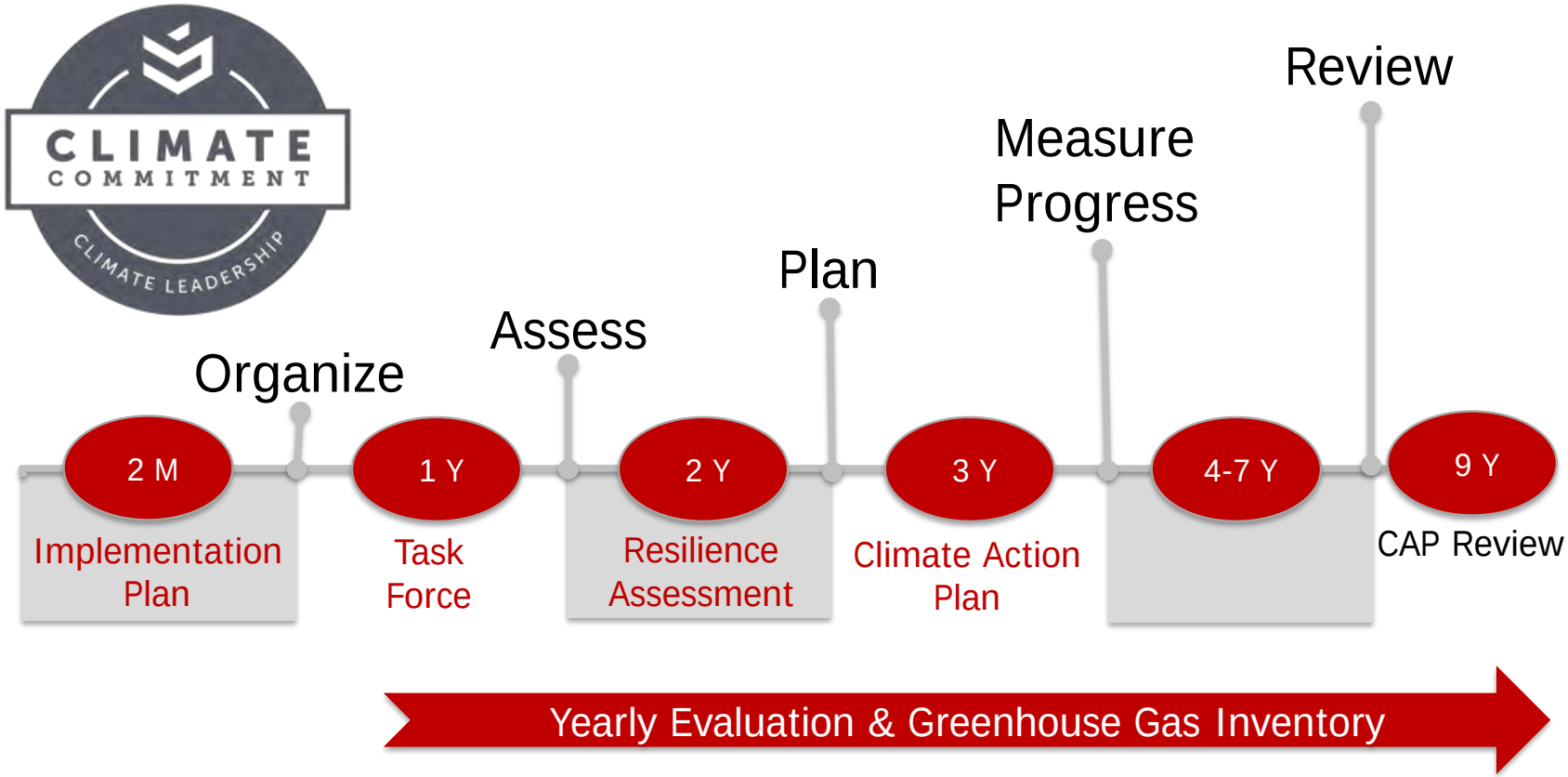
Globally Responsible

Actions to reduce GHG emissions contributing to climate change

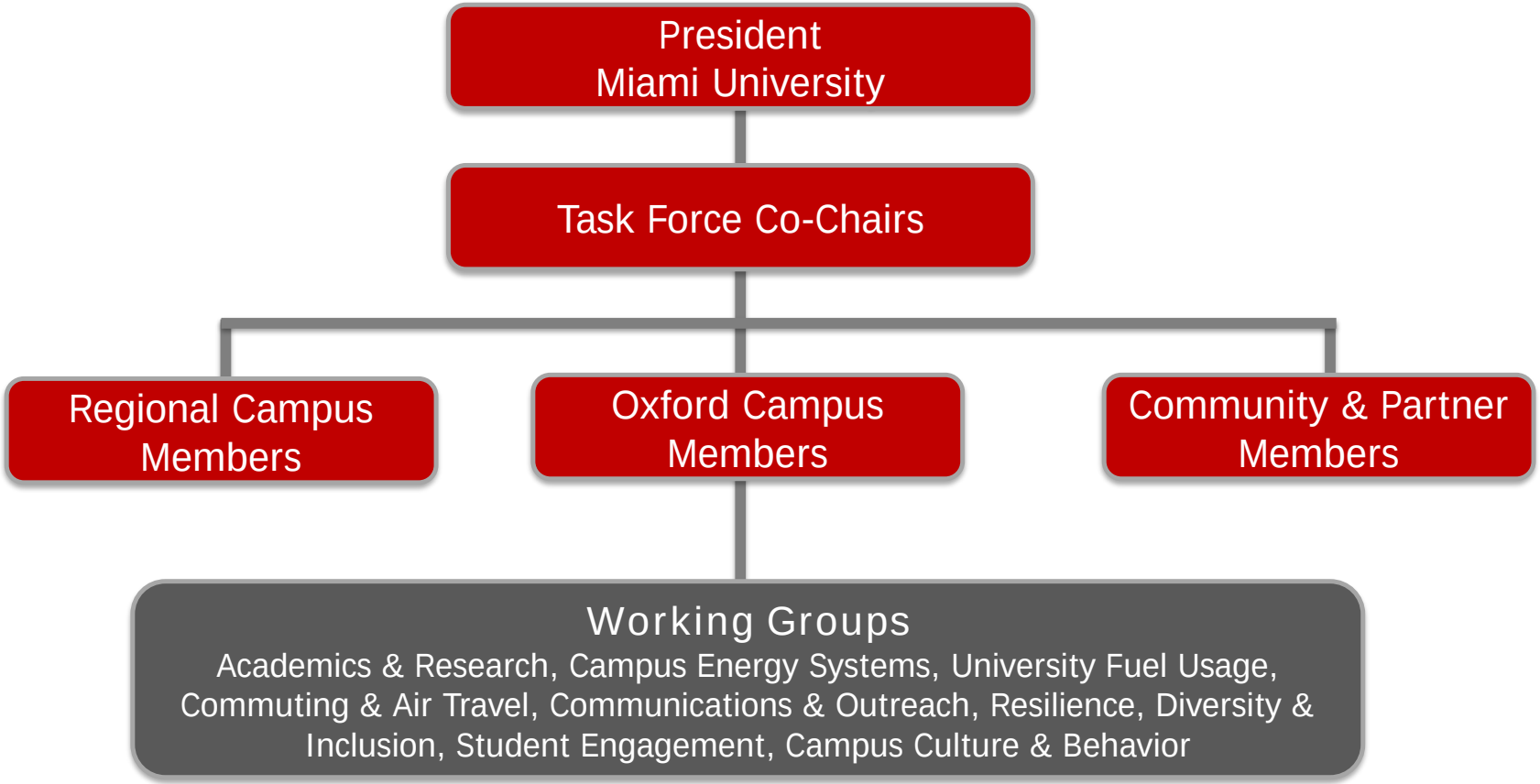
Locally Responsible

Actions to minimize or prevent negative impacts of climate change

Climate Commitment Timeline



Structure of Climate Action Task Force

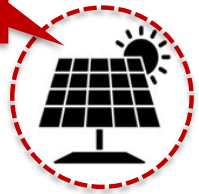


Communications & Near Term Engagement

- Identify Task Force Co-Chairs
- Univ. Senate & Faculty Assembly
- Campus Forums
- Engage Oxford Community
- Form Task Force
- Carbon/Climate Discussions
- Earth Day/Week Plans (April 22)

Empowering Transdisciplinary Engagement

Shaping Technology



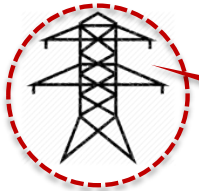
Welcoming Diversity

Educating Stewardship

Living Responsibly

Advocating Creativity

Optimize Algorithms



Transdisciplinary &
Critical Thinking

Creating Culture

Upholding Integrity

Building Smart

Advancing Discovery

Impacting Commerce

Elevating Health

Protecting Victims

Investing Wisely

Thank You!

Carbon Commitment Timeline

Organize

Assess & Plan

Measure Progress

Review

2 M

1 Y

2 Y

3-6 Y

7 Y

Implementation Plan

Climate Action Plan

CAP Review

Yearly Evaluation & Greenhouse Gas Inventory

Creating a Resilience Strategy

Health & Wellness

Infrastructure

Resilience

Ability of community to survive disruption, anticipate, adapt & flourish in face of change

Ecosystem Services

Economics

Social Equity

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February 21, 2020
Academic and Student Affairs

RESOLUTION R2020-29

BE IT RESOLVED: that the Board of Trustees hereby approves the following faculty for promotion and tenure, effective July 1, 2020:

Name	Divison 1	Division 2	Department	Department 2	Promotion
James Bielo	CAS		Anthropology		Tenure & Associate
Nathan French	CAS		Religion		Tenure & Associate
Mahmud Khan	CAS		Physics		Tenure & Associate
Tim Lockridge	CAS		English		Tenure & Associate
Joshua Magee	CAS		Psychology		Tenure & Associate
Sara McLaughlin	CAS		Sociology & Gerontology		Tenure & Associate
Karthik Vishwanath	CAS		Physics		Tenure & Associate
Stephanie Baer	CCA		Art Department		Tenure & Associate
Stephanie Danker	CCA		Art Department		Tenure & Associate
Daniela Inclezan	CEC		Computer Science and Software Engineering		Tenure & Associate
Michelle Buchberger	CLAAS		Interdisciplinary & Communication Studies		Tenure & Associate
Tracey Hoffman	CLAAS		Education and Society		Tenure & Associate
Mary Kovach	CLAAS		Commerce		Tenure & Associate
David Woods	CLAAS		Computer and Information Technology		Tenure & Associate
Beth Miller	EHS		Kinesiology & Health		Tenure & Associate
William Brink	FSB		Accountancy		Tenure & Associate
Michael Conger	FSB		Management		Tenure & Associate
Gregory Fisher	FSB		Marketing		Tenure & Associate
Haim Kassa Gebeyehu	FSB		Finance		Tenure & Associate
Fadel Megahed	FSB		Information Systems and Analytics		Tenure & Associate
William Moser	FSB		Accountancy		Tenure & Associate
Greg Niemesh	FSB		Economics		Tenure & Associate
Maria Weese	FSB		Information Systems and Analytics		Tenure & Associate
Marianne Cotugno	CAS	CLAAS	Languages, Literatures, and Writing	English	Full Professor
Dana Cox	CAS		Mathematics		Full Professor
Marcia England	CAS		Geography		Full Professor
Cynthia Klestinec	CAS		English		Full Professor
Theresa Kulbaga	CAS	CLAAS	Languages, Literatures, and Writing	English	Full Professor
Stephen Lippmann	CAS		Sociology & Gerontology		Full Professor
Rachael Morgan-Kiss	CAS		Microbiology		Full Professor
Tory Pearman	CAS	CLAAS	Languages, Literatures, and Writing	English	Full Professor
Monica Schneider	CAS		Political Science		Full Professor
Ben Jacks	CCA		Architecture and Interior Design		Full Professor
Katherine Kuvalanka	EHS		Family Science and Social Work		Full Professor
Jonathan Grenier	FSB		Accountancy		Full Professor

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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February 21, 2020
Academic and Student Affairs

RESOLUTION R2020 - 30

WHEREAS, University Senate on December 2, 2019 voted unanimously to forward the recommendation of the Kinesiology and Health Partitioning Committee, to partition the Department of Kinesiology and Health into the Department of Kinesiology and Health, the Department of Sports Leadership and Management, to the Provost for consideration; and

WHEREAS, it is the recommendation of the Dean of the College of Education, Health and Society, the Provost, and the President, to partition the Department of Kinesiology and Health into the Department of Kinesiology and Health, and the Department of Sports Leadership and Management.

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees hereby approves the partition of the Department of Kinesiology and Health into two separate departments, the Department of Kinesiology and Health, and the Department of Sports Leadership and Management, to become effective on July 1, 2020.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

MIAMI UNIVERSITY
COLLEGE OF EDUCATION, HEALTH & SOCIETY

DATE: January 9, 2020

TO: Jason Osborne, Provost
Ted Pickerill, Executive Assistant to the President

FROM: Michael E. Dantley, Dean, College of Education, Health and Society

RE: Partitioning of the Department of Kinesiology and the Sport Leadership and Management Program

This memorandum comes to provide my support as well as request to create a new department - Sport Leadership and Management in the College of Education, Health and Society. Sport Leadership and Management (SLAM) has been a thriving program in the Department of Kinesiology and Health (KNH) in the College of Education, Health and Society. I am very pleased to acknowledge that the process outlined in the Bylaws of the University Senate, Section 8.A, SR 14.01, has been carefully followed and has brought us to this request for the formal partitioning of SLAM from KNH.

The rationale for this partitioning is multifaceted. The initial conversation focusing on partitioning came as a result of the KNH, 2016 departmental program review. Following the recommendation of the program review committee, I requested the KNH faculty to explore partitioning. After an extensive SWOT analysis of the academic programs, enrollment data from 2013 to the present as well as projected enrollment data and the benefits as well as challenges such partitioning would have on KNH and SLAM, I decided to support the initiation of the partitioning process.

Such a move makes sense as this would allow the faculty in Kinesiology and Health to engage in a more shared academic vision focusing on preventative health, to create an even more extensive plan for experiential learning and the further creation of research laboratories for interventions and assessment of human clinical health, performance, public health and nutrition that are the foci of health-related majors in the department.

For SLAM, the partitioning allows this unique program to more emphatically articulate its distinctiveness. It can more easily stress its focus to critically analyze and innovatively engage in important social change in the business, leadership and culture of sport. It allows for the SLAM brand to be more explicitly promoted through partnerships within the University, writ-large with specific collaborations with faculty in the Farmer School of Business and the Department of Statistics through the Boldly Creative grant the SLAM program has been awarded. The

partitioning will grant programs within SLAM, in a more pronounced way, to devote attention to the areas of sport psychology, sport management, analytics, coaching and sport sociology.

Logistically, this is a wise move as SLAM currently has over 550 undergraduate majors, 26 masters degree students and 13 full time faculty; while KNH currently has around 1000 undergraduate majors, 65 masters degree students and 50 full time faculty. Each of these areas is viable on their own as large academic departments. Further, I would argue that there is greater potential for growth in new revenue due to increased visibility for SLAM as its own academic department, in the form of attracting new students. Over the last 5 years SLAM's non resident undergraduate enrollment has averaged 52%.

It is for these reasons that I am requesting Board of Trustees' approval for the partitioning of the SLAM program from the KNH department and for Sport Leadership and Management to become its own department. I greatly appreciate your consideration of this request.

College of Education, Health, & Society
Department of Kinesiology & Health

Proposal to Partition the Department of Kinesiology and Health
Executive Summary

The Department of Kinesiology and Health, in the College of Education, Health, and Society, is requesting to partition into two separate departments of Sport Leadership and Management (SLAM) and Kinesiology and Health (KNH). Both departments would remain in the College of Education, Health, and Society. The SLAM department would consist of academic undergraduate majors in Sport Leadership and Management, undergraduate minors in Sport Management, Coaching, and Sport Analytics, and a Master of Science in Sport Leadership. The KNH department would consist of academic undergraduate majors in Kinesiology, Public Health, Nutrition, and Athletic Training, an undergraduate minor in Nutrition, and a Master of Science in Kinesiology and Health.

The proposal to partition KNH is following the University Senate guidelines for the Consolidation, Partition, Transfer, or Elimination of Academic Divisions, Department, or Programs (Bylaws of the University Senate, Section 8.A). Provost Osborne deemed the proposal worthy of consideration and presented the proposal to the University Senate on October 7, 2019. The University Senate voted to approve the recommendation for the partitioning process to move forward. A process coordinator (Dr. John Bailer) and a faculty partitioning committee, with representatives from SLAM and KNH, are working to finalize an impact analysis regarding benefits and impacts on the University mission, all constituents and affected units, and budget. The University Senate, Council of Academic Deans and all affected administrators, departments, and programs will be kept informed of the progress toward partitioning. On December 2, 2019, the process coordinator and the University Senate shall make a recommendation to the Provost. If approved, the partitioning to two new departments would be implemented by the Fall of 2020.

Benefit to Students and Faculty

The Department of Kinesiology and Health is the largest department on campus with 1,670 undergraduate (UG) students, 86 graduate students, and 54 full-time (FT) faculty. Partitioning the current KNH department would result in two viable and robust departments: KNH (950 UG students, 41 Graduate students, 25 FT faculty) and SLAM (720 UG students, 45 Graduate students, 13 FT faculty). With a successful partitioning, these two departments would move forward with two very distinct academic missions and clear benefits to students and faculty.

Partitioning Kinesiology, Public Health, Nutrition, and Athletic Training to form the Department of Kinesiology and Health would result in benefits that include: a) more shared academic mission with a health focus and cohesive plan for experiential learning, internships, and research laboratories for interventions and assessment of human clinical health and performance that occurs among these related majors, b) more effective attention to advising and career planning for future health-related professions, and c) potential to create new nationally recognized accredited programs (e.g. Orthopedic Professionals' Orthopedic Physician Extender program, Clinical Trial Coordinator, Certified Strength and Conditioning Specialist, Clinical Exercise Physiologist).

A focused, autonomous Department of Sport Leadership and Management would result in benefits that include: a) creating a unique program of study designed to critically analyze and innovatively engage in important leadership and social change in the business and culture of sport, b) having the ability to grow with more attention given to individual disciplines such as sport psychology, sport analytics, coaching, and sport management, c) providing SLAM the opportunity to develop its brand internally through partnerships with other Miami departments (e.g., collaborations with Farmer School of Business and Department of Statistics for Boldly Creative Analytics and Sport Analytics initiative), as well as external sport business units and potential donors, and d) providing more effective advising (e.g., research and internship experiences) and career planning for sport related professions.

Benefits of KNH department without SLAM	Benefits of a SLAM department without KNH
More shared academic mission with a preventative health focus and cohesive plan for experiential learning, internships, and research laboratories for interventions and assessment of human clinical health, performance, public health, and nutrition that occurs among health-related majors.	Create a unique program of study designed to critically analyze and innovatively engage in important leadership and social change in the business and culture of sport.
More effective attention to advising and career planning for future health-related professions.	More effective advising (e.g., research and internship experiences) and career planning for sport related professions.
Create new nationally recognized accredited programs within the Master of Science (e.g. Orthopedic Professionals' Orthopedic Physician Extender program, Clinical Trial Coordinator, Certified Strength and Conditioning Specialist, Clinical Exercise Physiologist), increasing opportunities for grants and partnerships.	Develop the SLAM brand internally through partnerships with other Miami departments (e.g., collaborations with Farmer School of Business and Department of Statistics for Boldly Creative Analytics and Sport Analytics initiative), as well as external sport business units and potential donors.
Scale up post baccalaureate nutrition/dietetics internship program and launch a new Masters in Athletic training.	Grow with more attention given to individual disciplines such as sport psychology, sport analytics, coaching, and sport management.

Potential Financial Implications

The costs associated with partitioning the department would be minimal and outweighed by the potential growth in new revenue. Anticipated costs include administrative stipends, support staff, and renovation for a second department office space located in Phillips Hall. The projected costs for creating two distinct departments, after the one-time estimated expense for construction of a SLAM departmental office, is under $55,000 annually. This is minimal when compared to the projected annual surplus of close to $6,000,000 for each department.

Alignment with the University's Strategic Plan

Miami's new Strategic Plan calls for reorganizing the academic structure and it has been made clear that incremental change is not enough. Change is sometimes difficult, especially significant changes such as partitioning a department. Partitioning into two new departments represents a bold step forward and an exciting opportunity for change, growth, and renewal for both SLAM and KNH.

College of Education, Health, & Society
Department of Kinesiology & Health

Assessment and Impact Analysis to Partition the Department of Kinesiology and Health

On November 22, 2019 the Department of Kinesiology and Health passed the following motion:

> Partition the Department of Kinesiology and Health into two separate departments: a Department of Sport Leadership and Management and a Department of Kinesiology and Health.

The proposal to partition KNH is following the University Senate guidelines for the Consolidation, Partition, Transfer, or Elimination of Academic Divisions, Department, or Programs (Bylaws of the University Senate, Section 8.A). Provost Osborne deemed the proposal worthy of consideration and presented the proposal to the University Senate on October 7, 2019. The University Senate voted to approve the recommendation for the partitioning process to move forward. A process coordinator (Dr. John Bailer) and a faculty partitioning committee, with representatives from SLAM and KNH, worked to finalize an impact analysis regarding benefits and impacts on the University mission, all constituents and affected units, and budget. The University Senate, Council of Academic Deans and all affected administrators, departments, and programs were kept informed of the progress toward partitioning. On December 2, 2019, the process coordinator will present a final report to the University Senate. After discussion and debate, it is expected that the University Senate will make a recommendation to the Provost. If the Provost approves, the partitioning to two new departments would be implemented by Fall of 2020.

Benefit to Students and Faculty

The Department of Kinesiology and Health (KNH), in the College of Education, Health, and Society (EHS), is requesting to partition into two separate departments of Sport Leadership and Management (SLAM) and Kinesiology and Health. Both departments would remain in EHS. The SLAM department would consist of academic undergraduate majors in Sport Leadership and Management, undergraduate minors in Sport Management, Coaching, and Sport Analytics, and a Master of Science in Sport Leadership. The new KNH department would consist of academic undergraduate majors in Kinesiology, Public Health, Nutrition, and Athletic Training, an undergraduate minor in Nutrition, and a Master of Science in Kinesiology and Health.

The Department of Kinesiology and Health is one of the largest departments on campus with 1,670 undergraduate (UG) students, 66 graduate students, and 43 full-time (FT) faculty. Partitioning the current KNH department would result in two viable and robust departments: KNH (950 UG students, 40 Graduate/BAMA Combined students, 30 FT faculty) and SLAM (720 UG students, 26 Graduate/BAMA Combined students, 13 FT faculty). With a successful partitioning, these two departments would move forward with two very distinct academic missions and clear benefits to students and faculty.

Partitioning the Department of Kinesiology and Health would result in benefits as shown in the following table:

Benefits of KNH department without SLAM	**Benefits of a SLAM department without KNH**
More shared academic mission with a preventative health focus and cohesive plan for experiential learning, internships, and research laboratories for interventions and assessment of human clinical health, performance, public health, and nutrition that occurs among health-related majors.	Create a unique program of study designed to critically analyze and innovatively engage in important leadership and social change in the business and culture of sport.
More effective attention to advising and career planning for future health-related professions.	More effective advising (e.g., research and internship experiences) and career planning for sport related professions.
Create new nationally recognized accredited programs within the Master of Science (e.g. Orthopedic Professionals' Orthopedic Physician Extender program, Clinical Trial Coordinator, Certified Strength and Conditioning Specialist, Clinical Exercise Physiologist), increasing opportunities for grants and partnerships.	Develop the SLAM brand internally through partnerships with other Miami departments (e.g., collaborations with Farmer School of Business and Department of Statistics for Boldly Creative Analytics and Sport Analytics initiative), as well as external sport business units and potential donors.
Scale up post baccalaureate nutrition/dietetics internship program and launch a new Masters in Athletic training.	Grow with more attention given to individual disciplines such as sport psychology, sport analytics, coaching, and sport management.

Alignment with the University's Strategic Plan

Miami's new Strategic Plan calls for reorganizing the academic structure and it has been made clear that incremental change is not enough. Change is sometimes difficult, especially significant changes such as partitioning a department. Partitioning into two new departments represents a bold step forward and an exciting opportunity for change, growth, and renewal for both SLAM and KNH.

Budgeting and Potential Financial Implications

The costs associated with partitioning the department would be minimal and outweighed by the potential growth in new revenue. Anticipated costs include administrative stipends, support staff, and renovation for a second department office space located in Phillips Hall. The projected costs for creating two distinct departments, after the one-time estimated expense for construction of a SLAM departmental office, is under $55,000 annually. This is minimal when compared to the projected annual surplus of close to $6,000,000 for each department.

Assignment and Use of Space for Both Departments in Phillips Hall

The partitioning of the Department of Kinesiology and Health into two separate and equal new departments in the College of Education, Health, and Society will require the sharing of space in Phillips Hall. It is anticipated that both of the departments will continue to be housed in Phillips Hall. The new KNH and the new SLAM agree to communicate with each other and try to reach mutual agreements about the use of any space in Phillips Hall.

Common areas in Phillips Hall will be shared equally among faculty, staff and students in both KNH and SLAM departments. Any changes to common areas should be agreed upon by both departments. If an agreement cannot be reached, the EHS Dean will meet with both department chairs to reach a decision.

SLAM and KNH recognize that most decisions about the use of Phillips Hall is not under the control of either department. Any changes (such as renovation or use) to any of these areas should be communicated to and discussed by both departments. Class scheduling will occur with the guidance of the registrar and representation from each department in order to share the space fairly. If an agreement cannot be reached, the EHS Dean will meet with both department chairs to reach a decision.

The current process in place for determining faculty office selection in Phillips Hall should a faculty office become available shall remain in place.

Courses, Scholarships/Endowments, and Graduate Assistantships

All SLAM graduate and undergraduate courses will use SLM as a subject identifier. All KNH courses will continue to use KNH. Courses were designated as SLM or KNH based upon the faculty who teach the course and/or the student majors/minors who take the course. All physical activity type of courses will be moved to the SLAM department and be taught by SLAM graduate assistants, adjunct instructors or current Miami University employees. All laboratory courses or other courses (e.g., KNH 101, KNH 116) that contain content related to kinesiology or health will be move to the KNH department and be taught by KNH graduate assistants, adjunct instructors, or current Miami University employees. The division of courses (SLM or KNH) was easy given the two distinct missions of the KNH and SLAM programs.

The KNH department offers six scholarships for undergraduate majors. These six scholarships were divided between SLAM and KNH based on the intent of the scholarship provider. If the intent of any scholarship provider was not known, the scholarships were divided among the two

departments attempting to provide a fair distribution of scholarship funds. The amount of the scholarship funding does vary annually. These scholarships will belong to KNH: Virginia Pierson Guinn Memorial, Victoria L. Eby Memmel, Bonnie Lynn Sollars Pickering Memorial, and Maxine J. Shurtz. These scholarships will belong to SLAM: WRA-Margaret E. Phillips Grant and Hays Sports Study Scholarship. KNH currently has two quasi-endowments. These endowments will be combined and then redistributed with 60% going to KNH and 40% going to SLAM.

Two separate departments will mean graduate programs will also be separated. Both KNH faculty and SLAM faculty are in the process of separating the current Masters' of Science in Kinesiology and Health with proposals for new MS degrees. Currently the KNH department has graduate assistantships awarded to students on several factors, including academic qualifications and potential teaching and research contributions to program areas. SLAM and KNH have mutually agreed on a division of graduate assistantships for the two new departments.

Faculty

In the partitioning, all tenured, tenure-track, TCPL, and visiting Oxford SLAM faculty will be part of the SLAM Department and all Oxford KNH faculty (tenure-track, TCPL, and visiting) will be part of the KNH Department. Faculty will be assigned based upon their current program area affiliation. There is one faculty member on the regional campus who will have an affiliation with the SLAM department.

Staff

If the partition is approved, the two departments chairs will work with the EHS Dean to determine staffing positions for the two new departments. The current KNH department has two departmental academic advisors so one will go with KNH and one will go with SLAM. The laboratory coordinator for Nutrition will go with the new KNH department. The Physical Activity Life Skills coordinator will go with the new SLAM department. The EHS/KNH/SLM technology staff will continue to serve the faculty, staff and students in Phillips Hall.

Division, other Departments, or other Programs Affected

This partitioning takes a department in the College of Education, Health and Society (EHS) and forms two new departments, both housed in EHS. The Dean of EHS has been consulted as have faculty and staff members across the division. The partitioning process and information have been shared widely with divisions, departments, and programs; and with all interested parties within and external to Miami.

Transition to Two New Departments

If the partitioning of the Department of Kinesiology and Health is approved, both departments would like to begin a transition period in the Spring 2020. A transition period is needed to organize separate departmental matters, such as, work with the registrar for course designations and scheduling, develop a departmental governance document, committee assignments, staffing assignments, teaching and advising assignments, create materials for student recruitment efforts

(e.g., Make it Miami), summer orientation, and other events. Officially, the new KNH and SLAM departments would still be one department with one budget and one chair until July 1, 2020, however, there are several departmental activities that are best handled by the faculty separately in anticipation of the official partitioning.

Ideally, the two new separate departments of Sport Leadership and Management and Kinesiology and Health would begin official operation in the new budget year beginning July 1, 2020.

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February 21, 2020
Academic and Student Affairs

RESOLUTION R2020 - 31

WHEREAS, University Senate on December 2, 2019 voted unanimously to forward the recommendations of the Classics Consolidation process coordinator to the Provost for consideration; and

WHEREAS, it is the recommendation of the Dean of the College of Arts and Science, the Provost, and the President, to consolidate the Department of Classics with the Department of French and Italian.

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees hereby approves the consolidation of the Department of Classics with the Department of French and Italian, to become effective on date determined by the Provost; and

BE IT FURTHER RESOLVED, that for the present the consolidated Department's name shall be the Department of French and Italian, until such time that a new name is proposed and approved.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

I write to request and support the consolidation of the Department of Classics with the Department of French and Italian, effective Fall, 2020. This consolidation has been requested by the faculty of the Department of Classics and recommended by University Senate, the department chair, dean, and provost. It will streamline and enhance offerings and improve efficiencies in the College of Arts and Science.

This recommendation was reached following procedures outlined in the Bylaws of University Senate, Section 8.A, SR 14.01. Following a Program Review report of fall, 2018, the Classics faculty formally requested a study of possible consolidation with a closely related department. Provost Callahan appointed Prof. Terri Barr (MKT) as process coordinator. The process coordinator recommended merging Classics with French and Italian due to the synergies and overlaps among the fields. This recommendation was delivered to the Provost in December, 2019.

The consolidation better aligns resources with declining student demand and streamlines administrative burdens. It will also facilitate the development of more efficient and creative shared curriculum in Romance languages and cultures and their ancient origins. Study abroad programs in Italy and Greece also have the potential to grow through this consolidation.

Background

The study of ancient Greece and Rome and their languages is a long-standing and distinguished field at Miami University. It is also a traditional hallmark of a strong liberal arts institution. At Miami, it has productive and dedicated faculty, and student demand for introductory MPF courses has not flagged. Nonetheless, in the last decade upper-level enrollments in Classics, and language courses in Latin and Ancient Greek have been in decline both locally and nationally (see the 2019 MLA study "Enrollments in Languages Other Than English"). With these low numbers, the department was on the edge of viability.

The newly consolidated department will have a total of approximately 60 majors, roughly evenly divided among French, Italian Studies, and Classical Studies. It will serve a total of 165 minors, over 600 students enrolled in language courses each semester, and about 250 students in MPF courses. The new department will have 12 continuing faculty (tenure track and TCPL), and 4 VAP's in a typical year. Taken together, the new unit is viable across all levels of undergraduate offerings.

Administrative Structure

The consolidation will conserve resources by compensating one department chair instead of two, and having one administrative assistant. (In recent years, the administrative assistant position in Classics had been reduced to half-time, and then eliminated, with the work being distributed out among several admins in the building. This arrangement was cumbersome and inefficient.)

Curriculum

The Classics program currently offers two majors and one minor. The two majors will be consolidated into one, Classical Studies, effective Fall 2020. Despite some decline, Latin language continues to have

sufficient demand to fill multiple sections; it is particularly of interest to high-performing students who studied Latin at private and Catholic high schools, where it continues to be offered. Due to very low demand, Ancient Greek language courses are being discontinued. These changes will enable the faculty to focus on serving students where demand is stronger, and preserves a classical studies option. Courses that can serve all three areas (French, Italian, Classics) are being developed. This should improve efficiency as well.

Personnel

There are currently four tenured faculty and one visiting assistant professor in Classics. Three of the four tenured faculty will join the new department. The fourth will move his line to the History department while continuing to contribute some courses to the Classics curriculum. A Memorandum of Understanding is being written to apportion his time.

Department Name

The new department will retain the name French and Italian for the present, as the group works toward finding a new name.

Department of Classics Potential Merger
Process Coordinator Report

Executive Summary

In the Spring of 2019, at the April 22 meeting of University Senate, Department of Classics Chair (and member of University Senate), Steven Tuck, asked Senate to accept the Classics Department wish to merge with another department in the College of Arts and Sciences (CAS). Senate accepted the request, and Provost Callahan appointed Terri F. Barr, Professor in the Department of Marketing, to act as Process Coordinator for this merger (see SR 14-01 for process document). Following SR 14-01, Dr. Barr began a process that included speaking with Dr. Ryan Barilleaux, Interim Chair of Classics, who provided a short summary of the faculty members in the department and the four departments under consideration as merger partners. A meeting with CAS Dean Chris Makaroff, Sr. Associate Dean Renee Baernstein, and Dr. Barilleaux, to review the process took place in early August. At that meeting, a timeline was established that would have a plan from Dr. Barr in front of University Senate by the end of the Fall Semester 2019, and a merger completed by the end of the Spring semester of 2020.

SR 14-01 is the 'Guide for the Consolidation, Partition, Transfer, or Elimination of Academic Divisions, Department, or Programs' passed by University Senate on September 23, 2013 and updated on February 6, 2017. SR 14-01 outlines the process being undertaken. (The full resolution is found in Appendix A).

Prior to Dr. Barr's participation in the process, the Department of Classics had identified several potential merger partners. Members of the Department visited with either Chairs or other faculty members of four CAS departments to gauge interest and fit - German, Russian, Asian and Middle Eastern Languages and Culture (GRAMELAC); History; French and Italian; and Comparative Religion.

In order to ensure that all stakeholders' interests and concerns were considered in the process, Dr. Barr set up meetings as follows:

7/1/19 - Dr. Ryan Barilleaux - Interim Chair, Classics (by telephone)
8/6/19 - CAS Deans Makaroff and Baernstein, Dr. Barilleaux
9/11/19 - Department of Classics faculty
9/18 to 9/25/19 - 5 Individual Meetings with Classics faculty members
10/1 to 10/7/19 - 4 Individual Meetings with Chairs of Potential merger partners
10/16 to 11/1/19 - 3 Group meetings with Potential merger partner faculty
10/24/19 - Classics students - Classics Club
10/28/19 - Dr. Renee Baernstein, CAS Associate Dean for Curriculum
10/30/19 - Provost Jason Osborne
11/1/19 – Draft report to CAS Dean Makaroff for review and comments
11/4/19 - Written update to Senate
11/5/19 – Draft report to Classics, and Department Chairs for review and comments
11/18 to 11/24/19 – Individual discussions with Classics faculty members, Provost, Dean's Office, and Chair of History, and Department of French and Italian regarding possible Tuck move to History instead of French and Italian
11/25/19 – Meeting with Classics Faculty to discuss final options
11/25/19 – Updated draft report to CAS Dean Makaroff for review and comments
12/2/19 - Dr. Terri Barr presentation of report to Senate for approval

Note: Faculty members in one department were invited to meet either as a group or individually with Dr. Barr (no one accepted that invitation, although some faculty offered their concerns via email).

The Department of Classics expressed a set of priorities that they wanted considered as recommendations for a merger partner were made. They include:

1. Maintaining the integrity of their program, without interference in programming
2. Staying together as a group in order to ensure the integrity of their program
3. Maintaining their visibility as a discipline, including retaining Classics in the name of any merger partner
4. Consideration as a full partner within their new department and the opportunity to offer cross-listed courses
5. Maintaining Greek language courses

Additionally, concerns voiced included being asked to merge again in the future, should they be merged with another small department, and becoming the 'service' arm (offering more than their share of service courses) if merged with a larger department.

The Department of Classics underwent an Academic Program Review in November of 2018. The outcome of that review identified several areas of concern that the review team felt needed to be addressed. While the review team strongly recommended that the Department of Classics remain a stand-alone department, they also recognized the enormous challenge associated with resources (to replace faculty lines; take on additional curricular initiatives; recruit students). Recommendations were to streamline the curriculum, increase the number and diversity of majors, enhance experiential programs (study abroad, independent research), increase visibility on campus, and recruit incoming students. In spite of recommending the Department stay a stand-alone entity, the Dean's office asked for two plans of action. Plan 1 was to continue as a stand-along entity. Plan 2 was a merger with another department amenable to such a plan. (The entire Program Review and the Dean's Responses to the Review are found in Appendix B.)

Dr. Ryan Barilleaux joined Dr. Barr in meetings with the Department Chairs of the four potential merger partners. In those meetings, it became quickly clear that the Departments of GRAMELAC and History and did not see how a merger with the Department of Classics made sense for them. The department chairs had different set of concerns, including fit and visibility. The Chairs of Comparative Religion and French and Italian were more open to discussing the potential opportunities, although issues around governance, curriculum, and resources were key concerns. Meetings with the faculty of History and Comparative Religion, and emails from concerned faculty in GRAMELAC, uncovered strong misgivings about how a merger with Classics would benefit their own departments.

Of the four departments under consideration as merger partners, the Department of French and Italian (both the Chair and the Faculty) appeared to see this merger as an opportunity for research and teaching collaboration, cross-listed coursework, study abroad, and an opportunity to reshape their own identity and curriculum with their new colleagues.

A draft report recommending that the Classics faculty merge with the Department of French and Italian was circulated for comments before Dr. Barr was to present the report to Senate. At that time, Dr. Tuck asked that he be moved to the Department of History and not the Department of French and Italian. The Department of History was receptive to that move. A discussion with the faculty of the Department of Classics, with input from the Department of French and Italian confirmed that Dr. Tuck wished to move to the Department of History, and the remaining three faculty were strongly in favor of moving to the Department of French and Italian.

To that end, Dr. Barr (with the support of Dr. Barilleaux), recommend that the majority of the faculty of the Department of Classics be merged with the Department of French and Italian to form a new department that includes Classics in the name in some fashion, and that Dr. Tuck become a member of the Department of History. An implementation team will be put into place to develop a plan to complete this merger by the beginning of the Fall Semester 2020.

Classics as an Essential Element in Liberal Education

The future of Classics in liberal education has been debated for many years, perhaps most recently at the Society for Classical Studies meeting in 2019. Classics scholars are divided on where they think the future of the field is, however all agree that it is an important discussion to have. Contemplation of the future by scholars of ancient history and languages is a "paradox" as one blogger has written (https://classicalstudies.org/scs-blog/christopher-trinacty/blog-predicting-future-classics). Author Eric Adler, in his book Classics, the Culture Wars and Beyond, suggests that the humanities in general are facing a crisis as they fight for recognition of their value in institutions of higher education. He goes on the say that the study of ancient languages, in particular, gives students "direct access to works of fundamental importance to our civilization" (https://www.insidehighered.com/news/2017/01/06/author-discusses-his-new-book-state-classicscite).

Kiplinger.com ranks a Classics major #16, in its top 25 undergraduate majors (ahead of business majors), and #2 in liberal arts majors (second only to American Studies). Kiplinger's writes the "level of critical thinking and research skills required to do it [Classics] is highly marketable in a wide range of industries." (https://www.kiplinger.com/slideshow/business/T012-S001-best-college-majors-for-a-lucrative-career-2019/index.html) In July 2019, a joint statement by the Society of Classical Studies and the Archaeological Institute of America was published to highlight the many benefits to both students and faculty that come with the study of Classical Studies and Archaeology, including issues relevant in society, politics, government, the arts, and hard sciences. (The complete statement can be found in Appendix B of this document.)

Background Information

It is important to understand the size and offerings of the Department of Classics, and the four possible merger partners being considered.

The Department of Classics in the College of Arts and Sciences offers two majors, a co-major, a minor, two thematic sequences, and three Miami Plan courses. Five faculty are members of the department, four of them continuing faculty. All of these four are tenured.

The Department underwent Program Review in 2018 (see Appendix C) in which the review team identified a number of issues that needed to be addressed including curriculum and enrollments in both majors and language classes, and lack of ongoing resources for hiring new faculty. Organizational structure was addressed as well, recommending that the Department develop a plan to maintain the discipline's presence on campus, including consideration of a merger with another department.

Four CAS departments have been identified as potential partners. Table 1 provides information regarding the size, offerings, number of faculty, number of students in each of the departments under consideration. Information from the Department of Classics is also included.

Table 1 - Departments by the numbers (Oxford campus)

	Classics	History	GRAMELAC	Comparative Religion	French and Italian
Size and make-up of faculty	5 faculty - 3 full professors, 1 associate professor, 1 visiting assistant professor	20 faculty in Oxford - 7 full professors, 7 associate professors, 2 assistant professors, 1 TCPL, 3 visiting faculty	20 faculty - 7 full professors, 2 associate professors, 2 assistant professors, 4 TCPL, 3 visiting faculty, 2 half-time instructors	7 faculty - 2 full professors, 1 associate professor, 2 assistant professors, 2 TCPL	11 faculty - 3 full professors, 2 associate professors, 1 assistant professor, 2 TCPL, 3 visiting faculty
Major programs	2 majors - Classical Humanities; Classical Languages	1 major - History	3 majors - East Asian Languages and Cultures; German; Russian, East European and Eurasian Studies	1 major - Comparative Religion	2 majors - French; Italian Studies
Co-major programs	1 co-major - Critical and Classical Languages and Culture	None	1 co-major - Critical and Classical Languages and Culture	None	None
Minor programs	2 minors - Classical Humanities and Archaeology (shared with Anthropology)	1 minor - History	5 minors - Arabic; Chinese; German; Japanese; Russian; East Asian Studies; European Studies	1 minor - Religion	2 minors - French; Italian
Masters		MA in History			MA in French
# of students in majors as of 10/28/2019	15 – Classical Humanities 6 – Classical Languages	163	26 – German 26 –REEES 56 –EALC	33	21 – French 15 - Italian
# of students in co-majors	5 – shared with GRAMELAC		5 – shared with Classics		
# of students in minors	15- Classical Humanities 23 - Archaeology	168	Arabic – 33 Chinese – 39 German-59 Japanese-43 Russian-11	14	French-91 Italian-32

Sources: Departmental Websites; MU Institutional Research; CAS Dean's Office (OBIEE) (as of 11/7/19)

The Implications of Consolidating Classics with another Department

There are financial implications when departments are consolidated, partitioned, transferred, or consolidated. In the case of a consolidation of the Department of Classics with another department in the College of Arts and Sciences, the most significant financial impact is the savings of a Chair's stipend (which is 10% plus one month's salary and other fixed expenses). This can range anywhere from about $24-$38K annually. Additional savings would typically come in the form of staff salary as well, although at this time, the Department of Classics has no administrative staff member. Merger with another department may require the addition of another administrative staff member to help alleviate the administrative burden in the new departmental home (especially in the area of study abroad support).

There are other implications of a merger that are more difficult to quantify, including the savings of faculty service time when in a larger department, and higher visibility of the Department of Classics courses which could bring in additional students both to the major and minor, but also to their larger service courses.

Meeting Highlights

Department of Classics Faculty

Faculty members wanted to share what they perceive as the strengths of their Department, which include:

1. Strong research profile of all four tenured faculty members
2. Strong teaching faculty with consistently high teaching evaluations; all teach service courses
3. Strong students
4. Active in university service and outreach to high school teachers and students
5. Curriculum that is attractive to all students; courses offered in support of the University Honors Program
6. Involvement in the Humanities Center and a commitment and investment in the Humanities
7. Strong study abroad/study away/on-line courses
8. Strong interdisciplinarity; the Department regularly offers cross-listed coursework
9. The Department offers a diverse approach to the teaching of Classics, focusing on bringing an historical perspective to modern issues

The faculty also expressed concerns as follows:

1. Wish to stay together to maintain the integrity of their program (this has since changed)
2. Move to a non-language department; language is critical to Classics
3. Perceptions/misperceptions of what a partner department thinks Classics is
4. Do not want to become the service core of another department
5. Future faculty lines; will they be able to hire in the future? Keep their one VAP?
6. Maintain their visibility as a Department; want the name Classics incorporated into the name of their merger partner
7. Wish to stay in Irvin and proximity to the language lab
8. Joint archeology minor has to be part of the merger conversation (only two faculty members left to teach in that minor - one in Classics; one in Anthropology)

Individual meetings with Classics department faculty reiterated these key concerns. While each member of the Department had his/her own ranking of which potential departments were the 'best' all seemed to be more concerned about staying together as a unit, no matter where they landed. Each had his/her own

reasons for wanting one potential partner over others, but none appeared to be 'deal-breakers'. Specific opportunities and concerns voiced included:

Opportunities:
1. Association with another language department is preferred, and would be more seamless
2. Working with another group of colleagues who are intellectually synergistic
3. Classics brings good students with them to a new partner
4. The department is a supportive home for LGBTQ+ students and could bring that to the new partner

Concerns:
1. Being swallowed up by a larger department; becoming the 'service' arm of a department; seen as 'second class citizens'
2. Becoming a merger target in the future if partner with a small department
3. Losing visibility as a program/department
4. What are the possibilities of hiring more Classics faculty in a new departmental home?
5. Is merger of Classics the beginning of a move to consolidate all languages into a Department of Languages?
6. Will a curriculum review be done in the new partner department? The Classics department just went through that; would it have to be done again?

Meetings with Department Chairs of Potential Merger Partners

Four departments were identified as potential merger partners by members of the Department of Classics. Meetings with the chairs of each of the four (GRAMELAC, French and Italian, History, and Comparative Religion) identified a set of opportunities and concerns. Key opportunities and concerns included:

Opportunities
1. Department could begin to offer ancient history classes again (History; Comparative Religion)
2. Department could re-envision itself and be creative in new course, program, study abroad Development (French and Italian)
3. There are common materials and significant overlap in subject matter (French and Italian)
4. There is a common set of values and strong commitment to scholarship (French and Italian)
5. Critical Race studies courses would be welcomed offerings in partner department (History)

Concerns

1. Teaching languages is not part of the potential partner discipline (History)
2. The languages are not structurally tied to the languages taught in potential partner department (GRAMELAC)
2. The ancient world focus is exactly opposite of the potential partner's mission (Comparative Religion)
3. Resources - what resources will come with welcoming new faculty into the partner department (all potential partners)
5. Governance with respect to hiring decisions, voting rights (all partners)
6. Curriculum - will partner courses be cut in order to offer Classics courses? (French and Italian)
7. Differences in class sizes and workload issues (History)

Meetings with Potential Merger Partner Faculty

Dr. Barr attended faculty meetings of three of the four potential merger partner departments. The fourth department faculty were asked for any concerns that they had, with offers to meet individually, and offer thoughts via telephone or email.

Opportunities
1. One department was cautiously optimistic about the possibilities that a merger with Classics could bring to them;
2. Seen as a chance to be creative and develop a cohesive, collaborative department; receptive to cross-listed courses and new study abroad options for students

Concerns
1. Governance and curriculum
2. Resources and future tenure track faculty lines
3. Administrative support
4. Departmental name change
5. What happens if there are no more majors? What will the Classics faculty teach?

Meeting with Classics Students

Eight students attended an evening meeting of the Classics Club to express their concerns regarding a merger of the Department of Classics with another department yet to be named. Overwhelming concern is curricular:
- will the major still exist?
- will a merger affect the number of courses offered
- what is the future of Greek?
- what happens if the major goes away, and I haven't graduated yet?

Other concerns included:
- how a merger will affect the national reputation of the Department of Classics
- will the department continue to get tenure-track lines if they merge with another department?

Answers to Concerns

While there are questions and concerns for which the answers have yet to be determined (ex. Governance; resources), there are questions that can be addressed. Other common questions are around issues of curriculum and course offerings.

Concern: Will the potential partner lose courses or be forced to cut curriculum in order to accommodate the Classics courses and curriculum?
Answer: No; many departments have multiple curricula. Each Program will be evaluated on its merits and viability, just as they have always been.

Concern: Will the Classics major still exist? What happens if the major goes away and I haven't graduated?
Answer: The Classical Humanities major will continue to exist; the Classics faculty are currently working on a revision to it, which will incorporate the courses of the current

Classical Languages major. The plan is for the Classical Languages major to be phased out beginning next year. All students currently enrolled in any major may complete that major. (The Bulletin of courses under which you entered determines the requirements of the major and the department is obligated to offer those courses.) Any change to offerings is made effective for future students only.

Concern: How will department chairs be chosen?
Answer: Department chairs are ultimately chosen by the Dean and Provost. All tenured faculty are eligible to be department chair.

Recommendation

When the Department of Classics approached Senate in April of 2019 with a request to merge with another department, the faculty of Classics had already identified and reached out to the four possible merger partners explored herein. Clearly, the faculty felt that there was potential synergy and opportunity within each of the four, and that each of the four was an acceptable partner. The goal of the process coordinator was to find which of the four offered the most opportunity and support of the merger.

It is important to understand why the merger was determined as the best course of action by Classics faculty, even after their Program Review team encouraged them to remain a stand-alone department. The rationale for asking for a merger came as a result of several factors: the department chair stepping down from that position, and no one stepping up to take on that role; the loss of the administrative staff to another position and no replacement; the need to restructure to deal with limited enrollment and limited resources; and the goal to maintain the presence of Classics on campus in a relevant way.

In order to determine the most suitable merger partner for the Department of Classics, meetings were held to identify both the wants and needs of Classics, and what the faculty thought that they offered potential partners. A review of the conversations with all stakeholders brought an obvious choice of merger partner. Of the four possible partners, the Chair and Faculty of the Department of French and Italian were the most receptive and the most enthusiastic of any merger partner. While other departmental partner options were willing to engage in the conversation, the faculty of the Department of French and Italian saw opportunities for new programming, cross-listed courses, and interdisciplinary research with colleagues from Classics.[1] The Department of French and Italian, and the Department of Classics are relatively comparable in size, in the same building (so there would be no need to relocate anyone except Dr. Tuck to the Department of History), and there is an obvious relationship between Latin taught in the Department of Classics and the Romance languages taught in the Department of French and Italian. Additionally, both departments have strong study abroad programs where there may be synergy and cross-over of students.

[1] During this time, Dr. Tuck expressed concerns about his fitting into a language department, and asked to move to the Department of History, instead of the Department of French and Italian.

Implementation

Plans for implementing must be developed expeditiously in order for the Department of Classics to be fully merged with a new departmental partner by Fall 2020. Issues that need to be resolved include: governance (Who has voting rights? How are faculty lines allocated? How is curriculum administration and workload managed?; curriculum (Does the partner department lose courses to accommodate courses from Classics?); resources (administrative support; departmental funds); departmental name, etc.

The implementation team should include members of both departments (Classics and merger partner). Classics students have asked to be included in this process.

EXECUTIVE COMMITTEE of UNIVERSITY SENATE
Dana Cox, Chair
James Bielo, Chair-elect
University Senate Website: miamioh.edu/academic-affairs/university-senate/

November 22, 2019

To: Gregory P. Crawford, President
From: Jeffrey Wanko, Secretary of the University Senate
Re: Degree Program Approval
SR 20-09, Bachelor of Science in Education, with a major in Primary Education, College of Education, Health and Society

The Miami University Policy and Information Manual, Section 11.1.E, Adding a New Degree, states that a proposal for any curriculum or program leading to a new undergraduate or graduate degree shall be submitted to the President, the Board of Trustees, and the Ohio Board of Regents/Ohio Regents' Advisory Committee on Graduate Study for approval following approval by the department or program, the academic division, the Council for Undergraduate Curriculum/Graduate Council, the Council of Academic Deans, and University Senate.

On February 10, 2020, University Senate adopted SR 20-09:

BE IT HEREBY RESOLVED that University Senate endorse the proposed degree, Bachelor of Science in Education, with a major in Primary Education, School of Education, Health and Society;

AND FURTHERMORE, that the endorsement by University Senate of the proposed degree and major will be forwarded to the Miami University Board of Trustees for consideration.

Approval of the President

I, Gregory P. Crawford, President of Miami University, approve/do not approve Bachelor of Science in Education, with a major in Primary Education, College of Education, Health and Society.

✓	Approve Forward to the Board of Trustees for action (copy to Secretary of University Senate)
	Do Not Approve

Gregory P. Crawford, President

Feb 12 2020
Date

cc: Dana Cox, Chair, Executive Committee of University Senate
Jason Osborne, Provost, Chair University Senate
Ted Pickerill, Secretary to the Board of Trustees and Executive Assistant to the President

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Approved by the Board of Trustees, February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Finance and Audit
February 20, 2021

ORDINANCE O2020-02
Room and Board

I. 2020-2021 Cohort Tuition Promise Students and Non-Tuition Promise Students

BE IT ORDAINED: that the Board of Trustees hereby establishes the following charges to be levied and collected for students admitted under the 2020-2021 Miami Tuition Promise cohort beginning first semester of the academic year 2020-2021 and to remain in effect for four (4) years as part of the Miami Tuition Promise unless otherwise indicated;

BE IT FURTHER ORDAINED: that the Board of Trustees further establishes the following charges to be levied and collected for students who are not guaranteed rates under Miami Tuition Promise beginning with the first semester of the academic year 2020-2021 unless otherwise indicated;

	2019-20	2020-21	% change
A. Residence Halls (Fall/Spring, per semester per student)			
Non-Renovated Single	$4,383	$4,471	2%
Non-Renovated Double	$3,521	$3,591	2%
Non-Renovated Triple or Quad	$3,461	$3,530	2%
Single	$5,093	$5,195	2%
Double	$4,249	$4,334	2%
Modified Double	$3,807	$3,883	2%
Triple or Quad	$3,570	$3,641	2%
Heritage Commons	$5,272	$5,377	2%
B. Meal Plans (Fall/Spring, per semester per student)			
Diplomat Minimum	$2,117	$2,159	2%
Diplomat Standard	$2,674	$2,727	2%
Diplomat Plus	$2,785	$2,841	2%
Diplomat Premium	$3,259	$3,324	2%
Diplomat Premium C *	$2,080	$2,080	0%
C. Residential Fee (Fall/Spring, per semester per student)			
Fall and Spring Residents	$445	$454	2%
D. Residence & Meal Plan Fall/Spring Increase (Common Experience per Semester)			
Non-Renovated Double + Board + Fee	$6,640	$6,772	2%
Double + Board + Fee	$7,368	$7,515	2%

**Disability Accommodations Only*

II. Previously Approved Tuition Promise Cohorts

Previously approved Room and Board rates for continuing Tuition Promise Cohorts will not be changed and will remain in effect for the originally approved four (4) years.

III. All Students, Sororities, and Refund Policies

	2019-20	2020-21	% change
A. Summer Housing Weekly			
Double Occupancy	$135	$138	2%
Single Occupancy	$197	$201	2%
B. Winter Term Housing Block Rate *(24 days)*			
(Available for students enrolled in class)	$466	$466	0%
C. Sorority Suites (Per suite per semester)			
Less than 500 square feet	$1,500	$1,500	0%
500 to 899 square feet	$5,926	$6,045	2%
900 to 999 square feet	$10,000	$10,200	2%
1,000 to 1,099 square feet	$11,033	$11,254	2%
1,100 to 1,199 square feet	$12,064	$12,305	2%
1,200 to 1,299 square feet	$13,589	$13,861	2%
1,300 to 1,399 square feet	$15,333	$15,640	2%
1,400 to 1,499 square feet	$16,724	$17,058	2%

- *Suites sized 500 square feet and larger include use of Hamilton Hall Multi-Purpose Meeting Room.*
- *Through negotiation with the Division of Student Life, sorority suite rental rates are adjusted to provide better affordability for very small chapters in suites less than 500 square feet in size.*

D. Residence Halls Room Refund Policy

The refund policy for room rent and residential fee for first and second semester will be as follows:

(1)	Withdrawal during the first five class days of the term	100 % of room rent
(2)	Withdrawal during the sixth through eighth class days of the term	90 % of room rent
(3)	Withdrawal during the ninth through twentieth class days of the term	50 % of room rent
(4)	Withdrawal during the twenty-first through thirtieth class days of the term	35 % of room rent
(5)	Withdrawal during the thirty-first through the fortieth class days of the term	25 % of room rent
(6)	Withdrawal after fortieth class day of the term	No Refund

The refund policy for room rent for the summer terms will be as follows:

(7)	Withdrawal during the first three class days of the term	100% of room rent
(8)	Withdrawal during the fourth through eighth class days of the term	50% of room rent
(9)	Withdrawal during the ninth through fifteenth class days of the term	25% of room rent
(10)	Withdrawal after the fifteenth class day of the term	No Refund

Provided further that no room rental charges will be returned upon withdrawal until thirty days have elapsed from the date of withdrawal. In the event of an emergency, the Vice President for Finance and Business Services or his designee is authorized to make exceptions to the above stated refund policy.

An advance Oxford Campus university contract confirmation deposit of $330.00 and an admission fee of $95.00 are charged to all incoming residential students. Generally speaking, the $330 university contract confirmation deposit will be returned to the student after their final semester at Miami University. If the student pays the university contract confirmation deposit and fails to matriculate, or matriculates and withdraws mid-semester the deposit is forfeited.

E. Meal Plan Change and Refund Policy

Meal Plan holders are permitted to change their selected level until the first day of class during each semester. Meal plan holders may continue to add additional declining balance dollars at any time, but are not permitted to lower their plan level after the first day of class.

Unused declining balance dollars at the end of each semester roll forward to the next semester. Unused buffet meals do not carry forward. When a student moves off campus, any remaining declining balance dollars are converted to the meal plan for off campus students.

Students who withdraw from the university within the first five days of a class term receive a full credit of their current term declining balance deposit (less any used amounts), and a full credit of their buffet meals based on the percentage schedule defined in Section D (1-6) of this document.

Students who withdraw from the university after the fifth day of the term, and up to the fortieth day of the term will receive a refund of 80% of any unused declining balance dollars, and a calculated credit for unused buffet meals (if applicable), based on the same percentage schedule defined in Section D (1-6) of this document. There is no refund or credit for students who graduate or withdraw after the fortieth day of the term.

In the event of an emergency, the Vice President for Finance and Business Services or his designee is authorized to make exceptions to the above stated refund policy.

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Finance and Audit
February 21, 2020

RESOLUTION R2020-33

WHEREAS, a five year campus master plan has been developed that aligns with Miami University's strategic plan and academic program priorities; and

WHEREAS, the campus master plan includes the construction of new buildings for planned growth in clinical health and digital science programs and renovated spaces that will better meet the needs of the University's liberal arts and honors programs; and

WHEREAS, the University continues to experience strong demand for the Oxford campus' nursing and speech pathology and audiology programs; and

WHEREAS, the Provost through the Boldly Creative initiative has awarded funding to establish a Physician's Assistant program on the Oxford campus; and

WHEREAS, a comprehensive facility programming effort has identified value in co-locating clinical health programs and other services such as the Health Services Center in a health district; and

WHEREAS, a new Clinical Health Sciences facility would house Nursing, Physician's Assistant, Speech Pathology and Audiology, and a student and employee Health Services Center; and

WHEREAS, Miami University has determined that reduced costs, speed of implementation, and coordination may be gained by using the Design Build project delivery method; and

WHEREAS, the total project budget of $96,000,000 includes the cost to renovate Harris Hall as swing space for the existing Health Service Center which Miami University's Board of Trustees previously approved with a budget of $6,200,000; and

WHEREAS, the receipt of the Guaranteed Maximum Price (GMP) for the Clinical Health Sciences Facility will occur in phases with the earliest package planned for February 2020;

NOW, THEREFORE, BE IT RESOLVED: that the Board of Trustees authorizes the Senior Vice President for Finance and Business Services and Treasurer, to proceed with the award of the contracts for the Clinical Health Sciences facility project for a total budget not to exceed $96,000,000, including the Harris Hall swing space renovation; and

BE IT FURTHER RESOLVED: that the construction of this building and the completion of the balance of the five-year campus master plan will require Miami University to issue additional debt and while such debt is expected to be authorized by the Board of Trustees, the Senior Vice President for Finance and Business Services shall consult with both the Chair of the Board of Trustees and the Chair of the Finance and Audit Committee on the sizing and timing of each new debt obligation, up to the amount authorized, prior to proceeding with any new debt.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Executive Summary
for the
Clinical Health Science Facility
February 20, 2020

The U.S. Department of Labor's Bureau of Labor Statistics and Ohio's Department of Job and Family Services 2026 Employment Projections identified health care professions "to be among the fastest growing occupational groups during the decade." In recognition of this trend, the recently completed strategic plan established health science fields as a priority for the University with a nursing major once again being offered on the Oxford campus and planning for a Physician's Assistant Program now underway.

A committee of representatives from Biology, Chemistry, Kinesiology and Health, Gerontology, Nursing, Speech Pathology and Audiology was formed to recommend how to advance the Clinical Health Sciences on the Oxford campus. The committee identified synergies among programs and a set of common goals including a focus on holistic human health and a desire for students to have an inter-professional experience that could be accomplished through a health district that includes an additional building to house new and growing programs in the health sciences. These programs include Nursing, Physician's Assistant, Speech Pathology and Audiology, and the University's existing Health Services Center.

The evaluation of the site analysis data in conjunction with future program goals has identified an optimal building site near Phillips Hall, the South Campus Parking Garage, the Recreational Sports Center, and the Goggin Ice Center. The site complements a vision of a new health district on the Oxford campus.

The building is expected to be about 170,000 gross square feet in size and offer state of the art opportunities for students and faculty in the clinical health sciences. Construction is expected to begin in the late spring or early summer of 2020 and be complete by the summer of 2022.

Project component:	Budget:	Funding Source:
Est. Cost of Harris Hall Reno:	$6,200,000	Local Funding
Est. Design and Administration:	$ 5,675,000	Local Funding
Est. Cost of Work:	$ 70,125,000	State and Local Funding
Est. Owner's Costs:	$ 7,000,000	Local Funding
Est. Contingency:	$ 7,000,000	Local Funding
Est. Total:	$ 96,000,000	

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Finance and Audit
February 21, 2020

RESOLUTION R2020-34

WHEREAS, the South Chiller Plant Conversion Phase 2 project is the next phase of the Utility Master Plan and a necessary step in fulling the University's Sustainability Commitments and Goals; and

WHEREAS, Miami University has identified local funds in the amount of $4,000,000 for this project; and

WHEREAS, the $4,000,000 budget includes a cost of work estimate of approximately $3,200,000; and

WHEREAS, the receipt of Guaranteed Maximum Price is planned for February 2020; and

WHEREAS, the Board of Trustees previously awarded a contract for Phase 1 to the most responsive and responsible Design Build Firm;

NOW, THEREFORE, BE IT RESOLVED: that the Board of Trustees hereby authorizes the Senior Vice President for Finance and Business Services and Treasurer, to proceed with the award of contracts for the South Chiller Plant Conversion Phase 2 project with a total project budget not to exceed $4,000,000.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Executive Summary
for the
South Chiller Plant Conversion Phase 2
February 20, 2020

As part of the Campus utility master Plan, Miami University recently converted the South Chiller Plant to simultaneous heating and cooling. Several buildings were converted to accept heating-hot water and chilled water as part of Phase 1. The second phase of this project will address the remaining buildings in this region of campus. This project is a key step in the Utility Master Plan to meet strategic energy reduction and campus sustainability goals of decommissioning the coal-fired steam systems by 2026.

This project includes further conveyance of hot water and chilled water piping in an existing tunnel and direct buried piping from Phase 1 north to Spring Street. This infrastructure improvement is the next step in creating a redundant loop to the central quadrangle of campus and supports future building sites in the newly created Health District.

The Campus Avenue Building will be connected to hot water and chilled water piping and its mechanical equipment will be converted to accept this form of heating and cooling. Currently, the Campus Avenue Building is cooled by a large roof-top chiller that is past its useful life and has been unreliable. Converting the building to central simultaneous heating and cooling will improve the energy efficiency, reduce the carbon footprint, and improve the reliability of service. The project is also currently budgeted to convert Hanna House to simultaneous heating and cooling. The engineering team will evaluate the life cycle cost of this work and determine if an appropriate return on investment exists as part of the design effort.

Project component:	Budget:	Funding Source:
Est. Design and Administration:	$350,000	Local Funding
Est. Cost of Work:	$3,200,000	Local Funding
Est. Owner's Costs:	$140,000	Local Funding
Est. Contingency:	$310,000	Local Funding
Est. Total:	$ 4,000,000	

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Finance and Audit
February 21, 2020

RESOLUTION R2020-35

WHEREAS, Miami University's Strategic Plan has identified the need to expand and enhance health sciences program offerings; and

WHEREAS, the University continues to experience strong demand for the nursing program on the Hamilton Campus, where the Department has just celebrated its 50th year; and

WHEREAS, the renovation of University Hall will provide state-of-the-art educational and laboratory spaces for nursing students on the Hamilton Campus that will align with those in the new Clinical Health Sciences facility being constructed on the Oxford Campus; and

WHEREAS, Miami University has determined that reduced costs, speed of implementation, and coordination may be gained by using the Design-Build project delivery method; and

WHEREAS, Miami University has identified funds in the amount of $10,000,000 for the University Hall Renovation project; and

WHEREAS, the receipt of Guaranteed Maximum Price (GMP) for the University Hall Renovation is planned for February 2020;

NOW, THEREFORE, BE IT RESOLVED: that the Board of Trustees authorizes the Senior Vice President for Finance and Business Services and Treasurer, to proceed with signing of the GMP for the University Hall Renovation with a budget not to exceed $10,000,000.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Executive Summary
for the
University Hall
February 20, 2020

Miami University's Nursing Department is centered on the Hamilton Campus. The program is 50 years old and has recently consolidated its regional presence in Hamilton and simultaneously expanded to the Oxford Campus. This project will bring the regional location up-to-date and more closely aligned with the facility being designed on the Oxford campus.

This project will renovate University Hall to provide students and faculty a state-of-the-art educational facility with high fidelity simulation and skills laboratory spaces, flexible and technologically-integrated classrooms and study spaces, as well as the necessary administrative and faculty spaces needed to support the program now and into the future.

The renovation of University Hall will focus on three primary priorities, building infrastructure upgrades and deferred maintenance, interior renovations and improvements, and exterior enhancement. This two-phased project is planned to begin in May 2020 and complete in February 2021.

Project component:	Budget:	Funding Source:
Est. Design and Administration:	$ 600,000	Local Funding
Est. Cost of Work:	$ 7,900,000	Local Funding
Est. Owner's Costs:	$ 800,000	Local Funding
Est. Contingency:	$ 700,000	Local Funding
Est. Total:	$ 10,000,000	

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Approved by the Board of Trustees, February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Finance and Audit
February 20, 2021

SERIES RESOLUTION R-2020-36

PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF NOT TO EXCEED $125,000,000 OF GENERAL RECEIPTS REVENUE BONDS, SERIES 2020, OF MIAMI UNIVERSITY, APPROVING A SUPPLEMENTAL TRUST AGREEMENT AND AUTHORIZING THE FISCAL OFFICER TO TAKE CERTAIN ACTIONS.

WHEREAS, Resolution 2004-8 adopted by this Board on September 26, 2003 (the "General Bond Resolution"), and the Amended and Restated Trust Agreement dated as of October 1, 2003, as amended (the "Trust Agreement"), by and between the University and The Bank of New York Mellon Trust Company, N.A., as trustee (the "Trustee") provide for the issuance from time to time of Bonds of the University, with each issuance to be authorized by a Series Resolution adopted by the Board; and

WHEREAS, the General Bond Resolution was adopted and the Trust Agreement was authorized by the Board pursuant to the Act which authorizes the University to issue its Bonds to pay costs of certain capital facilities, defined as "auxiliary facilities," "education facilities" and "housing and dining facilities" in Section 3345.12 of the Revised Code and collectively called "University Facilities" in the General Bond Resolution and in this Resolution; and

WHEREAS, the University has determined, and hereby confirms, that it is necessary and appropriate to issue its General Receipts Revenue Bonds to fund (i) the renovation and construction of academic facilities, including, but not limited to, Bachelor Hall and Upham Hall which are located on the Oxford campus; (ii) the acquisition, construction, equipping and/or furnishing of a new health sciences building and a new digital innovation multidisciplinary building at the Oxford campus; (iii) other eligible capital projects approved by the Board; and (iv) payment of a portion of the costs associated with such issuance (the above-listed projects are collectively referred to as the "Series 2020 University Facilities Project" or "Project"); and

WHEREAS, for the above purposes, the University has determined to issue not to exceed $125,000,000 in aggregate principal amount of General Receipts Revenue Bonds (the "Series 2020 Bonds") to pay a portion of the costs of the Project, including the reimbursement to the University of moneys advanced to finance the cost of University Facilities in anticipation of being reimbursed from the proceeds of such Series 2020 Bonds; and

WHEREAS, the Board anticipates that it will authorize the issuance of the University's refunding bonds (the "General Receipts Revenue Refunding Bonds") to refund all or a portion of its outstanding Bonds; and

WHEREAS, the Board hereby determines that it is in the best interest of the University to provide for maximum flexibility in structuring the Series 2020 Bonds to achieve maximum cost savings, and therefore, has provided that certain terms of the Series 2020 Bonds, including combining all or a portion of the Series 2020 Bonds with all or a portion of the General Receipts

Revenue Refunding Bonds, shall be determined in the Certificate of Award authorized pursuant to Section 5 hereof (the "Certificate of Award");

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF MIAMI UNIVERSITY, as follows:

Section 1. Definitions and Interpretations. Where used in this Resolution, in the Supplemental Trust Agreement authorized hereby and in the Certificate of Award, and in addition to words and terms defined elsewhere in this Resolution (including its preambles), the Supplemental Trust Agreement authorized hereby, the General Bond Resolution or the Trust Agreement, the following terms shall have the following meanings:

"Act" means Sections 3345.11 and 3345.12 of the Ohio Revised Code.

"Annual Bond Service Charge" for any Fiscal Year (as defined in the Trust Agreement) means, in connection with the Series 2020 Bonds, an amount equal to the scheduled principal and interest due on the Series 2020 Bonds in that Fiscal Year.

"Bond Counsel" means Dinsmore & Shohl LLP or such other firm of nationally recognized experts on the issuance of state and local obligations designated as such by the Fiscal Officer.

"Bond Purchase Agreement" means the Bond Purchase Agreement between the Original Purchaser and the University to be dated as of its date of execution.

"Bond Year" means the annual period relevant to the application of Section 148 of the Code to the Series 2020 Bonds.

"Certificate of Award" means the Certificate of Award authorized by Section 5 hereof.

"Code" means the Internal Revenue Code of 1986, as amended, the regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a section of the Code includes that section and such applicable regulations, rulings, announcements, notices, procedures and determinations pertinent to that section.

"Debt Service" means principal of and interest and any redemption premium on the Series 2020 Bonds.

"Delivery Date" means the date on which the Series 2020 Bonds are delivered to the Original Purchaser in exchange for payment.

"Fiscal Officer" means the Senior Vice President for Finance and Business Services and Treasurer of Miami University.

"General Counsel" means the chief legal officer of the University.

"Interest Payment Dates" means the first day of March and September in each year, commencing September 1, 2020 or such other date as may be provided in the Certificate of Award.

"Issuance Date" means the date of physical delivery of the Series 2020 Bonds by the University or the date of the "fast closing" in exchange for the purchase price of the Series 2020 Bonds.

"Letter of Instructions" means a letter addressed to the Trustee dated the Issuance Date and signed by the Fiscal Officer.

"Original Purchaser" means the investment banking firm selected by the Fiscal Officer.

"Proceeds" means any Original Proceeds from the sale of the Series 2020 Bonds and any Transferred Proceeds, as defined in Regulations 1.148-8(d)(2).

"Securities Depository" means initially The Depository Trust Company (a limited purpose trust company), New York, New York.

"Series 2020 Bonds" means the series of General Receipts Revenue Bonds authorized by this Resolution and issued pursuant to this Resolution, the General Bond Resolution, the Trust Agreement and the Certificate of Award.

"Series 2020 University Facilities Project" or *"Project"* means the University has determined, and hereby confirms, that it is necessary and appropriate to issue its General Receipts Bonds to fund (i) renovation and construction of housing facilities, dining facilities and other auxiliary buildings and related improvements including, but not limited to, Bachelor Hall and Upham Hall which are located on the Oxford campus; (ii) the acquisition, construction, equipping and/or furnishing of a new health sciences building and a new digital innovation multidisciplinary building at the Oxford campus; (iii) other eligible capital projects approved by the Board; and (iv) payment of a portion of the costs associated with such issuance.

"Series 2020 Resolution" or *"Resolution"* means this Resolution authorizing the issuance and sale of the Series 2020 Bonds.

"Special Record Date" means the date established by the Trustee in connection with the payment of any overdue interest on any Bond pursuant to Section 4(e)(ii) of this Resolution.

"Supplemental Trust Agreement" means the Supplemental Trust Agreement between the University and the Trustee, authorized pursuant to Section 7.01 of the Trust Agreement and this Resolution.

"Tax Certificates" means, collectively, the Certificate Regarding Issuance, Use of Proceeds and Arbitrage Compliance of the University to Bond Counsel and the Trustee and the Certificate Under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended, of the University.

"Trustee" means The Bank of New York Mellon Trust Company, N.A., as successor to J.P. Morgan Trust Company, National Association as trustee under the Trust Agreement.

"2020 University Facilities Costs of Issuance Fund" or "*2020 Costs of Issuance Fund"* means the fund established and held by the University used to pay certain costs related to the issuance of obligations for the Project that constitute "costs of facilities" as defined in the Act.

"2020 University Facilities Project Fund" or "2020 Project Fund" means the fund established and held by the University used to pay costs of the Project that constitute "costs of facilities" as defined in the Act.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution and the Supplemental Trust Agreement. References to sections, unless otherwise stated, are to sections of this Resolution.

Section 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement and the Act.

Section 3. Authorization, Designation and Purpose of Series 2020 Bonds. It is hereby declared to be necessary to, and the University shall, issue, sell and deliver, as provided and authorized by this Resolution, General Receipts Bonds of the University, which shall be designated "Miami University General Receipts Revenue Bonds, Series 2020" (or such other designation set forth in the Certificate of Award) in the maximum original aggregate principal amount of not to exceed $125,000,000 (the actual original aggregate principal amount to be as provided by the Certificate of Award), for the purpose of paying a portion of the costs of the University Facilities that comprise the Series 2020 University Facilities Project and paying a portion of the costs associated with the issuance. For that purpose, the proceeds from the sale of the Series 2020 Bonds shall be allocated and deposited as provided in Section 6 of this Resolution.

Section 4. Terms and Provisions Applicable to the Series 2020 Bonds.

(a) Form and Numbering. The Series 2020 Bonds shall be issued, unless otherwise subsequently provided in the Supplemental Trust Agreement entered into pursuant to the Trust Agreement, only in the form of fully registered Bonds, substantially in the form set forth in Exhibit A to the Supplemental Trust Agreement with such changes as may be necessary to reflect the terms of the Series 2020 Bonds set forth in the Certificate of Award. The Series 2020 Bonds shall be fully registered and numbered as determined by the Fiscal Officer in such manner as to distinguish each Series 2020 Bond from each other Series 2020 Bond.

The Series 2020 Bonds shall be initially issued only to a Securities Depository to be held in a book entry system and: (i) the Series 2020 Bonds shall be registered in the name of the Securities Depository or its nominee, as registered owner, and immobilized in the custody of the Securities Depository; and (ii) the Series 2020 Bonds as such shall be transferable or exchangeable in accordance with Section 2.06 of the Trust Agreement, provided, however that so long as a book entry system is used for the Series 2020 Bonds, they may only be transferred to another Securities Depository or to another nominee of a Securities Depository without further action by the University pursuant to subparagraph (e)(iii) of this Section. Notwithstanding Section 2.06 of the Trust Agreement, the University may, and may require the Trustee to, transfer the Series 2020 Bonds from one Securities Depository to another Securities Depository at any time.

(b) Terms.

(i) Denomination and Dates. The Series 2020 Bonds shall be issued in the denomination of $5,000 and any integral multiple of $5,000, and shall be dated as of the Delivery Date or such other date as may be provided in the Certificate of Award. Each Series 2020 Bond shall have only one principal maturity date, except for interim certificates or receipts which may be issued pending preparation of definitive Bonds.

(ii) Interest. The Series 2020 Bonds shall bear interest from the later of (i) their date or (ii) the most recent date to which interest has been paid or provided for, payable on the Interest Payment Dates at the respective rates per annum set forth in the Certificate of Award.

(iii) Maturities. The Series 2020 Bonds shall mature on March 1 and/or September 1 in the years and in the principal amounts as provided in the Certificate of Award, with the initial maturity and final maturity as set forth in section (c) below.

(iv) Prior Redemption.

(A) The Series 2020 Bonds may be subject to redemption at the option of the University prior to their stated maturities on the redemption dates and at the redemption prices specified in the Certificate of Award. The Fiscal Officer may determine in the Certificate of Award that some or all of the Series 2020 Bonds are not to be callable prior to stated maturity. The Fiscal Officer further may determine in the Certificate of Award that a premium shall be payable to the bondholder upon early redemption of a Series 2020 Bond and that such premium may be calculated in a manner to make the bondholder whole for the loss of the investment or may be calculated as a percentage of the principal amount to be redeemed.

(B) The Series 2020 Bonds of one or more maturities may be subject to mandatory redemption pursuant to Mandatory Sinking Fund Requirements by the University at a redemption price equal to 100% of the principal amount redeemed, plus accrued interest to the date of redemption, on September 1 in the years and in the principal amounts provided in the Certificate of Award.

(v) Construction Period. Due to the complexity of the Series 2020 University Facilities Project, it is reasonably expected that the Series 2020 University Facilities Project, which involves a substantial amount of construction expenditures, will take longer than three (3) years to complete. In connection therewith, the University shall obtain a certificate from a licensed architect or engineer.

(c) Maturities: Bond Service Charges. The first maturity or mandatory sinking fund payment and the final maturity of the Series 2020 Bonds shall not be later than the dates specified in the Certificate of Award. Principal shall be payable in each year from the first maturity or mandatory sinking fund payment year to the final maturity year either at stated maturity or pursuant to Mandatory Sinking Fund Requirements. The weighted net interest cost on the Series 2020

Bonds shall not exceed 5% per annum. Annual Bond Service Charges on all the Series 2020 Bonds shall be in accordance with the Certificate of Award.

(d) Redemption Prior to Maturity.

(i) If fewer than all of the outstanding Series 2020 Bonds are called for optional redemption at one time, the Series 2020 Bonds to be called shall be designated by the Fiscal Officer in his sole discretion and in any manner the Fiscal Officer determines, without regard to the order of their maturities or their interest rates. If fewer than all of the outstanding Series 2020 Bonds of one maturity and interest rate are to be called for redemption, the selection of the Series 2020 Bonds, or portions of those Series 2020 Bonds (in integral multiples of $5,000), of that maturity to be called for redemption shall be made in the manner provided in the Supplemental Trust Agreement. If optional redemption of any Series 2020 Bonds at a redemption price above 100% of the principal amount to be redeemed is to take place on any applicable mandatory sinking fund redemption date, the selection of the Series 2020 Bonds to be optionally redeemed shall be selected prior to the selection of the Series 2020 Bonds to be redeemed by mandatory sinking fund redemption.

(ii) Notice of call for redemption of Series 2020 Bonds, setting forth the information provided for in Section 3.03 of the Trust Agreement, shall be given by the Trustee on behalf of the University. Failure to receive notice by mailing, or any defect in that notice, as to any Series 2020 Bond shall not affect the validity of the proceedings for the redemption of any other Series 2020 Bond.

(e) Places and Manner of Payment and Paying Agents.

(i) The principal of and any redemption premium on Series 2020 Bonds shall be payable when due only to the registered owners, upon presentation and surrender of the Series 2020 Bonds at the principal corporate trust office of the Trustee.

(ii) Interest on any Series 2020 Bond due on each Interest Payment Date shall be payable by check or draft which the Trustee shall cause to be mailed on the Interest Payment Date to the person who is the registered owner of the Bond (or one or more predecessor Bonds) at the close of business on the Regular Record Date applicable to that Interest Payment Date, at the address then appearing on the Register. If and to any extent, however, that the University shall make neither payment nor provision for payment of interest on any Series 2020 Bond on any Interest Payment Date, that interest shall cease to be payable to the person who was the registered owner of that Bond (or of one or more predecessor Bonds) as of the applicable Regular Record Date; when moneys become available for payment of that interest the Trustee shall, subject to Section 2.05 of the Trust Agreement, establish a Special Record Date for the payment of that interest which shall be not more than 15 or fewer than 10 days prior to the date of the proposed payment, and the Trustee shall cause notice of the proposed payment and of the Special Record Date to be mailed to the person who is the registered owner of that Bond on a date not fewer than 10 days prior to the Special Record Date, at the address as then appears on the Register, and thereafter that interest shall be payable to the person who is the registered owner of that Bond (or a predecessor Bond) at the close of business on the Special Record Date.

(iii) Notwithstanding any other provision of this Resolution or any provision of the General Bond Resolution, the Trust Agreement, the Supplemental Trust Agreement or any Series 2020 Bond to the contrary, with the written approval of the University, the Trustee may enter into an agreement with a Securities Depository, or the nominee of a Securities Depository that is the registered owner of a Series 2020 Bond in the custody of that Securities Depository providing for making all payments to that registered owner of principal of and interest and any premium on that Series 2020 Bond or any portion of that Series 2020 Bond (other than any payment of its entire unpaid principal amount) at a place and in a manner (including wire transfer of federal funds) other than as provided above in this Resolution, without prior presentation or surrender of the Series 2020 Bond, upon any conditions which shall be satisfactory to the Trustee and the University. That payment in any event shall be made to the person who is the registered owner of that Series 2020 Bond on the date that principal and premium is due, or, with respect to the payment of interest, as of the applicable Regular Record Date or Special Record Date or other date agreed upon, as the case may be. The Trustee will furnish a copy of each of those agreements, certified to be correct by an officer of the Trustee, to other authenticating agents and paying agents for Series 2020 Bonds, if any, and to the University. Any payment of principal, premium, or interest pursuant to such an agreement shall constitute payment thereof pursuant to, and for all purposes of, this Resolution and the Supplemental Trust Agreement.

(iv) Alternate Paying Agents may be designated in the Certificate of Award by the Fiscal Officer.

(f) Execution and Authentication. The Series 2020 Bonds shall be executed and authenticated in the manner provided in the Trust Agreement. Alternate Authenticating Agents may be designated by the Fiscal Officer in the Certificate of Award.

(g) Multiple Series; Combine with General Receipts Revenue Refunding Bonds. The Series 2020 Bonds may be issued in one or more series as approved by the Fiscal Officer and all or a portion of the Series 2020 Bonds may be combined with and issued as part of the same series of Bonds authorized by a separate Series Resolution, including, but not limited to the General Receipts Revenue Refunding Bonds.

Section 5. Sale of Series 2020 Bonds.

(a) General. The Fiscal Officer is authorized to determine:

(i) that the Series 2020 Bonds shall be issued;

(ii) the Principal Amount of Series 2020 Bonds to be issued provided that the aggregate amount of Series 2020 Bonds shall not to exceed $125,000,000;

(iii) the interest rates on the Series 2020 Bonds;

(iv) the amount of any original issue discount and/or premium on the Series 2020 Bonds;

(v) the maturities of the Series 2020 Bonds, as limited by Section 4(c) herein;

(vi) the optional and mandatory redemption dates, if any, and redemption prices for the Series 2020 Bonds; and

(vii) the purchase price for the Series 2020 Bonds.

The Series 2020 Bonds shall be sold by the Fiscal Officer to the Original Purchaser on such terms not inconsistent with this Resolution as are provided in the Certificate of Award and the Bond Purchase Agreement.

The Fiscal Officer is authorized and directed to execute the Certificate of Award and the Bond Purchase Agreement, in order to provide for the definitive terms and terms of sale of the Series 2020 Bonds as provided in this Resolution, and to award and provide for sale of the Series 2020 Bonds to the Original Purchaser. The Bond Purchase Agreement shall not be materially adverse to the University as shall be approved by the Fiscal Officer, his execution of the Bond Purchase Agreement to constitute conclusive approval of any such changes on behalf of the University. The Certificate of Award shall be incorporated in and form a part of the Supplemental Trust Agreement.

(b) Official Statement. The Fiscal Officer is authorized and directed, on behalf of the University, and in his official capacity, to prepare or cause to be prepared, a preliminary official statement relating to the original issuance of the Series 2020 Bonds; to determine, and to certify or otherwise represent, when such preliminary official statement is "deemed final" for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1); and to use and distribute, or authorize the use and distribution of such preliminary official statement in connection with the original issuance of the Series 2020 Bonds until an official statement is prepared. All actions previously taken by the Fiscal Officer in this regard relating to a preliminary official statement are hereby approved, ratified and confirmed.

The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to prepare or cause to be prepared an official statement, and any necessary supplements thereto, relating to the original issuance of the Series 2020 Bonds; to determine, and to certify or otherwise represent, when such official statement is a final official statement for purposes of Securities and Exchange Commission Rule 15c2-12(b)(3) and (4); to use and distribute, or authorize the use and distribution of such official statement, and any supplements thereto, in connection with the sale of the Series 2020 Bonds; and to sign and deliver the official statement.

The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to sign and deliver such certificates in connection with the accuracy of the preliminary official and the final official statements and any supplements thereto as may, in his judgment, be necessary or appropriate.

(c) Further Authorization. The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to sign and deliver on the Issuance Date, such other certificates, agreements and documents as may be reasonably necessary in the opinion of Bond Counsel to complete the sale of the Series 2020 Bonds. The General Counsel in her official

capacity is hereby authorized and directed to sign and deliver on the Issuance Date a legal opinion in form and substance acceptable to Bond Counsel.

Section 6. Allocation of Proceeds of Series 2020 Bonds.

(a) Allocation. All of the proceeds from the sale of the Series 2020 Bonds shall be received and receipted for by the Fiscal Officer or by his authorized representative for that purpose, and shall be allocated, deposited and credited as follows:

(i) To the Bond Service Account in the Bond Service Fund, any portion of the proceeds representing accrued or capitalized interest, if any;

(ii) To the 2020 Costs of Issuance Fund an amount, to be determined by the Fiscal Officer, to pay the costs of issuance of the Series 2020 Bonds; and

(iii) To the 2020 Project Fund, hereby established, the balance of the proceeds, to be applied to pay costs of the 2020 University Facilities Project as determined by the Fiscal Officer and as described in the preambles.

(b) 2020 Project Fund.

(i) The 2020 Project Fund shall be held by the University in a separate deposit account or accounts (except when invested as provided below) set up in a bank or banks that are members of the Federal Deposit Insurance Corporation, and used to pay costs of the Series 2020 University Facilities Project that constitute "costs of facilities" as defined in the Act (the "Project Costs").

(ii) The Fiscal Officer shall apply the 2020 Project Fund pursuant to the provisions of this Section 6 to the payment of the Project Costs, including, without limitation, the reimbursement of the University for moneys heretofore advanced to pay Project Costs in anticipation of the issuance of the Series 2020 Bonds.

(iii) Moneys to the credit of the 2020 Project Fund, pending their application as above set forth, shall be subject to a lien and charge in favor of the holders of the Series 2020 Bonds, and the University covenants that it will not cause or permit to be paid from the 2020 Project Fund any moneys except in compliance with the provisions of this Resolution, the Trust Agreement and the Supplemental Trust Agreement.

(iv) Moneys on deposit in the 2020 Project Fund may be invested by or at the direction of the Fiscal Officer in Eligible Investments (as defined in the Supplemental Trust Agreement) maturing or redeemable at the option of the holder prior to the time needed for the purposes thereof. The investments and the proceeds of their sale shall constitute part of the 2020 Project Fund, and earnings from any of those investments shall be credited to the 2020 Project Fund. The investments may be sold, exchanged or collected from time to time by or at the direction of the Fiscal Officer.

(v) Any balance remaining in the 2020 Project Fund after the Fiscal Officer has certified to the Trustee that payment of Project Costs has been accomplished or provided

for to the satisfaction of the University shall be deposited in the Bond Service Account and used for payment of principal on the Series 2020 Bonds, or expended for costs of University Facilities with the approval of the Board if that payment or expenditure shall not, in the opinion of Bond Counsel to the University, adversely affect the exclusion of interest on the Series 2020 Bonds from gross income for federal income tax purposes.

(c) 2020 Costs of Issuance Fund.

(i) The 2020 Costs of Issuance Fund shall be held by the University in a separate deposit account or accounts set up in a bank or banks that are members of the Federal Deposit Insurance Corporation, and used to pay costs of issuance of the Series 2020 Bonds that constitute "costs of facilities" as defined in the Act (the "Costs of Issuance").

(ii) The Fiscal Officer shall apply the 2020 Costs of Issuance Fund pursuant to the provisions of this Section 6 to the payment of the Costs of Issuance, including, without limitation, the reimbursement of the University for moneys heretofore advanced to pay Costs of Issuance in anticipation of the issuance of the Series 2020 Bonds.

(iii) Moneys to the credit of the 2020 Costs of Issuance Fund, pending their application as above set forth, shall be subject to a lien and charge in favor of the holders of the Series 2020 Bonds, and the University covenants that it will not cause or permit to be paid from the 2020 Costs of Issuance Fund any moneys except in compliance with the provisions of this Resolution, the Trust Agreement and the Supplemental Trust Agreement.

(iv) Moneys on deposit in the 2020 Costs of Issuance Fund may be invested by or at the direction of the Fiscal Officer in Eligible Investments (as defined in the Supplemental Trust Agreement) maturing or redeemable at the option of the holder prior to the time needed for the purposes thereof. The investments and the proceeds of their sale shall constitute part of the 2020 Costs of Issuance Fund, and earnings from any of those investments shall be credited to the 2020 Costs of Issuance Fund. The investments may be sold, exchanged or collected from time to time by or at the direction of the Fiscal Officer.

(v) Any balance remaining in the 2020 Costs of Issuance Fund after the Fiscal Officer has certified to the Trustee that payment of Costs of Issuance has been accomplished or provided for to the satisfaction of the University shall be deposited in the Bond Service Account and used for payment of principal on the Series 2020 Bonds, or expended for costs of University Facilities with the approval of the Board if that payment or expenditure shall not, in the opinion of Bond Counsel to the University, adversely affect the exclusion of interest on the Series 2020 Bonds from gross income for federal income tax purposes.

Section 7. Tax Covenants; Rebate Fund. This Board hereby finds and determines that all proceeds from the sale of the Series 2020 Bonds will be needed for the purposes set forth in Section 6 hereof.

(a) This Board hereby covenants for and on behalf of the University, that it will restrict the use of the proceeds of the Series 2020 Bonds in such manner and to such extent, if any, and

take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the Series 2020 Bonds, so that the Series 2020 Bonds will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections, provided that, at the discretion of the Fiscal Officer, the University may issue Series 2020 Bonds which are subject to federal income taxation. However, if the Series 2020 Bonds are designated as some type of tax-advantaged bond on which the interest is excludable from gross income for federal income tax purposes ("Tax-Advantaged Bonds"), the Board will take, or cause to be taken, all activities required to maintain the status of said Series 2020 Bonds as Tax-Advantaged Bonds, and will not take, or permit to be taken, any actions which would jeopardize the status of the Series 2020 Bonds as Tax-Advantaged Bonds. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Series 2020 Bonds is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Series 2020 Bonds, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code and regulations prescribed under such Sections.

(b) Rebate Fund. There is hereby created the Series 2020 Bonds Rebate Fund (the Rebate Fund), to be in the custody of the Trustee, which shall be continuously invested in Eligible Investments by the Trustee at the oral direction (confirmed in writing) of the Fiscal Officer. The Rebate Fund shall be held, administered and disposed of in accordance with the provisions of the Supplemental Trust Agreement and/or the Tax Certificates. Amounts credited to the Rebate Fund are not General Receipts and shall be free and clear of any lien under the Supplemental Trust Agreement or under the Trust Agreement.

Section 8. Credit Enhancement; Other Agreements. If he determines it to be in the best interest of the University in order to achieve maximum cost savings on the Series 2020 Bonds, the Fiscal Officer may obtain credit enhancement for all or any portion of the Series 2020 Bonds.

The Fiscal Officer is authorized to enter into such agreements and to make such changes to the Supplemental Trust Agreement and the Series 2020 Bond form as may be required in connection with such credit enhancement. The Fiscal Officer is further authorized to enter into such agreements and execute such certificates as may be required in connection with the issuance, sale and delivery of the Series 2020 Bonds.

Section 9. Supplemental Trust Agreement. The Chairman of the Board or the President of the University, and the Fiscal Officer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name of and on behalf of the University, and the Secretary to the Board is authorized and directed to attest, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the Series 2020 Bonds.

Section 10. Open Meeting. It is found and determined that all formal actions of this Board concerning and relating to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in those formal actions were taken in meetings open to the public, in full compliance with applicable legal requirements including Section 121.22 of the Revised Code.

BOARD OF TRUSTEES OF MIAMI UNIVERSITY

By: _____
Theodore O. Pickerill
Secretary to the Board of Trustees

Adopted: _____, 2020

I attest that this is a true and accurate copy of the original resolution R-2020-_____ passed by the Miami University Board of Trustees on _____, 2020 and remains in effect.

Theodore O. Pickerill
Secretary to the Board of Trustees

_____, 2020

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MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
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Approved by the Board of Trustees, February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Finance and Audit
February 20, 2021

SERIES RESOLUTION R-2020-37

PROVIDING FOR OPTIONAL REDEMPTION OF THE UNIVERSITY'S GENERAL RECEIPTS REVENUE BONDS AND THE AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS REVENUE AND REFUNDING BONDS, OF MIAMI UNIVERSITY, APPROVING A SUPPLEMENTAL TRUST AGREEMENT AND AUTHORIZING THE FISCAL OFFICER TO TAKE CERTAIN ACTIONS.

WHEREAS, Resolution 2004-8 adopted by this Board on September 26, 2003 (the "General Bond Resolution"), and the Amended and Restated Trust Agreement dated as of October 1, 2003, as amended (the "Trust Agreement"), by and between Miami University (the "University") and The Bank of New York Mellon Trust Company, N.A., as trustee (the "Trustee") provide for the issuance from time to time of General Receipts Revenue Bonds of the University (the "Bonds"), with each issuance to be authorized by a Series Resolution adopted by the Board; and

WHEREAS, the General Bond Resolution was adopted and the Trust Agreement was authorized by the Board pursuant to the Act which authorizes the University to issue its Bonds to pay costs of certain capital facilities, defined as "auxiliary facilities," "education facilities" and "housing and dining facilities" in Section 3345.12 of the Revised Code and called "University Facilities" in the General Bond Resolution and in this Resolution; and

WHEREAS, Section 3345.12 of the Revised Code also authorizes the University to issue its Bonds to refund its previously issued Bonds; and

WHEREAS, the University has determined that it may be advantageous to refund (together with other lawfully available funds of the University) all or a portion of the Bonds; and

WHEREAS, such refunding of Bonds is sometimes referred to herein as the "Refunding"; and

WHEREAS, in order to lower interest costs to the University and/or to manage and/or improve the University's cash flow and/or to react to market conditions and/or to deal with the change in status of certain outstanding Bonds with respect to their tax-exemption, the Board desires to provide for issuance and sale of the General Receipts Revenue and Refunding Bonds (the "Refunding Bonds"), the proceeds of which Refunding Bonds (exclusive of accrued interest received upon sale thereof) together with any moneys contributed by the University will be used to purchase (if necessary) United States Treasury Obligations or other obligations satisfactory to the Trustee, sufficient (without reinvestment) to refund those series and/or specific maturities or portions of maturities of the Bonds selected by the Fiscal Officer for redemption or payment, which obligations of the United States of America shall forthwith be deposited with the Trustee, in order

to effect (but only if all outstanding Bonds of a particular series are refunded and/or defeased) satisfaction, cancellation and discharge of the Supplemental Trust Agreement which secures such series of Bonds which have been refunded in their entirety and for other matters in connection therewith, by the adoption of this Resolution, to pay costs of issuance and to fund a reserve (if necessary); and

WHEREAS, the Board desires to provide for issuance and sale of additional Bonds (which shall be Refunding Bonds) and for other matters in connection therewith, by the adoption of this Resolution;

WHEREAS, the Board anticipates that it will authorize the issuance of the University's Bonds (the "General Receipts Revenue Bonds") to fund all or a portion of certain University Facilities (as defined in the Trust Agreement); and

WHEREAS, the Board hereby determines that it is in the best interest of the University to provide for maximum flexibility in structuring the Refunding Bonds to achieve maximum cost savings, and therefore, has provided that certain terms of the Refunding Bonds, including combining all or a portion of the Refunding Bonds with all or a portion of the General Receipts Revenue Bonds, shall be determined in the Certificate of Award authorized pursuant to Section 5 hereof (the "Certificate of Award");

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF MIAMI UNIVERSITY, as follows:

Section 1. Definitions and Interpretations. Where used in this Resolution, in the Supplemental Trust Agreement and in the Certificate of Award, and in addition to words and terms defined elsewhere in this Resolution (including its preambles), the Supplemental Trust Agreement, the General Bond Resolution or the Trust Agreement, the following terms shall have the following meanings:

"Act" means Sections 3345.11 and 3345.12 of the Ohio Revised Code.

"Annual Bond Service Charge" for any Fiscal Year (as defined in the Trust Agreement) means, in connection with the Refunding Bonds, an amount equal to the scheduled principal and interest due on the Refunding Bonds in that Fiscal Year.

"Bond Purchase Agreement" means the Bond Purchase Agreement or similar agreement between the Original Purchaser and the University to be dated as of its date of execution.

"Bond Counsel" means Dinsmore & Shohl LLP or such other firm of nationally recognized experts on the issuance of state and local obligations designated as such by the Fiscal Officer.

"Bond Year" means the annual period relevant to the application of Section 148 of the Code to the Refunding Bonds.

"Certificate of Award" means the Certificate of Award authorized by Section 5 hereof.

"Code" means the Internal Revenue Code of 1986, as amended, the regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a section of the Code includes that section and such applicable regulations, rulings, announcements, notices, procedures and determinations pertinent to that section.

"Debt Service" means principal of and interest and any redemption premium on the Refunding Bonds.

"Delivery Date" means the date on which the Refunding Bonds are delivered to the Original Purchaser in exchange for payment.

"Escrow Deposit Agreement" means the Escrow Deposit Agreement between the University and the Escrow Trustee authorized pursuant to this Resolution, prepared in connection with the issuance of the Refunding Bonds.

"Escrow Trustee" means the Trustee acting as escrow trustee under the Escrow Deposit Agreement.

"Fiscal Officer" means the Senior Vice President for Finance and Business Services and Treasurer of Miami University or such other official of the University designated in writing as the Fiscal Officer.

"General Counsel" means the chief legal officer of the University.

"Interest Payment Dates" means the first day of March and September in each year, commencing such date as may be provided in the Certificate of Award.

"Issuance Date" means the date of physical delivery of the Refunding Bonds by the University or the date of the "fast closing" in exchange for the purchase price of the Refunding Bonds.

"Letter of Instructions" means a letter addressed to the Trustee dated the Issuance Date and signed by the Fiscal Officer.

"Original Purchaser" means the investment banking firm, bank or other entity selected by the Fiscal Officer to be the initial purchaser of the Refunding Bonds.

"Refundable Bonds" means those series or specific maturities of series of Bonds related to be refunded by the Fiscal Officer pursuant to Section 4(a) of this Resolution.

"Refunding Bonds" means the series of General Receipts Revenue and Refunding Bonds authorized by this Resolution and issued pursuant to the Trust Agreement, as supplemented, this Resolution and the Certificate of Award.

"Refunding Bonds Costs of Issuance Fund" means the fund established by the University used to pay certain costs related to the issuance of the Refunding Bonds.

"Securities Depository" means initially The Depository Trust Company (a limited purpose trust company), New York, New York and thereafter any such entity to which the Trustee has no reasonable objection designated to act as a securities depository by the University.

"Series 2020 Resolution" or *"Resolution"* means this Resolution authorizing the issuance and sale of the Refunding Bonds.

"Special Record Date" means the date established by the Trustee in connection with the payment of any overdue interest on any Bond pursuant to Section 4(e)(ii) of this Resolution.

"Supplemental Trust Agreement" means the Supplemental Trust Agreement between the University and the Trustee, authorized pursuant to Section 7.01 of the Trust Agreement and this Resolution.

"Tax Certificates" means, collectively, the Certificate Regarding Issuance, Use of Proceeds and Arbitrage Compliance of the University to Bond Counsel and the Trustee and the Certificate Under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended, of the University.

"Trustee" means The Bank of New York Mellon Trust Company, N.A., as successor to J.P. Morgan Trust Company, National Association as trustee under the Trust Agreement.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution and the Supplemental Trust Agreement. References to sections, unless otherwise stated, are to sections of this Resolution.

Section 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement and the Act.

Section 3. Authorization of Refunding and Designation and Purpose of Refunding Bonds. It is hereby declared to be necessary to cause the refunding of the Refundable Bonds prior to maturity. To that end, the University may and the University is hereby authorized to cause such redemption either by the deposit of (a) its lawfully available funds, or (b) proceeds of the Refunding Bonds. The determination of which method to use and whether to proceed with the Refunding will be made by the Fiscal Officer based on market conditions and other factors he believes are relevant. In the event the Fiscal Officer determines to use Refunding Bond proceeds to accomplish the Refunding, the University shall, issue, sell and deliver, as provided and authorized by this Resolution, General Receipts Bonds of the University, which shall be designated either "Miami University General Receipts Revenue and Refunding Bonds, with the appropriate series designation" as appropriate, in the original aggregate principal amount to be as provided by the Certificate of Award, for the purpose of refunding the Refundable Bonds and paying a portion of the costs associated with the issuance. For that purpose, the proceeds from the sale of the Refunding Bonds shall be allocated and deposited as provided in Section 6 of this Resolution.

Section 4. Terms and Provisions Applicable to the Refunding Bonds.

(a) Criteria for Issuance. The following criteria shall be used when determining to refund all or a portion of a series of the outstanding Bonds and an issue of Refunding Bonds may be issued if:

(i) (A) the net present value of refunded savings of such particular series of Refundable Bonds shall be at least three percent (3%), (B) the weighted average maturity of such particular series of the Refunding Bonds shall not be greater than 120% of the weighted average maturity of Refundable Bonds to be refunded, and (C) the maximum annual debt service based on all outstanding Bonds of the University issued under the Restated Trust Agreement does not increase as a result of the issuance of the Refunding Bonds; provided, however, it shall be at the discretion of the Fiscal Officer to make exceptions to (A), (B) and/or (C); or

(ii) when other clear advantages to the University would be realized as a result of the refunding, as determined by the Fiscal Officer.

(b) Form and Numbering. The Refunding Bonds shall be issued, unless otherwise subsequently provided in the Supplemental Trust Agreement entered into pursuant to the Trust Agreement, only in the form of fully registered Bonds, substantially in the form set forth in Exhibit A to the Supplemental Trust Agreement with such changes as may be necessary to reflect the terms of the Refunding Bonds set forth in the Certificate of Award. The Refunding Bonds shall be fully registered and numbered as determined by the Fiscal Officer in such manner as to distinguish each Refunding Bond from each other Refunding Bond.

The Refunding Bonds may be registered in the name of either the Original Purchaser or a Securities Depository to be held in a book entry system and the Refunding Bonds as such shall be transferable or exchangeable in accordance with Section 2.06 of the Trust Agreement, provided, however that so long as a book entry system is used for the Refunding Bonds, they may only be transferred to another Securities Depository or to another nominee of a Securities Depository without further action by the University pursuant to subparagraph (e)(iii) of this Section. Notwithstanding Section 2.06 of the Trust Agreement, the University may, and may require the Trustee to, transfer the Refunding Bonds from one Securities Depository to another Securities Depository at any time.

(c) Terms.

(i) Denomination and Dates. The Refunding Bonds shall be issued in such denominations as set forth in the Certificate of Award and shall be dated as of the Delivery Date or such other date as may be provided in the Certificate of Award. Each Refunding Bond shall have only one principal maturity date, except for interim certificates or receipts which may be issued pending preparation of definitive Refunding Bonds.

(ii) Interest. The Refunding Bonds shall bear interest from the later of (i) their date or (ii) the most recent date to which interest has been paid or provided for, payable on the Interest Payment Dates at the respective rates per annum set forth in the Certificate of Award.

(iii) Maturities. The Refunding Bonds shall mature on March 1 and/or September 1 in the years and in the principal amounts as provided in the Certificate of Award, with the initial maturity and final maturity as set forth in section (c) below.

(iv) Prior Redemption.

(A) The Refunding Bonds may be subject to redemption at the option of the University prior to their stated maturities on the redemption dates and at the redemption prices specified in the Certificate of Award. The Fiscal Officer may determine in the Certificate of Award that some or all of the Refunding Bonds are not to be callable prior to stated maturity. The Fiscal Officer further may determine in the Certificate of Award that a premium shall be payable to the bondholder upon early redemption of a Refunding Bond and that such premium may be calculated in a manner to make the bondholder whole for the loss of the investment or may be calculated as a percentage of the principal amount to be redeemed.

(B) The Refunding Bonds of one or more maturities may be subject to mandatory redemption pursuant to mandatory sinking fund requirements by the University at a redemption price equal to 100% of the principal amount redeemed, plus accrued interest to the date of redemption, on March 1 and/or September 1 in the years and in the principal amounts provided in the Certificate of Award.

(d) Maturities: Bond Service Charges. The first maturity or mandatory sinking fund payment and the final maturity of the Refunding Bonds shall not be later than the dates specified in the Certificate of Award. Principal shall be payable in each year from the first maturity or mandatory sinking fund payment year to the final maturity year either at stated maturity or pursuant to mandatory sinking fund requirements. The weighted net interest cost on the Refunding Bonds shall not exceed 5% per annum. Annual Bond Service Charges on all the Refunding Bonds shall be in accordance with the Certificate of Award.

(e) Redemption Prior to Maturity.

(i) If fewer than all of the outstanding Refunding Bonds are called for optional redemption at one time, the Refunding Bonds to be called shall be designated by the Fiscal Officer in his sole discretion and in any manner the Fiscal Officer determines, without regard to the order of their maturities or their interest rates. If fewer than all of the outstanding Refunding Bonds of one maturity and interest rate are to be called for redemption, the selection of the Refunding Bonds, or portions of those Refunding Bonds (in integral multiples of $5,000), of that maturity to be called for redemption shall be made in the manner provided in the Supplemental Trust Agreement. If optional redemption of any Refunding Bonds at a redemption price above 100% of the principal amount to be redeemed is to take place on any applicable mandatory sinking fund redemption date, the selection of the Refunding Bonds to be optionally redeemed shall be selected prior to the selection of the Refunding Bonds to be redeemed by mandatory sinking fund redemption.

(ii) Notice of call for redemption of Refunding Bonds, setting forth the information provided for in Section 3.03 of the Trust Agreement, shall be given by the Trustee on behalf of the University. Failure to receive notice by mailing, or any defect in that notice, as to any Refunding Bond shall not affect the validity of the proceedings for the redemption of any other Refunding Bond.

(f) Places and Manner of Payment and Paying Agents.

(i) The principal of and any redemption premium on Refunding Bonds shall be payable when due only to the registered owners, upon presentation and surrender of the Refunding Bonds at the principal corporate trust office of the Trustee, unless provided otherwise in the Supplemental Trust Agreement.

(ii) Interest on any Refunding Bond due on each Interest Payment Date shall be payable by check or draft which the Trustee shall cause to be mailed on the Interest Payment Date to the person who is the registered owner of the Bond (or one or more predecessor Bonds) at the close of business on the Regular Record Date applicable to that Interest Payment Date, at the address then appearing on the Register. If and to any extent, however, that the University shall make neither payment nor provision for payment of interest on any Refunding Bond on any Interest Payment Date, that interest shall cease to be payable to the person who was the registered owner of that Bond (or of one or more predecessor Bonds) as of the applicable Regular Record Date; when moneys become available for payment of that interest the Trustee shall, subject to Section 2.05 of the Trust Agreement, establish a Special Record Date for the payment of that interest which shall be not more than 15 or fewer than 10 days prior to the date of the proposed payment, and the Trustee shall cause notice of the proposed payment and of the Special Record Date to be mailed to the person who is the registered owner of that Bond on a date not fewer than 10 days prior to the Special Record Date, at the address as then appears on the Register, and thereafter that interest shall be payable to the person who is the registered owner of that Bond (or a predecessor Bond) at the close of business on the Special Record Date.

(iii) Notwithstanding any other provision of this Resolution or any provision of the General Bond Resolution, the Trust Agreement, the Supplemental Trust Agreement or any Refunding Bond to the contrary, with the written approval of the University, the Trustee may enter into an agreement with a Securities Depository, or the nominee of a Securities Depository that is the registered owner of a Refunding Bond in the custody of that Securities Depository providing for making all payments to that registered owner of principal of and interest and any premium on that Refunding Bond or any portion of that Refunding Bond (other than any payment of its entire unpaid principal amount) at a place and in a manner (including wire transfer of federal funds) other than as provided above in this Resolution, without prior presentation or surrender of the Refunding Bond, upon any conditions which shall be satisfactory to the Trustee and the University. That payment in any event shall be made to the person who is the registered owner of that Refunding Bond on the date that principal and premium is due, or, with respect to the payment of interest, as of the applicable Regular Record Date or Special Record Date or other date agreed upon, as the case may be. The Trustee will furnish a copy of each of those agreements, certified to be correct by an officer of the Trustee, to other authenticating agents and paying agents

for Refunding Bonds, if any, and to the University. Any payment of principal, premium, or interest pursuant to such an agreement shall constitute payment thereof pursuant to, and for all purposes of, this Resolution and the Supplemental Trust Agreement.

(iv) Alternate Paying Agents may be designated in the Certificate of Award by the Fiscal Officer.

(f) Execution and Authentication. The Refunding Bonds shall be executed and authenticated in the manner provided in the Trust Agreement. Alternate Authenticating Agents may be designated by the Fiscal Officer in the Certificate of Award.

(g) Multiple Series; Combine with other General Receipts Revenue Bonds. The Refunding Bonds may be issued in one or more series as approved by the Fiscal Officer and all or a portion of the Refunding Bonds may be combined with and issued as part of the same series of Bonds authorized by a separate Series Resolution including, but not limited to the General Receipts Revenue Bonds.

Section 5. Refunding; Sale of Refunding Bonds.

(a) General. The Fiscal Officer is authorized to determine:

(i) whether to proceed with the Refunding, and, if so, by a deposit of the University's lawfully available funds, the deposit of the proceeds of the Refunding Bonds, or a combination of the two;

(ii) the principal amount of Refunding Bonds to be issued;

(iii) the interest rates on the Refunding Bonds;

(iv) the amount of any original issue discount and/or premium on the Refunding Bonds;

(v) the maturities of the Refunding Bonds, including mandatory sinking fund payment amounts, as limited by Section 4(c) herein;

(vi) the optional and mandatory redemption dates, if any, and redemption prices for the Refunding Bonds; and

(vii) the purchase price for the Refunding Bonds.

The Refunding Bonds shall be sold by the Fiscal Officer to the Original Purchaser on such terms not inconsistent with this Resolution as are provided in the Certificate of Award and the Bond Purchase Agreement.

The Fiscal Officer is authorized and directed to execute the Certificate of Award and the Bond Purchase Agreement, in order to provide for the definitive terms and terms of sale of the Refunding Bonds as provided in this Resolution, and to award and provide for sale of the Refunding Bonds to the Original Purchaser. The Bond Purchase Agreement shall not be materially

adverse to the University as shall be approved by the Fiscal Officer, his execution of the Bond Purchase Agreement to constitute conclusive approval of any such changes on behalf of the University. The Certificate of Award shall be incorporated in and form a part of the Supplemental Trust Agreement.

(b) Official Statement. The Fiscal Officer is authorized and directed, on behalf of the University, and in his official capacity, to prepare or cause to be prepared, if the Fiscal Officer determines that it is necessary, a preliminary official statement relating to the original issuance of the Refunding Bonds; to determine, and to certify or otherwise represent, when such preliminary official statement is "deemed final" for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1); and to use and distribute, or authorize the use and distribution of such preliminary official statement in connection with the original issuance of the Refunding Bonds until an official statement is prepared. All actions previously taken by the Fiscal Officer in this regard relating to a preliminary official statement are hereby approved, ratified and confirmed.

The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to prepare or cause to be prepared, if the Fiscal Officer determines that it is necessary, an official statement, and any necessary supplements thereto, relating to the original issuance of the Refunding Bonds; to determine, and to certify or otherwise represent, when such official statement is a final official statement for purposes of Securities and Exchange Commission Rule 15c2-12(b)(3) and (4); to use and distribute, or authorize the use and distribution of such official statement, and any supplements thereto, in connection with the sale of the Refunding Bonds; and to sign and deliver the official statement.

The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to sign and deliver such certificates in connection with the accuracy of the preliminary official and the final official statements and any supplements thereto as may, in his judgment, be necessary or appropriate.

(c) Further Authorization. The Fiscal Officer is further authorized and directed, on behalf of the University, and in his official capacity, to sign and deliver on the Issuance Date, such other certificates and documents as may be reasonably necessary in the opinion of Bond Counsel to complete the sale of the Refunding Bonds. The General Counsel in her official capacity is hereby authorized and directed to sign and deliver on the Issuance Date a legal opinion in form and substance acceptable to Bond Counsel.

Section 6. Allocation of Proceeds of Refunding Bonds.

(a) Allocation. All University funds to be used for the Refunding and the proceeds from the sale of the Refunding Bonds shall be received and receipted for by the Fiscal Officer or by his authorized representative for that purpose, and shall be allocated, deposited and credited as follows:

(i) To the Bond Service Account in the Bond Service Fund, any portion of the proceeds representing accrued interest, if any;

(ii) To the Refunding Bonds Costs of Issuance Fund an amount, to be determined by the Fiscal Officer, to pay the costs of issuance of the Refundable Bonds; and

(iii) To the appropriate account created in the Escrow Deposit Agreement (the "Refunding Account") to be applied to the refunding of the Refunding Bonds.

(b) Refunding Bonds Costs of Issuance Fund.

(i) The Refunding Bonds Costs of Issuance Fund shall be held by the University in a separate deposit account or accounts set up in a bank or banks that are members of the Federal Deposit Insurance Corporation, and used to pay costs of issuance of the Refunding Bonds that constitute "costs of facilities" as defined in the Act (the "Costs of Issuance").

(ii) The Fiscal Officer shall apply the Refunding Bonds Costs of Issuance Fund pursuant to the provisions of this Section 6 to the payment of the Costs of Issuance, including, without limitation, the reimbursement of the University for moneys heretofore advanced to pay Costs of Issuance in anticipation of the issuance of the Refunding Bonds.

(iii) Moneys to the credit of the Refunding Bonds Costs of Issuance Fund, pending their application as above set forth, shall be subject to a lien and charge in favor of the holders of the Refunding Bonds, and the University covenants that it will not cause or permit to be paid from the Refunding Bonds Costs of Issuance Fund any moneys except in compliance with the provisions of this Resolution, the Trust Agreement and the Supplemental Trust Agreement.

(iv) Moneys on deposit in the Refunding Bonds Costs of Issuance Fund may be invested by or at the direction of the Fiscal Officer in Eligible Investments (as defined in the Supplemental Trust Agreement) maturing or redeemable at the option of the holder prior to the time needed for the purposes thereof. The investments and the proceeds of their sale shall constitute part of the Refunding Bonds Costs of Issuance Fund, and earnings from any of those investments shall be credited to the Refunding Bonds Costs of Issuance Fund. The investments may be sold, exchanged or collected from time to time by or at the direction of the Fiscal Officer.

(v) Any balance remaining in the Refunding Bonds Costs of Issuance Fund after the payment of all Costs of Issuance has been accomplished or provided for to the satisfaction of the University shall be deposited in the Bond Service Account and used for payment of interest on the Refunding Bonds.

(c) Refunding Account.

(i) The Refunding Account shall be held by the Escrow Trustee and invested and used as set forth in the Escrow Deposit Agreement.

Section 7. Tax Covenants; Rebate Fund.

(a) This Board hereby covenants for and on behalf of the University, that it will restrict the use of the proceeds of the Series 2020 Bonds in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the Series 2020 Bonds, so that the Series 2020 Bonds will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections, provided that, at the discretion of the Fiscal Officer, the University may issue Series 2020 Bonds which are subject to federal income taxation. However, if the Series 2020 Bonds are designated as some type of tax-advantaged bond on which the interest is not excludable from gross income for federal income tax purposes ("Tax-Advantaged Bonds"), the Board will take, or cause to be taken, all activities required to maintain the status of said Series 2020 Bonds as Tax-Advantaged Bonds, and will not take, or permit to be taken, any actions which would jeopardize the status of the Series 2020 Bonds as Tax-Advantaged Bonds. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Series 2020 Bonds is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Series 2020 Bonds, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code and regulations prescribed under such Sections.

This Board hereby finds and determines that all proceeds from the sale of the Series 2020 Bonds will be needed for the purposes set forth in Section 6 hereof. It will restrict the use of the proceeds of the Refunding Bonds in such manner and to such extent, if any, as may be necessary so that the Refunding Bonds will not constitute arbitrage bonds under Section 148 of the Code. The Fiscal Officer, or any other officer of the University having responsibility for the issuance of the Refunding Bonds, alone or in conjunction with any other officer or employee of or any consultant to the University, shall give an appropriate certificate of the University, for inclusion in the transcript of proceedings for the Refunding Bonds, setting forth the reasonable expectations of the University regarding the amount and use of all the proceeds of the Refunding Bonds, the facts, circumstances and estimates on which they are based, and other facts and circumstances relevant to the tax treatment of the interest on the Refunding Bonds.

(ii) It (a) will take or cause to be taken such actions that may be required of it for the Refunding Bonds to be and remain Tax-Exempt Bonds at the time of their delivery to the Original Purchaser, and (b) will not take or authorize to be taken any actions that would adversely affect that status under the Code, and that it, or persons acting for it, will, among other acts of compliance, (1) apply the proceeds of the Refunding Bonds to the governmental purpose of the borrowing, (2) restrict the yield on investment property acquired with those proceeds, (3) make timely rebate payments to the federal government, (4) maintain books and records and make calculations and reports, and (5) refrain from certain uses of those proceeds, all in such manner and to the extent necessary to assure such exclusion of that interest under the Code. The Fiscal Officer and other appropriate officers are authorized and directed to take any and all actions, make calculations and rebate payments to the federal government, and make or give reports and certifications, as may be appropriate to assure such exclusion of that interest.

(b) Rebate Fund. There is hereby created the Refunding Bonds Rebate Fund (the Rebate Fund), to be in the custody of the Trustee, which shall be continuously invested in Eligible

Investments by the Trustee at the oral direction (confirmed in writing) of the Fiscal Officer. The Rebate Fund shall be held, administered and disposed of in accordance with the provisions of the Supplemental Trust Agreement. Amounts credited to the Rebate Fund are not General Receipts and shall be free and clear of any lien under the Supplemental Trust Agreement or under the Trust Agreement.

Section 8. Credit Enhancement; Escrow Deposit Agreement; Other Agreements. If he determines it to be in the best interest of the University in order to achieve maximum cost savings on the Refunding Bonds, the Fiscal Officer may obtain credit enhancement for all or any portion of the Refunding Bonds.

The Fiscal Officer is authorized to enter into such agreements and to make such changes to the Supplemental Trust Agreement and the Refunding Bond form as may be required in connection with such credit enhancement. The Fiscal Officer is further authorized to enter into such agreements and execute such certificates as may be required in connection with the issuance, sale and delivery of the Refunding Bonds.

The Fiscal Officer is authorized and directed to execute and deliver to the Escrow Trustee, in the name of and on behalf of the University, an Escrow Deposit Agreement in connection with the refunding of the Refunding Bonds.

Section 9. Supplemental Trust Agreement. The Chairman of the Board or the President of the University, and the Fiscal Officer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name of and on behalf of the University, and the Secretary to the Board is authorized and directed to attest, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the Refunding Bonds.

Section 10. Open Meeting. It is found and determined that all formal actions of this Board concerning and relating to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in those formal actions were taken in meetings open to the public, in full compliance with applicable legal requirements including Section 121.22 of the Revised Code.

BOARD OF TRUSTEES OF MIAMI UNIVERSITY

By: _____
Theodore O. Pickerill
Secretary to the Board of Trustees

Adopted: _____, 2020

I attest that this is a true and accurate copy of the original resolution R-2020-_____ passed by the Miami University Board of Trustees on _____, 2020 and remains in effect.

_____
Theodore O. Pickerill
Secretary to the Board of Trustees

_____, 2020

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Finance and Audit
February 21, 2020

Resolution R2020-38
Authorization to Seek Ohio Department of Higher Education Approval for Bond Issue

WHEREAS, the Board of Trustees has approved the issuance of bonds for future construction projects with an estimated cost not to exceed $125 million; and

WHEREAS, the approval of the Ohio Department of Higher Education is required prior to the issuance of new debt;

NOW, THEREFORE, BE IT RESOLVED: that the Board of Trustees hereby authorizes the Senior Vice President for Finance and Business Services and Treasurer to request approval of the Ohio Department of Higher Education to issue bonds in an amount not to exceed $125 million; and

BE IT FURTHER RESOLVED: that, upon approval of the Ohio Department of Higher Education, the Senior Vice President for Finance and Business Services and Treasurer is hereby authorized to take all actions necessary to execute the issuance of bonds in an amount not to exceed $125 million.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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Finance And Audit
February 21, 2020

Resolution R2020-39
Quasi-Endowment

WHEREAS, Miami University has renegotiated a lease with a wireless telecommunication infrastructure company for the use of Miami University property; and

WHEREAS, the new lease replaces monthly payments with a one-time lump sum payment of $383,000; and

WHEREAS, the leased property consists of a portion of the land occupied and used by the Miami University Ecology Research Center (ERC); and

WHEREAS, the ERC has relied on the monthly lease payments since the original lease in 1999 to support upgrades and enhancements to the facility and to supplement its operating budget; and

WHEREAS, Resolution R2019-28 established the Miami University Quasi-Endowment Policy and the University has since cumulatively established $48 million in new quasi-endowments; and

WHEREAS, the College of Arts and Science requests that this new lump sum lease payment be used to establish a quasi-endowment to support the ERC; and

WHEREAS, the Provost and the Senior Vice President for Finance and Business Services recommend approval of this plan;

NOW, THEREFORE BE IT RESOLVED that the Board of Trustees approves the creation of the Ecology Research Center Fund with $383,000.00 from the lump sum lease payment with American Towers LLC; and

BE IT FURTHER ESOLVED that the annual distributions of the Ecology Research Center Fund be used for support of the ERC as determined by the Director of the ERC.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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FINANCE AND BUSINESS SERVICES
Investments & Treasury Services Office

107 Roudebush Hall
501 E High Street
Oxford, OH 45056
(513) 529-6110 office
(513) 529-6124 fax
MiamiOH.edu

To: Jason Osborne and David Creamer
From: Bruce Guiot
Subject: Ecology Research Center Quasi-endowment
Date: January 7, 2020

Since 1999, Miami University has leased a portion of its property used by the Ecology Research Center (ERC) to a wireless telecommunications infrastructure company, American Towers LLC. The ERC relies on this monthly lease revenue to support upgrades and enhancements to the facility and equipment at the site and to supplement the operating budget as needed. Recently, Miami renegotiated and extended this lease and secured a one-time lump sum payment of $383,000.00 in lieu of monthly lease payments.

Since the ERC was dependent on the monthly lease payment, it would like to create a quasi-endowment with the lump sum. This fund will create a perpetual funding source to continue to support upgrades and enhancements to the facilities and equipment at the ERC, as well as provide supplemental resources for its operating budget as needed.

In order to provide a perpetual funding source to support the ERC, the recommendation to the Board of Trustees is to create a new quasi-endowment in the amount of $383,000. The fund will be called the Ecology Research Center Fund and will make an annual distribution to an expendable account as determined by the Miami University Endowment Spending Policy. The expendable will be used to provide support to the ERC at the discretion of the Director of the Ecology Research Center.

Approved: _____ Date: 1-23-20
Jason Osborne

Approved: David D Creamer Date: 1-7-20
David Creamer

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Finance and Audit
February 21, 2020

Resolution R2020-40

WHEREAS, Ohio Revised Code 3345.14 awards all rights to the University in discoveries, inventions or patents which result from research or investigation conducted on University property by any University employee acting within the scope of their employment or with funding, equipment or infrastructure provided by the University; and

WHEREAS, the Board last reviewed and amended the University's Patent Policy in in September 1983 and such policy no longer meets modern standards for the division of net patent royalties; and

WHEREAS, the University has filed a Provisional Patent based on the research of Professor Andrew Jones in Methods of Production of Psilocybin; and

WHEREAS, the University has received expressions of interest from companies interested in commercializing this research and sponsoring additional research;

NOW THEREFORE BE IT RESOLVED, the Board of Trustees:

1. Authorizes the filing of a patent application for the invention by Miami University.
2. Authorizes the Senior Vice President for Finance and Business Services to enter into such licensing and other agreements for the commercial development of the invention. Such agreements upon execution will be reported to the Board at its next regularly scheduled meeting.
3. Authorizes the Senior Vice President for Finance and Business Services to grant a first option for an exclusive royalty bearing license on such terms and conditions to be mutually agreed upon for any additional discoveries, inventions or patents that may be developed as a result of subsequent research by Professor Jones relating to the commercialization of methods of production for Psilocybin.
4. Authorizes the Senior Vice President for Finance and Business Services to enter into agreements with Professor Jones and any other named inventors for the division of net royalties, stock, research fees and other proceeds of commercialization and/or sponsored research, relating to the commercialization of methods of production for Psilocybin, upon such terms and conditions as may be mutually agreed upon.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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Finance and Audit
February 21, 2020

Resolution R2020-41

WHEREAS, Miami and its Department of Emerging Technology in Business and Design ranked #3 among public college and universities in the Princeton Review's 2019 list of Top Schools for Game Design; and

WHEREAS, esports (short for Electronic Sports) will soon be a $1billion dollar business with a global audience of over 300 million fans according to the World Economic Forum; and

WHEREAS, collegiate esport competitions are exploding in popularity across the U.S.; and

WHEREAS, Miami University has a nationally recognized esports team that won the 2017 National Association of Collegiate Esports Championship; and

WHEREAS, the University along other universities that are presently members of the Mid-American Conference believe that forming an esport conference will further the educational purposes as well as the competitive interests and opportunities for its member universities;

NOW THEREFORE BE IT RESOLVED, the Board of Trustees authorizes:

1. The University to join E-Sports Collegiate, Inc. a non-profit corporation organized under Ohio Revised Code Chapter 1702, as an initial member.
2. The President to serve on the Board of Directors or to appoint any other University employee to serve on the Board of Directors as an Initial Appointed Director.
3. The President to take any and all other actions as may be in the best interests of the University with respect to its membership in E-Sports Collegiate, Inc.

Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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Approved by the Board of Trustees
February 21, 2020

February 21, 2020
Other Business

T. O. Pickerill II
Secretary to the Board of Trustees

RESOLUTION 2020-42

Resolution of Appreciation to
Megan Cremeans

WHEREAS, Megan Cremeans was appointed a Miami University Student Trustee on March 1, 2018; and

WHEREAS, Ohio law sets the length of this appointment, which expires on February 28, 2020; and

WHEREAS, Megan has thoughtfully and ardently served Miami University as a member of the Board of Trustees, and as a tireless and dedicated Miami supporter and student.

NOW, THEREFORE BE IT RESOLVED; that the members of the Board of Trustees do hereby express to Megan their appreciation for her service to this Board and to the Miami University student body, and offer her their best wishes for good health and good fortune in all future endeavors.

Done, by the Miami University Board of Trustees, this Twenty-First Day of February, Two Thousand Twenty at Miami University, in the City of Oxford, County of Butler, State of Ohio, during the Two Hundred and Eleventh year of the University's Charter.

MIAMI UNIVERSITY
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Approved by the Board of Trustees
February 21, 2020

February 21, 2020
Other Business

T. O. Pickerill II
Secretary to the Board of Trustees

RESOLUTION R2020-43

Resolution of Appreciation for
Chair Emeritus Mark Ridenour

WHEREAS, Mark E. Ridenour was appointed a Miami University Trustee on September 13, 2011; and

WHEREAS, Ohio law sets the length of this appointment, which expires on February 28, 2020; and

WHEREAS, Mark Ridenour has thoughtfully and ardently served Miami University as a member of the Presidential Search Committee, the Farmer School of Business Board of Visitors, as a Foundation Director, as Chair of the Finance and Audit Committee, Chair of the Investment Sub-Committee, Treasurer, Vice Chair, and as Chair of the Board of Trustees, and as a tireless Miami supporter, alumnus, spouse and parent; and

WHEREAS, Mark earned his bachelor's degree in Finance from Miami University, where he met his future spouse and Miami merger, Karen, and began a family which carried on the Miami tradition with additional Miami graduates and mergers; and

WHEREAS, he tirelessly serves his colleagues and community, having served, frequently as Chair or President, Toledo's Center of Science and Industry, Financial Executives International, Kateri School District, Lourdes College, St. John's Jesuit High School, St. Ursula Academy and Foundation, the Susan G. Komen Breast Cancer Foundation, the Toledo Zoological Society and Foundation, the Toledo Walleye Advisory Board; the Advisory Board for the Junior League of Toledo (where he hosted and introduced William F. Buckley), the John W. Altman Foundation, and Mercy Health – Toledo; and

WHEREAS, commitment, dedication, service and vision have been hallmarks of Mark's character throughout his life, leading to the award of Eagle Scout as a youth, and at Miami, as the Drum Major for the Miami Marching Band, as an officer of the Alpha Chapter of Phi Kappa Tau, and through four years of service on the Miami University Student Foundation, and most recently as Chair of the Board of Trustees during a time of significant strategic planning and growth; and

WHEREAS, Mark's creativity, vision and community spirit as Chair extended beyond Miami's Campus boundaries to help strengthen the bonds between Miami University and the Myaamia Tribe of Oklahoma, resulting in the design of the trustee stole worn at ceremonial events and the creation of the Miami Heritage Logo, both of

which celebrate and honor Miami's special relationship with the Tribe, and proclaim the value of learning together; and

WHEREAS, Mark has steadfastly applied his many leadership skills while a Trustee. During Marks's tenure Miami created today's vision – Miami RISE, implemented Boldly Creative, which emphasizes data, analytics and programs that span traditional disciplines, creating engaged citizens and workplace leaders who will help expand the Ohio economy, and successfully completed the Miami 2020 Strategic Plan, which proved instrumental in Miami being recognized by U.S. News and World Report as our nation's number one, most efficient university for producing high quality results; and

WHEREAS, the sound strategic planning and financial leadership during Mark's tenure enabled an unprecedented transformation of the Oxford campus. Miami has seen the renovation and expansion of numerous existing buildings, the rebirth of Gaskill, Culler and Rowan Halls to become the Armstrong Student Center, and the construction of entirely new facilities, including eight residence and dining halls, an indoor sports field, and an athletic performance center, all of which have significantly enhanced the Miami experience for many generations to come; and

WHEREAS, the Miami Trustees, faculty, staff, and students will miss Mark's strong commitment to service, wisdom, experience, wit and extraordinary insight;

NOW, THEREFORE BE IT RESOLVED, that the members of the Miami University's Board of Trustees do hereby express their sincere gratitude and deepest appreciation for Mark E. Ridenour's service and leadership; and

BE IT FURTHER RESOLVED, that the members of this Board offer their best wishes for his continued good health and success in all future endeavors, and extend an open invitation to Mark and Karen to visit often in the years ahead.

Done, by the Miami University Board of Trustees, this Twenty-First Day of February, Two Thousand Twenty at Miami University, in the City of Oxford, County of Butler, State of Ohio, during the Two Hundred and Eleventh year of the University's Charter.

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December 13, 2019
Other Business

RESOLUTION R2020-44

BE IT RESOLVED: that the Board of Trustees hereby appoints S. Biff Bowman as a National Trustee for a three-year term beginning May 1, 2020, and ending April 30, 2023.

Approved by the Board of Trustees
February 14, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

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Approved by the Board of Trustees
February 21, 2020

T. O. Pickerill II
Secretary to the Board of Trustees

Other Business
February 21, 2020

RESOLUTION R2020-45

NOW THEREFORE BE IT RESOLVED, the Board of Trustees adopts the attached "Statement of Expectations;" and

BE IT FURTHER RESOLVED, the Board of Trustees authorizes changes to the Regulations of the Board of Trustees, indicated as follows:

~~The Board of Trustees Regulations~~

~~May 24, 2018~~

~~PREAMBLE~~

~~Miami University enjoys an invaluable heritage of high scholastic standards and a reputation for the development of the whole person as a contributing member of society. To maintain these assets, an environment must be provided where individuals are not exempt from the consequences of their own actions or inactions, but where at the same time maximum freedom of scholastic inquiry and action is assured.~~

~~In developing Regulations for Miami University, the Board of Trustees has kept in mind its dual responsibility to represent the citizens of the State of Ohio and to promote the best interest of the academic community.~~

~~While the Board of Trustees, in keeping with its responsibilities, reserves the right of final approval, it will seek the viewpoints of all interested parties in the exercise of this right.~~

~~Miami University **Values Statement**~~

~~Miami University is a scholarly community whose members believe that a liberal education is grounded in qualities of character as well as of intellect. We respect the dignity of other persons, the rights and property of others, and the right of others to hold and express disparate beliefs. We believe in honesty, integrity, and the importance of moral conduct. We defend the freedom of inquiry that is the heart of learning and combine that freedom with the exercise of judgment and the acceptance of personal responsibility.~~

~~Adopted by the Miami University Board of Trustees on February 8, 2002.~~

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REGULATIONS OF THE MIAMI UNIVERSITY BOARD OF TRUSTEES

ARTICLE I: MEMBERS OF THE BOARD OF TRUSTEES, ~~THEIR POWERS, AND~~ NATIONAL TRUSTEES AND POWERS

Section 1. Numbers of Members; Appointments; Vacancies.

The ~~Board of Trustees~~ government of Miami University ~~shall, as provided by Section 3339.01 of~~ is vested in the ~~Ohio Revised Code, consist~~Board of Trustees consisting of nine voting members and two students who shall be non-voting members, all of whom shall be ~~appointed by the Governor~~selected in accord with ~~the advice and consent of the Senate. The term of office of the nine voting members shall be nine years as set forth in Section 3339.01 of the Ohio Revised Code. The term of office of the non-voting student members shall be two years, with the seats being vacated on alternating years.~~

~~As specified in §3339.01 Ohio Revised Code, a member shall continue in office subsequent to the expiration date of his/her term until a successor is appointed or until a period of sixty (60) days has elapsed, whichever occurs first.~~

Ohio Revised Code 3339.01. The nomination process of candidates for the non-voting student seats on the Board of Trustees~~, which shall be interpreted to include the necessity to fill a seat which becomes vacant prior to the expiration of the non-voting member's term,~~ shall be in accordance with "Article V, Section 5, Elections, Nominations, and Qualifications of the Bylaws of the Associated Student Government of Miami University."

The non-voting student members shall not be entitled to attend executive sessions of the Board.

Section 2. ~~Powers~~National Trustees.

~~The Board of Trustees shall have the powers which are conferred upon it by the laws of the State of Ohio. The Board shall take all actions necessary for the successful and continuous operation of the University, and shall adopt, and from time to time amend, the Regulations for the conduct of the Board, and the government and conduct of the University.~~

~~The Board shall formulate University policy. Under its general supervision it shall assign the execution of these policies to the President and his/her designates as provided in these Regulations. No member or committee of the Board shall have the authority to commit the Board or University to any policy or action unless expressly given that power in writing by the Board.~~

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~~Section 3. National Trustees.~~

~~To~~The Miami University Board of Trustees establishes the position of National Trustee to take advantage of the talents, resources, and experiences of Miami University alumni who ~~do~~are not ~~live in~~residents of the state of Ohio~~, the Miami University Board of Trustees establishes the position of National Trustee~~.

National Trustees will ~~be~~serve as non-compensated advisors to the Board of Trustees~~.~~. National Trustees may not serve as officers of the Board and ~~will~~do not have ~~no~~ voting privileges at Board of Trustees meetings. National Trustees ~~are not eligible to become officers of the Board, but will otherwise~~may participate in all Board activities, including committee membership. National Trustees ~~will~~ have voting privileges on committees and may serve as committee chairs.

National Trustees ~~will be selected~~are appointed and ~~removed by~~ are subject to removal upon a majority vote of the Miami Board of Trustees. ~~Following appointment by the Board, the Secretary to the Board of Trustees will prepare a formal letter of appointment and will update the Ohio Secretary of State's roster of Officers.~~

~~Travel expenses for National Trustees will be reimbursed consistent with the policy for voting members of the Board of Trustees.~~

A maximum of six National ~~Trustee positions are authorized~~Trustees may be elected, each serving a three-year term. National Trustees are eligible for appointment to no more than two consecutive terms ~~(~~or a total of six years~~).~~ for non-consecutive service.

The qualifications for serving as a National Trustees ~~will be chosen on the basis of the following attributes:~~are Miami alumna/alumnus; successful in chosen field or business; state or national prominence; ability to be an advocate for higher education; and willingness and ability to offer counsel.

Section 3. General Powers and Duties.

The Board of Trustees is responsible for the governance of the University and for determining the University's mission and strategic direction. The Board shall have all the powers that are conferred upon it by the laws of the State of Ohio. The Board shall take all actions necessary for the successful and continuous operation of the University and shall adopt, and from time to time amend, the Regulations for the conduct of the Board, and the government and conduct of the University.

The Board shall exercise fiscal and administrative oversight of the University. Under its general supervision, it assigns the approval and execution of University policies to the President and his/her designates, as provided in these Regulations. The Board reserves the authority to consider, approve, modify, or reject policies approved by the President.

No member or committee of the Board shall have the authority to commit the Board or University to any policy or action unless expressly given that power in writing by the

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Board. All Trustees are expected to abide by the ethics laws of the State of Ohio and to avoid all conflicts of interest.

No Trustee shall, during the Trustee's tenure on the Board, serve on any other University board or committee without the consent of the Chair.

Section 4~~.~~. Trustee Emerita/Emeritus.

To recognize and honor the service of prior Trustees, the title of Trustee Emerita/Emeritus, National Trustee Emerita/Emeritus or Chair Emerita/Emeritus is conferred, as applicable, ~~following approval by the Board of Trustees,~~ upon ~~departing~~the approval by the Board of ~~Trustee members.~~ Trustees. All ~~departing~~ Trustees who have ~~served for~~completed at least three years of service are automatically nominated for consideration and approval by the Board of Trustees.

Those ~~departing~~ Trustees appointed by the Governor of the State of Ohio shall be titled Trustee Emerita/Emeritus, departing National Trustees shall be titled National Trustee Emerita/Emeritus and for those who have served as the Chair of the Board of Trustees, Chair Emerita/Emeritus.

ARTICLE II: MEETINGS AND ORGANIZATION OF THE BOARD OF TRUSTEES

Section 1. Regular Meetings.

The Board shall hold at least four regular meetings each year on dates, ~~at~~and times, and at ~~places~~such locations as established by the Chair of the Board~~, including an annual meeting in~~. Unless otherwise specified, all meetings of the Board will be held at the Oxford~~, Ohio~~ campus.

Section 2. Special Meetings.

A special meeting of the Board shall be held upon call of the Chair of the Board who shall fix the date, time, and ~~place~~location of the meeting. Further, upon written petition of not less than five (5) voting members of the Board, the Chair of the Board shall call a special meeting of the Board at the date, time, and place set forth in the petition.

Section 3. Emergency Meetings.

In the event of an emergency requiring immediate official action, the Chair may call an emergency meeting. Notice of an emergency meeting must be given to all news media outlets that have requested such notification.

Section 4. Notice of Meetings.

~~Written~~Public notice of ~~regular~~all meetings ~~of the Board~~ shall be ~~communicated to each member of~~given in accordance with the requirements of Ohio Revised Code Section

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121.22. Any person may obtain information regarding the ~~Board~~ time, date, and location of all meetings by contacting the Secretary to the Board ~~at least seven (7) days prior to the date of the meeting.~~

~~The Secretary to the Board of Trustees shall post on the Miami University Board of Trustees website the date, time and location of regular meetings as announced by the Chair of the Board.~~

~~Any person desiring notification of regularly scheduled meetings of the Board of Trustees for the year beginning January and ending in December may receive same by depositing with the Secretary to the Board, Miami University, Oxford, Ohio 45056, a sufficient number of self-addressed stamped envelopes in which to mail such notification.~~

~~In the event of any change in the calendar of regularly scheduled meetings of the Board of Trustees during the year commencing in January and ending in December, the Secretary to the Board shall communicate the change not later than thirty (30) days preceding the first meeting conducted under such revised schedule.~~

~~Notice of special meetings shall be communicated to each member of the Board by the Secretary to the Board, with written confirmation to follow, at least ninety-six (96) hours prior to the meeting.~~

~~The Secretary to the Board of Trustees, upon receipt of notice from the Chair of the Board of Trustees~~ or ~~the President of the University of the scheduling of a special meeting of the Board of Trustees, but in no event later than ninety-six (96) hours prior to the commencement of the meeting, shall notify representatives of the public media and all other persons who have so requested of the date, time, place, and stated purpose of the meeting, using self-addressed, stamped envelopes provided by the aforementioned other persons. In the event of an emergency situation where ninety-six (96) hour notification is not possible, the Secretary to the Board shall notify such media representatives by the most appropriate electronic medium to the location specified by such media representatives and shall record the fact of such notice in the minutes of the meeting.~~by visiting https://miamioh.edu/about-miami/leadership/president/bot/meeting-dates/index.html.

~~Persons desiring notice of any regular or special meetings of the Board of Trustees at which specific subject matters designated by the person desiring notice are included in the agenda of the meeting may request such notice in writing to the Secretary to the Board, Miami University, Oxford, Ohio 45056 including with the request a sufficient supply of self-addressed, stamped envelopes for mailing the notice.~~

~~Each notice of a special meeting shall be accompanied by an agenda stating the business to be considered at the meeting. At special meetings no business shall be transacted except that stated in the agenda.~~

Section 5. Quorum.

A majority of the voting members of the Board, when duly convened, shall constitute a quorum. ~~(Ohio Revised Code, Section 3339.01).~~ A majority of the voting members of the

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Board must be present at a duly convened meeting to vote on resolutions or ordinances.

Section 6. Parliamentary Authority.

Robert's Rules of Order, Newly Revised (most recent edition), shall be accepted as authority on all questions of parliamentary procedure not determined by these Regulations or provisions of the Revised Code of the State of Ohio.

Section 7. Election of Officers.

At the ~~annual~~ meeting in September of each year, the Chair shall appoint a nominating committee of three Trustees. The committee will be charged with proposing a slate of the following officers, selected from the voting members of the Board: a Chair~~,~~ and a Vice Chair~~, a Secretary of the Board, and a Treasurer of the Board.~~

. At the ~~annual~~ meeting in December of each year, the Board shall consider the proposed slate and elect from the voting members of the Board: a Chair~~,~~ and a Vice Chair~~, a Secretary of the Board, and a Treasurer of the Board~~. These officers shall hold their respective office from January 1 through December 31 of the year succeeding their election and until their respective successors ~~shall be~~have been elected, so long as they shall continue to be trustees.

Section 8. Duties of Officers.

The Chair shall preside at all meetings and appoint committees. The Chair ~~may serve on~~serves as an ex-officio member of all Board committees, except the nominating committee. The Chair is responsible for establishing the agenda for Board meetings.

The Vice Chair ~~shall serve~~serves in the absence of the Chair and ~~shall assist~~assists the Chair at the latter's request.

~~The Secretary of the Board shall maintain such records as the Board requires.~~

~~The Treasurer of the Board shall maintain such financial records as the Board requires.~~

Section 9. Vacancy in Office.

In the event of a vacancy in the office of Chair, the Vice Chair shall become Chair. The Chair shall then nominate a Vice-Chair to fill the remainder of Vice Chair term. The office of Vice Chair shall be confirmed at the next regular or special meeting of the Board.

~~In the event of a vacancy in the office of Vice Chair, Secretary of the Board, or Treasurer of the Board, the vacancy shall be filled by election at the next regular or special meeting of the Board.~~

Section 10. Minutes.

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Minutes of each meeting~~Duties~~ of the Board shall be distributed to the members of the Board within thirty (30) days after the meeting. All minutes shall be signed by the Secretary to the Board.

~~The Secretary to the Board of Trustees shall be nominated by the President and appointed by the Board~~Section 11. Reimbursement for Expenses.

All trustees are entitled to ~~serve until a successor is chosen and enters upon the duties of the Secretary's office. He/she shall be selected from outside the membership of the Board.~~

~~The Secretary to the Board shall attend~~reimbursement for travel expenses incurred in attending meetings of a committee or of the Board ~~as appropriate, record all votes and the minutes~~, and reimbursement of ~~all proceedings; shall perform like duties for the committees~~expenses incurred in attending meetings as a representative of the Board, ~~as requested or assigned; shall give notice of all meetings of the Board and of its committees; and shall perform such other duties as may be prescribed by the Board or the Chair~~in accordance with law.

Article III: ~~Section 11.~~ Committees of the Board.

Standing and special committees ~~shall be~~are appointed by the Chair of the Board of Trustees. Each committee shall report its recommendations for action to the Board of Trustees.

~~Section 12. Committee for Naming of Campus Facilities.~~

~~The Committee for Naming of Campus Facilities is established as an advisory committee to the Board and to the Vice President for University Advancement, who shall serve as Chair of the Committee. The Vice President for University Advancement shall, in consultation with the Chair of the Board of Trustees, appoint the members of the Committee. The Committee shall be comprised of three members of the faculty and staff, at least one of these with emeritus rank; four representatives from the alumni; at least one member of the Foundation Board; and the Vice President for University Advancement.~~

~~The responsibility of the Committee on Naming of Campus Facilities shall be to recommend appropriate names for the buildings, facilities, and programs on the Miami University campuses in Oxford, Hamilton, Middletown, Luxembourg, and the Voice of America Learning Center, to the Board of Trustees based on the following considerations:~~

1. ~~to honor an alumnus, faculty member, administrator, trustee, or distinguished citizen for their extraordinary service to Miami University;~~
2. ~~in tribute to a donor, living or deceased, who has made a substantial financial contribution to a building, facility or program, or has provided the entire cost of special treatment of a component part of a new or existing building (such as the decorating and/or the furnishings), including endowed maintenance; or whose efforts have made the construction of such a building or facility possible. Specific contribution levels to be~~

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~~established by the Vice President for University Advancement upon recommendation of the Stewardship Committee of the Miami University Foundation;~~

~~3.~~ ~~the~~ Each committee ~~will review naming recommendations for faculty and administrators customarily three (3) to five (5) years, but never less than two (2) years, following retirement, resignation or death of the individual to be honored;~~shall have a chair and vice chair.

~~4. with the exception of facilities named in recognition of philanthropic support, an individual's name shall not be carried on multiple facilities;~~

~~5. only in extraordinary circumstances would a name of a building, facility or program which ceases to exist be moved to another building, facility or program, and then only by vote of the Board of Trustees;~~

~~6. that a plaque with the name be placed in the entrance of the building, with an appropriate designation on a visible and movable exterior sign; that a plaque with the name be placed in a suitable location of a component part or designated area; and that the name of a building, component part, designated area or program be changed only in extraordinary historical circumstances and only upon vote of the Board of Trustees;~~

~~the Committee on Naming of Campus Facilities may adopt such other procedures and regulations as are necessary to carry out its work, all actions subject to the review and approval of the Board of Trustees of Miami University.~~

~~The Board of Trustees has sole authority to approve the naming of campus facilities.~~

Section ~~13~~1. Finance and Audit Committee.

~~OBJECTIVES~~

CHARGE

The Finance and Audit Committee (the "Committee") is appointed by the Chair of the Board of Trustees, with the primary function of assisting the Board of Trustees with its oversight responsibilities in the following areas:

- The University's long-term financial plans.
- The University's financial reporting, internal controls and the independent audit.
- The University's budget.
- The University's capital expenditures for facilities and property.
- The University's investments.
- The University's sponsored retirement plans.
- The University's internal audit activities.

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- The University's processes for monitoring compliance with University policies, including ethical conduct requirements and applicable state and federal laws and regulations.
- The University's risk assessment process.

The Charter of the Finance and Audit Committee is included in Appendix A of these Regulations.

COMMITTEE MEMBERSHIP

The Committee shall ~~be appointed by the Chair of the Board of Trustees and shall~~ consist of no fewer than four members~~. The members of the Committee shall meet the independence requirements of the New York Stock Exchange rules and regulations. At~~, including at least one ~~member~~student trustee. The Chair and Vice-Chair of the Committee shall be a "financial expert," as that term is defined by the Securities and Exchange Commission. One-third of the Committee, but not less than two members, shall constitute a quorum for the transaction of business. The Chair of the Board of Trustees shall appoint the Committee chair and vice-chair.

MEETINGS

The Committee shall meet as often as it determines necessary, but no ~~less~~fewer than four times per year. The Committee shall meet from time to time with the University's senior administrators, the internal auditors, and the independent auditors. The Committee shall maintain written minutes of its meetings.

~~**OVERSIGHT OF THE UNIVERSITY'S STRATEGIC FINANCIAL PLANNING OVER A MULTI-YEAR TIME FRAME**~~

~~The Committee shall review at least annually, the long-term financial plans of the University. These plans will include future projections of annual operating and capital requirements of the University and the related funding sources. As part of the review of the long-term financial plans, the Committee shall review the current and projected debt levels of the University, including consideration of impacts on debt ratings, annual cash flows and liquidity.~~

~~**OVERSIGHT OF FINANCIAL REPORTING, INTERNAL CONTROLS AND THE INDEPENDENT AUDIT**~~

~~The Committee shall be responsible for recommending to the Auditor of the State of Ohio (the "Auditor") the selection and appointment of the independent auditor. The Committee, together with the Auditor, shall be responsible for the compensation and oversight of the work of the independent auditor. The independent auditor shall report directly to the Committee and to the Auditor as required.~~

~~The Committee shall review all auditing services and pre-approve permitted non-audit services (including the fees and terms thereof) to be performed for the University by the independent auditor. Unless specifically directed to do so by the Auditor, the independent auditor is~~

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~~prohibited from performing any non-audit services that are specifically prohibited by independence rules set by the Securities and Exchange Commission and by the General Accounting Office (GAO). The Committee may delegate pre-approval authority to the Chair subject to later review and approval by the Committee.~~

~~The Committee shall have the authority, to the extent it deems necessary or appropriate, to retain independent, legal, accounting or other advisors to the extent that such services are permissible under the laws and regulations governing the University. The University shall provide for appropriate funding, as determined by the Committee, for payment of compensation to the independent advisors.~~

~~The Committee shall meet with representatives of the independent auditor to review the annual audit plan and results of the audit.~~

~~The Committee shall review and discuss reports from the independent auditor and University administrators on:~~

1. ~~All significant accounting principles and judgments used in the preparation of the audited financial statements.~~
2. ~~Any significant changes in the selection or application of accounting principles.~~
3. ~~All significant alternative treatments of financial information within generally accepted accounting principles that have been discussed with University administrators, the ramifications of the use of such alternative treatments, and the treatment preferred by the independent auditors.~~
4. ~~Significant issues relating to the adequacy of the University's internal controls.~~
5. ~~Other material written communications between the independent auditor and University administrators.~~

~~The Committee shall discuss with the independent auditor the matters required to be discussed by professional auditing standards relating to the conduct of the audit, including any difficulties encountered in the course of the audit work, any restrictions on the scope of activities or access to requested information, and any significant disagreements with University administrators.~~

~~The Committee shall review and recommend the annual audited financial statements to the Board of Trustees.~~

~~Annually, the Committee shall obtain and review a report from the independent auditor regarding:~~

1. ~~The independence of the independent auditor, including compliance with GAO's independence standards,~~
2. ~~the independent auditor's internal quality-control procedures,~~
3. ~~any material issues raised by the most recent internal quality-control review, or publicly disclosed findings resulting from reviews of public oversight and regulatory bodies or~~

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investigations by governmental and regulatory authorities within the preceding five years respecting one or more independent audits carried out by the firm,

4. any steps taken to deal with any such issues or findings, and

5. all relationships between the independent auditor and the University.

The Committee shall evaluate the qualifications, performance and independence of the independent auditor, including the lead partner, and consider whether the accountants' quality controls are adequate and the provision of permitted non-audit services is compatible with maintaining the auditor's independence, taking into account the opinions of University administrators and internal auditors on these matters. The Committee shall present its conclusions with respect to the recommendation of the appointment or retention of the independent auditor to the Board of Trustees annually.

The Committee shall use its best efforts to ensure the Auditor's appointment of the independent auditor includes the rotation of the lead audit partner having primary responsibility for the audit consistent with rules and regulations of the Securities and Exchange Commission.

The Committee shall recommend to the Board policies for the University's hiring of employees or former employees of the independent auditor who participated in any capacity in the audit of the University or affiliated entities.

OVERSIGHT OF THE UNIVERSITY'S BUDGET

The Committee shall review and recommend the annual operating budget of the University, including the following matters:

- Annual operating budgets, including guidelines and salary pools for faculty and staff.
- Changes in tuition and fees
- Room and board rates.
- Ordinances, resolutions and other items related to the fiscal management of the University that are proposed by the University's senior administrators.

The Committee shall receive periodic reporting of actual results as compared with the budgets for operating activities throughout the year, as appropriate.

OVERSIGHT OF THE UNIVERSITY'S CAPITAL EXPENDITURES

The Committee shall periodically review the University's long-range facilities plan. The Committee shall review and recommend:

- The University's biennial capital budget
- Proposed capital improvements in excess of specified dollar amounts
- Issuance of capital bonds to finance capital projects
- The annual report of gift-funded projects

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- Capital improvement contracts, including design and construction
- Real property transactions, including purchase, sale, lease, and easements

The Committee shall receive periodic reporting of actual results as compared with the budget for capital appropriations throughout the year, as appropriate.

OVERSIGHT OF THE UNIVERSITY'S INVESTMENT POLICIES AND RESULTS

The Committee has oversight responsibility for the University's Non-Endowment Investments. The Committee serves as the Investment Committee required by Ohio Revised Code 3345.05.

The responsibilities of the Committee in its role as Investment Committee are:

1. To review the University's Non-Endowment Funds Investment Policy adopted pursuant to Ohio Revised Code 3345.05 and recommend any proposed changes to the Board of Trustees for approval.
2. To meet at least quarterly and review periodic investment reports and advise the Board on investments made in accordance with the University's Non-Endowment Funds Investment Policy.
3. To review the University's Non-Endowment Funds Annual Expenditure Policy and recommend any proposed changes to the Board of Trustees for approval.
4. To retain the services of an investment advisor who meets the qualifications of Ohio Revised Code 3345.05.
5. To report to the Board of Trustees at least semi-annually.

The Committee also reviews the University's Endowment. The Committee reviews and recommends any proposed changes to the Endowment Spending Policy and Endowment Administrative Fee to the Board of Trustees for approval. The Committee reviews periodic Endowment investment reports.

OVERSIGHT OF THE UNIVERSITY'S RETIREMENT PLANS

The Committee has oversight and monitoring responsibility for the University's sponsored retirement plans. The Board of Trustees has delegated primary fiduciary responsibility for the University's sponsored retirement plans to the Senior Vice President for Finance and Business Services and Treasurer. The Senior Vice President for Finance and Business Services and Treasurer shall meet with the Committee at least once annually to review with the Committee any significant changes in the material terms of the plans; the selection, evaluation or removal of approved retirement plan providers; the investment performance under the plans; any changes to the funding structure or investment options under the plans; and any other material information relating to the administration of the plans or their investments.

OVERSIGHT OF INTERNAL AUDIT ACTIVITIES

The Committee shall review the appointment and replacement of the Chief Audit Officer (CAO). The CAO shall present to the Committee the annual plan and scope of internal audit activities

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~~for approval. The CAO shall also present budget and staffing for the current year and review any significant changes during the year. The CAO shall review all significant issues raised in reports to University administrators, including the administrators' responses to internal audit recommendations. The Committee shall approve the IACS Charter; approve the risk-based internal audit plan; meet separately on a periodic basis with the CAO's; ensure there are no restrictions or limitations on the scope of work of Internal Audit and Consulting Services; and review the CAO's annual performance as part of approving the annual compensation of the CAO.~~

~~**OVERSIGHT OF COMPLIANCE PROCESSES**~~

~~The Committee shall review annually reports from the General Counsel regarding compliance with University policies, including ethical conduct requirements and other applicable state and federal laws and regulations, including any material reports or inquiries from regulatory or governmental agencies. The General Counsel shall discuss with the Committee any legal, compliance or regulatory matters that may have a material impact on the University's financial statements.~~

~~The Committee shall review and approve procedures recommended by the General Counsel regarding the receipt, retention, and treatment of communications received by the University regarding compliance with the University's policies, including ethical conduct requirements and other applicable laws and regulations, accounting, internal controls or auditing matters. The General Counsel shall provide periodic reports to the Committee regarding any such communications received by the University and resolution thereof.~~

~~**RISK ASSESSMENT**~~

~~At least annually, the Committee shall review reports from the University administrators regarding risk assessment, which is the University's identification and analysis of relevant risks to the achievement of its objectives, including plans for managing the risk.~~

~~**OTHER MATTERS**~~

The Committee shall make ~~regular reports~~a report to the Board of Trustees~~.~~ at each regularly scheduled meeting. The Committee shall ~~review and assess the adequacy of this regulation annually and shall~~ submit any proposed changes to the Committee charter to the Board of Trustees for approval. The Committee shall annually review its own performance.

Section ~~14~~2. Academic and Student Affairs Committee.

~~**OBJECTIVES**~~

CHARGE

The Academic and Student Affairs Committee (the "Committee") is appointed by the Chair of the Board of Trustees, with the primary function of assisting the Board of Trustees with its oversight responsibilities in the following areas:

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- The University's long-term academic plans.
- The University strategic enrollment plans.
- The University's retention and graduation rate plans and goals.
- The University's research activities.
- The University's role in the University System of Ohio.
- Student life.
- Campus safety and student life risk management.
- The University's Communications and Marketing plans.

The Charter of the Academic and Student Life Committee is included in Appendix B of these Regulations.

COMMITTEE MEMBERSHIP

The Committee ~~shall be appointed by the Chair of the Board of Trustees and~~ shall consist of no fewer than four members including at least one student trustee. One-third of the Committee, but no fewer than two members, shall constitute a quorum for the transaction of business. The Chair of the Board of Trustees shall ~~designate~~appoint the Committee chair and vice-chair.

~~At the annual meeting in December of each year, the Chair of the Board shall appoint the members and Chair of the Academic and Student Affairs Committee, to serve from January 1 to December 31 of the succeeding calendar year. The principal liaisons to the Academic and Student Affairs Committee are the Provost and Executive Vice President for Academic Affairs, the Vice President for Student Life, and the Vice President for Enrollment Management and Student Success. Additional staff members will be called upon as needed for specific reports to the Committee.~~

MEETINGS

The Committee shall meet as often as it determines necessary, but no fewer than four times per year. The Committee shall meet from time to time with the University's senior administrators ~~and~~. The Committee shall maintain written minutes of its meetings.

~~**GENERAL OVERSIGHT**~~

~~The Academic and Student Affairs Committee serves in an oversight capacity and shall consider and make recommendations to the Board on academic, student affairs, and enrollment management and student success initiatives and plans of the University. Specifically, the Academic and Student Affairs Committee provides oversight responsibilities for the University's long-term academic plans; the University's strategic enrollment plans; the University's retention and graduation rate plans and goals; the University's research activities; the University's role in the University System of Ohio; student life; and campus safety and student life risk management.~~

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~~OVERSIGHT OF THE UNIVERSITY'S ACADEMIC AND ENROLLMENT FOCUSED PLANNING AND INITIATIVES~~

~~The Committee shall consider and make recommendations to the Board on the academic and enrollment focused initiatives and plans of the University. This will include student recruitment initiatives and goals, strategic retention and student graduation initiatives; the annual instructional and associated academic needs of the University; and the University's research and grants initiatives. The Committee will ensure that the academic budget reflects the University's academic priorities.~~

~~The Committee's oversight responsibilities shall include, but not be limited to:~~

- ~~Strategic and long range academic goals~~
- ~~New degree programs and majors~~
- ~~Academic structure and organization~~
- ~~Quality and effectiveness of academic programs (including accreditation and peer evaluation)~~
- ~~Enrollment management including student recruitment, admission, financial aid, retention and graduation rate goals~~
- ~~Diversity and multiculturalism goals and initiatives~~
- ~~Study abroad~~
- ~~Educational technology~~
- ~~Distance learning~~
- ~~Appointment of deans~~
- ~~Appointment of Ohio Eminent Scholars~~
- ~~Promotion and tenure policies~~
- ~~Faculty grievance and discipline policies~~
- ~~Honorary degrees~~

~~OVERSIGHT OF THE UNIVERSITY'S STUDENT FOCUSED PLANNING AND INITIATIVES~~

~~The Committee shall consider and make recommendations to the Board on student focused initiatives and plans of the University. This will include student life initiatives and needs including strategic retention and student graduation initiatives; University plans to meet the needs of diverse and at-risk student populations; University plans to meet student health needs, including counseling; campus safety, student life risk management strategies; student engagement outside the classroom; and readmission of students dismissed under Section 3345.23 of the Ohio Revised Code.~~

~~The Committee's oversight responsibilities shall include, but not be limited to:~~

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- ~~Student transition and retention initiatives (including first and second year residency requirements, career, and academic support)~~
- ~~Diversity and multi-cultural student initiatives~~
- ~~Student health initiatives including counseling, alcohol and drug education and on-campus medical services (including student health insurance)~~
- ~~Structure and organization for addressing student conduct concerns~~
- ~~Student life initiatives~~
- ~~Structure and organization for addressing the University's relationship with Greek fraternities and sororities and their national organizations~~
- ~~Structure and organization for addressing campus safety and student life risk management issues~~

~~Section 15~~The Committee shall make a report to the Board of Trustees at each regularly scheduled meeting. The Committee shall submit any proposed changes in the Committee's charter to the Board of Trustees for approval. The Committee shall annually review its own performance.

Section 3. Foundation Board.

At the ~~annual~~ meeting in ~~December~~September, of each year, the Chair of the Board of Trustees shall appoint a member of the Board of Trustees (normally the Chair of the Board of Trustees), and a second Trustee to serve as appointed Directors to the Miami University Foundation Board of Directors, from January 1 to December 31 of the succeeding calendar year.

~~Amendments~~Any amendments to the ~~Articles of the Foundation~~Miami University Foundation's Code of Regulations ~~which provide for the selection of Directors and defining Members~~ require the approval and consent of the Board of Trustees given by the affirmative vote of 2/3 of all voting Trustees.

~~Section 16. Minutes.~~

~~Minutes of each meeting of the Board shall be distributed to the members of the Board within thirty (30) days after the meeting. All minutes shall be signed by the Secretary to the Board.~~

~~Section 17. Reimbursement for Expenses.~~

~~All trustees shall be entitled to reimbursement for travel expenses incurred in attending meetings of a committee or of the Board, and reimbursement of expenses incurred in attending meetings as a representative of the Board, in accordance with law.~~

~~ARTICLE III: EDUCATIONAL PROGRAM OF THE UNIVERSITY~~

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~~Section 1.~~

~~Miami University shall consist of the following major academic divisions: College of Arts and Science, College of Education, Health and Society, Farmer School of Business, College of Creative Arts, College of Engineering and Computing, Graduate School, College of Professional Studies and Applied Sciences, and such other divisions as the Board may from time to time determine.~~

~~Section 2.~~

~~The provision of major fields of study and the determination of the degrees appropriate thereto shall be approved by the Board.~~

~~Section 3.~~

~~The content of the educational program shall be determined by the University Senate (subject to the right of initiative and referendum of the Faculty Assembly) and approved by the President.~~

~~Section 4.~~

~~Each of the major academic divisions of the University shall be headed by an Academic Dean to be appointed by the President, in consultation with the Provost, and with the affirmation of the Board. Each Academic Dean shall be responsible for general educational supervision of the departments, faculty, and curricula in the college or school of which he or she is Dean.~~

~~Section 5.~~

~~The instructional staff shall be divided into academic departments. An academic department is an administrative unit established to combine the various course offerings of a particular academic discipline or area of knowledge. The number and designation of such departments shall be determined by the President, subject to approval by the Board.~~

ARTICLE IV: ADMINISTRATIVE OFFICERS OF THE UNIVERSITY

Section 1. Administrative Officers.

The ~~principal~~ administrative officers of the University ~~shall consist of~~are the President, Provost and Executive Vice President for Academic Affairs, Senior Vice President for Finance and Business Services and Treasurer, ~~Vice President for Student Life, Vice President for University Advancement, Vice President for Information Technology, Vice President for Enrollment Management and Student Success,~~ General Counsel and Secretary to the Board.

Section 2. President.

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The President of Miami University shall be appointed by the Board of Trustees and shall be the chief administrative officer of the University responsible for the operation of the University as a whole. The Board delegates responsibility for the operations of the University to President, who has authority to sign on behalf of the University all necessary documents and papers pertaining to the operating needs of the University.

The President has authority to delegate signature authorization to other appropriate administrative personnel of the University for all necessary contracts, documents and papers pertaining to the operating needs of the University, provided that such designation of authority is in writing and on file in the Office of the ~~Secretary to the Board of Trustees (Resolution of the Board of Trustees R86-42).~~General Counsel.

~~Consistent with the University's July 1 to June 30 fiscal year, at either the~~On an annual ~~June or~~ basis, typically at the September Board ~~of Trustees~~ meeting, ~~the~~ Board shall consider ~~annual~~the performance and establish the salary for the President, ~~the President's spouse (if employed by the University),~~ and the amount of any ~~bonus~~other payment(s) due, ~~per~~as required by the terms of the ~~current~~President's employment contract.

The Board ~~shall have~~retains sole responsibility for the hiring of the President and determining the size, composition and selection procedures of any presidential search advisory committee. The Chair of the Board of Trustees is authorized to select and engage an external search firm to aid in a presidential search. The Chair of the Board has the authority to enter into a contract of employment for the President following consultation with the Board.

Section 3. Provost and Executive Vice President for Academic Affairs.

The Provost and Executive Vice President for Academic Affairs of Miami University shall be appointed by the President and elected by the Board of Trustees. The Provost shall be the chief educational officer of the University under the President responsible for general supervision of instructional programs. In the absence of the President, the Provost and Executive Vice President for Academic Affairs shall be the chief administrative officer of the University.

Section 4. Senior Vice President for Finance and Business Services and Treasurer.

The Senior Vice President for Finance and Business Services and Treasurer shall be appointed by the President and elected by the Board of Trustees. ~~He/she is~~The Senior Vice President serves as the chief business and financial officer of the University under the President responsible for the (1) business affairs of the University including general direction and supervision over new construction, the maintenance and operation of the physical plant, the procurement and distribution of supplies, the management of residence halls and dining facilities, the operation of other auxiliary services, the administration of non-academic personnel serving in civil service classifications, and the

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security of University property and (2) financial affairs of the University including the collection of all accounts due, the custody of funds, the disbursements of accounts payable, the preparation of vouchers payable by the State of Ohio, the control of financial records, the rendering of proper financial reports, the provision of bookkeeping and auditing service to student organizations, and all necessary liaison with State financial officers.

The Senior ~~Section 5.~~ Vice President serves as the Treasurer for ~~Student Life.~~

~~The Vice President for Student Life shall be appointed by the President and elected by the Board of Trustees and shall have the responsibility for student services, including the administration of student conduct rules and regulations.~~

~~Section 6. Vice President for~~ the University ~~Advancement.~~

~~The Vice President for University Advancement shall be appointed by the President and elected by the Board of Trustees and shall be~~and is responsible for ~~fund raising and administration~~establishing such accounts as may be necessary to carry on the operation of the University. The Treasurer shall designate depositories for the custody of funds of the University. Investment of ~~development programs and alumni and parent programs~~funds may be made as authorized by the Board.

~~Section 7. Vice President for Information Technology~~

~~The Vice President for Information Technology shall be appointed by the President and elected by the Board of Trustees and shall be responsible for the development, implementation, and administration of the University's information technology infrastructure and computing systems.~~

~~Section 8. Vice President for Enrollment Management and Student Success.~~

~~The Vice President for Enrollment Management and Student Success shall be appointed by the President and elected by the Board of Trustees and shall be responsible for enrollment management, including admission, financial aid, the bursar, and the registrar, as well as responsibility for coordination of retention and student success initiatives~~

~~Section 9.~~ Section 5. General Counsel.

~~Miami University's~~ The General Counsel is shall be ~~an administrative officer,~~ appointed by the Board of Trustees upon nomination of the President. The General Counsel shall be responsible for the coordination and supervision of all legal matters involving Miami University and the Board of Trustees, and its officers and employees acting on behalf of the University or its affiliated entities. The General Counsel also serves as the University's chief ethics officer and is responsible for reporting complaints and compliance issues to the Board of Trustees and its Finance and Audit Committee as described in the Board's Complaint/Compliance Communication Protocol included as Appendix C to these Regulations.

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Section ~~10~~6. Secretary to the Board.

The Secretary to the Board shall be ~~an administrative officer,~~ appointed by the Board of Trustees upon nomination of the President. The Secretary shall prepare and keep the records of the Board and shall be responsible for the safekeeping of the seal and other official insignia of the University. As custodian of the University seal, the Secretary shall affix it to such instruments as require its use and when so done shall attest it by his or her signature. This officer shall sign all documents requiring the signature of the Secretary as an officer of the corporation. The Secretary shall have custody ~~of the charter of the University and~~ of the minute books and papers relating to the records of the Board. The Secretary shall perform other duties and responsibilities not specifically assigned to another officer by these regulations as the Board or the President may direct.

Section ~~11~~7. Authority.

The President, ~~the~~Provost and Executive Vice ~~Presidents~~President for Academic Affairs, Senior Vice-President for Finance and Business Services and Treasurer, General Counsel and the Secretary to the Board are the ~~principal~~ administrative officers of the University; the Secretary to the Board shall also serve as Secretary of the corporation. The President shall have the power to appoint additional principal administrative officers, subject to approval by the Board of Trustees.

Subject to the Board's signature authorizations, the administrative officers of the University have the authority to execute transfers of real estate, lease of University property or leases of real property for the benefit of the University. The administrative officers are also authorized to buy, sell, assign, transfer and convey securities belonging to the University or delegate authority for the same.

Section ~~12~~8. Power of President to Designate Committees.

~~The~~The President and those authorized by the President shall have authority to appoint advisory committees to advise him/her on affairs relating to the University. These committees shall have such responsibilities as are assigned to them ~~by the President.~~ Advisory Committees ~~appointed by the President~~ may be discharged ~~by him/her~~ at any time.

ARTICLE V: EDUCATIONAL PROGRAM OF THE UNIVERSITY

Section ~~13. Power~~1. Academic Divisions.

Miami University consists of ~~Principal Administrative Officers to Appoint Committees.~~the following major academic divisions:

- College of Arts and Science

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- College of Education, Health and Society
- Farmer School of Business
- College of Creative Arts
- College of Engineering and Computing
- Graduate School

College of Professional Studies and Applied Sciences~~Subject to the direction of the President, each principal administrative officer shall have the authority to appoint advisory committees (whether called councils, boards, commissions, or committees) to advise that officer in affairs relating to his or her responsibilities at the University. These committees shall have such responsibilities as are assigned to them by the principal administrative officer. Committees appointed by a principal administrative officer may be discharged by that officer at any time.~~

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And such other divisions as the President may from time to time determine.

Section 2. Fields of Study.

The provision of major fields of study and the determination of the degrees appropriate thereto shall be approved by the Board.

Section 3. Content of Educational Program.

The content of the educational program shall be determined by the University Senate (subject to the right of initiative and referendum of the Faculty Assembly) and approved by the President.

Section 4. Deans.

Each of the major academic divisions of the University shall be headed by an Academic Dean to be appointed by the President, upon the recommendation of the Provost. Each Academic Dean shall be responsible for general educational supervision of the departments, faculty, and curricula in the college or school of which he or she is Dean.

~~14.~~Article VI: Signature Authority.

Section 1. Contracting Authority.

~~1.~~ No contract, agreement, promise, bank account, or other financial undertaking in the name of Miami University or purporting to be made for, or on behalf of any campus, college, school, department, division or other part of the University may be made by any of its officers, agents, or employees or by any student, faculty, or alumni organization, enterprise, or association whatever, unless the same shall have been first authorized by the Board of Trustees of the University or ~~in pursuance of authority conferred by~~as authorized these Regulations.

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~~2.~~ Whenever the Board of Trustees has authorized or ratified the making of any contract or the execution of a written instrument of any kind, the President, the Provost and Executive Vice President for Academic Affairs and the Senior Vice President for Finance and Business Services shall, without additional authorization by the Board, each be authorized to execute and deliver such documents as may be necessary or proper for the performance of the University's obligations thereunder.

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~~3. **Real Property** – Contracts involving the purchase or sale of real estate must be approved by the Board of Trustees, regardless of the amount. This provision does not include the release of interests by the University for the purchase of land rents as provided in Ohio Revised Code 3339.03. The President and Secretary to the Board are authorized to execute transfers of real property to release land rent interests and as otherwise authorized by the Board. The Vice President for Finance and Business Services and the General Counsel shall serve as alternates for the President and Secretary to the Board. The Vice President for Finance and Business Services is also authorized to enter into leases of University real property or leases of real property for the benefit of the University.~~

~~4. **Capital Improvements** – The Board of Trustees retains sole authority with respect to contracts for capital improvement projects (repair, maintenance, renovation or new construction) unless otherwise specifically delegated by Board Resolution or as follows:~~

~~1. Capital improvement projects are defined as any initiatives undertaken on behalf of the University that improve the physical environment. Projects covered under this policy include, but are not limited to, activities that involve design, repair, maintenance, construction, reconstruction, renovation, demolition, landscaping, roads and grounds improvements/maintenance, building and systems repairs/replacement, client-specific projects, major emergency responses and any capital improvement project. This excludes items which would appropriately be directed through the procurement process and subject to the University's procurement policies and guidelines. All such projects shall adhere to all applicable laws and regulations.~~

~~2. The Vice President for Finance and Business Services shall have the responsibility and authority for contracting for any capital improvement project of any kind (repair, maintenance, renovation, or new construction) estimated to cost $2.5 million or less. The Vice President for Finance and Business Services shall report regularly to the Finance and Audit Committee on the status of each capital improvement project of $1 million or more.~~

~~3. Any capital improvement project estimated to cost more than $2.5 million shall require specific authorization by the Board of Trustees. Once a project of more than $2.5 million has been approved by the Board, the University shall not increase the project costs (including costs for design, construction, construction management, or other services) presented to the Board at the time of authorization without specific Board approval except as follows:~~

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1. For projects more than $2.5 million but less than $10 million, the Vice President for Finance and Business Services shall have the authority to increase individual contracts, approve change orders or the total project budget as long as the increases do not exceed 10% of the original total projected budget or $500,000, whichever is less, and an appropriate report is made to the Board's Audit and Finance Committee at its next regularly scheduled meeting.

2. For projects over $10 million, the Vice President for Finance and Business Services shall have the authority to increase individual contracts, approve change orders or the total project budget as long as the increases do not exceed 10% of the original total project budget or $1 million, whichever is less, and an appropriate report is made to the Board's Finance and Audit Committee at its next regularly scheduled meeting.

3. For any energy saving project estimated to cost less than $4,000,000 issued in accordance with all applicable laws and regulations, the Vice President for Finance and Business Services shall have the requisite authority to enter into such contracts on behalf of the University. This approval constitutes complete authorization for the project including site selection, design, construction, financing and any services needed, except as specifically noted in the authorization resolution.

5. **Purchase of Goods and Services** – The President, the Provost and the Vice President for Finance and Business Services are authorized to sign all contracts, purchase orders, documents and papers that require the expenditure of funds by the University or are necessary for the good and efficient operation of the University. The Vice Presidents, Dean, Directors, Chairs and other heads of offices are authorized to purchase goods and services through such purchasing policies and procurement procedures as may be authorized by the Vice President for Finance and Business Services.

6. **Employment** – The Board authorizes the President and the Provost, within the budgetary resources of the University, to employ and set compensation for members of the faculty and unclassified administrative staff.
The Board designates the President and the Vice President for Finance and Business Services as the appointing authority to employ and set compensation for members of the unclassified administrative staff, classified staff and law enforcement officers.

7. **Securities** – The Board authorizes any of the following officers of the University to buy, sell, assign, transfer and convey any and all securities of any company, corporation or association, now or hereafter registered in the name of or belonging to the President and Trustees of the Miami University, or standing in the name or belonging to the University in any capacity: the Chair of the Board of Trustees of the University, the Treasurer of the Board of Trustees of the University, the President of the University, the Vice President for Finance and Business Services and Treasurer of the University, the Associate

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~~Treasurer of the University, the General Counsel of the University, or the Secretary to the Board of Trustees of the University.~~

8. ~~**Delegation** – The President, the Provost and the Vice President for Finance and Business Services may delegate some or all of the authority conferred under this Article to other officers or employees of the University. All delegations of authority whether by the President, the Provost, or the Vice President for Finance and Business Services shall be in writing, shall identify the delegate by title and/or position of employment, shall describe the scope of the authority provided by the delegation, shall bear the signature of the President, Provost or Vice President for Finance and Business Services, and shall expire on the earlier of: (a) the date of expiration contained in the written delegation, (b) the date the delegate ceases to serve in the position to which authority has been delegated; or (d) written notice of revocation of the delegation signed by the President, Provost, or Vice President for Finance and Business Services. No person receiving a delegation may further assign or delegate to any other person all or any part of the delegated authority. All such delegations shall be filed with the Secretary to the Board of Trustees and the Office of General Counsel.~~

Signature Authorizations are included in Appendix D.

ARTICLE ~~V~~VII: EMPLOYMENT

Section 1. Staff.

The policies that govern the terms and conditions of employment~~, discipline, termination, tenure and promotion of the instructional staff and employment, discipline and termination~~ of the unclassified administrative staff and classified staff are subject to approval by the President. ~~These policies are enumerated in the Miami University Policy and Information Manual.~~

Section 2. Faculty.

Tenure is a method of assuring academic freedom; that is, the freedom to teach, to inquire, to create, to debate, to question, and to dissent. Tenure represents a long-term financial commitment to the extent allowable under the laws of the State of Ohio. Miami has no specified or implied limits on the percentage of the instructional staff who may be granted tenure. Each candidate for tenure is judged individually, not relative to other candidates. If an individual demonstrates the high quality of professional performance expected by Miami, tenure will be conferred, regardless of how many other candidates may be considered in a given year. However, it is not anticipated that all individuals appointed to tenurable ranks will be able to demonstrate the high quality of professional performance required to achieve tenured status.

The Board retains full authority for policies that govern the terms and conditions of employment and tenure of the faculty.

Section 3. Compensation.

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The Board authorizes the President and the Provost, within the budgetary resources of the University, to employ and set compensation for members of the instructional staff and unclassified administrative staff employed in Academic Affairs.

The Board designates the President and the Senior Vice President for Finance and Business Services as the appointing authority to employ and set compensation for members of the unclassified administrative staff, classified staff and law enforcement officers within the budgetary resources of the University.

ARTICLE ~~VI~~VIII: STUDENTS

Section 1. Admission.

General policies on the admission of students to the University ~~shall~~may be fixed from time to time by the Board upon recommendation of the President, or the University Senate with the approval of the President.

Section 2. Conduct.

Good conduct and deportment is expected of every student at all times. Regulations governing student conduct may be recommended from time to time by the University Senate or the Student Life Council; such recommendations shall be subject to the approval ~~by~~of the Vice President for Student Life and the President.

~~Section 3.~~

~~Violation of rules and regulations governing student conduct will subject the student to appropriate disciplinary action including suspension or dismissal from the University.~~

~~Section 4.~~

~~The University shall operate primarily as a residential institution where student social life shall be carried on as an integral part of the educational program of the University.~~

~~Section 5. Miami University Tuition Promise~~

~~1. Tuition Promise~~

~~1. The Miami University Tuition Promise is an Oxford campus, cohort-based, guaranteed undergraduate tuition program adopted in accordance with Ohio Revised Code 3345.48. Miami University's Tuition Promise provides all First-Time Students and their families the certainty that Tuition, Special Purpose and Course Fees and Room and Board charges will not increase over the ensuing four academic year period from their first enrollment as a degree seeking student. The Tuition Promise will apply to all First-Time, degree-seeking undergraduate students enrolling on the Oxford campus. Tuition and fees will be set annually for returning students on the Oxford Campus, Miami's regional campuses, Luxembourg campus and for the Voice of America Center.~~

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Participation in the program is required for all First-Time, degree-seeking undergraduate students enrolling on the Miami University Oxford campus for the first time in fall semester of 2016 or later.

2. The four academic years of the Tuition Promise includes eight consecutive semesters, four (4) winter and four (4) summer terms. The four academic year term is guaranteed regardless of the student's enrollment status (full- or part-time or not enrolled) during that time.

3. Tuition is set by the Board of Trustees each academic year and guaranteed for eight consecutive semesters for each entering Co Winter and summer terms are charged separately based on the guaranteed Cohort per-credit-hour rate.

2. Terms

1. First-Time Student
A First-Time Student is any undergraduate, degree-seeking student enrolled on Miami University's Oxford campus for the first time on or after fall 2016. First-Time Students include students who enroll at Miami after graduating from high school, transfer students who enroll from another college or postsecondary institution, and non-traditional students who enroll on the Oxford campus for the first time. First-Time Students do not include non- degree-seeking students or conditionally admitted students such as students enrolled in the American Culture and English (ACE) Program, College Credit Plus or Advanced High School; exchange students; and other students participating in other pre-enrollment or postsecondary option programs.

2. Returning Students
Students enrolled at the Miami University Oxford campus prior to summer term 2016 and who are enrolled in fall term 2016 or later are considered Returning Students for purposes of assessing tuition and other fees and are not covered by the Miami Tuition Promise. Tuition and other fees are set annually by the Board of Trustees for these students.

3. Cohort

1. First-Time Students are assigned to a Cohort (group) based on the semester in which the student first enrolls as a degree-seeking student. Each academic year contains one fall semester Cohort and one spring semester Cohort.

1. Any Oxford campus degree-seeking, undergraduate student who is registered for classes for the first time as of the fifteenth day of the fall or spring term will be assigned to that Cohort year for purposes of determining Tuition, Special Purpose and Course Fees and Room and Board for the four academic years covered by the guaranteed Cohort pri Each Cohort commences with the first semester of enrollment and the pricing remains constant for

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four academic years (e.g., fall 2016 through summer 2020 or spring 2017 through winter 2021).

2. Students may complete as many undergraduate degrees, majors, minors, and/or certificates as they choose within their Cohort period.

3. Students may enroll in graduate-level coursework for programs offered on the Oxford campus at their guaranteed tuition rate until their Cohort period expire.

2. Tuition (Instructional and General Fee)

Tuition is the sum of the Instructional Fee and General Fee. For non-Ohio resident students, Tuition also includes a tuition surcharge. Under the Tuition Promise, Tuition is set each academic year for eight consecutive semesters for each entering fall and spring Cohort. Winter and summer terms are charged separately based on the guaranteed Cohort per-credit-hour rate.

1. Instructional Fee

These are the guaranteed instructional costs that First-Time, degree-seeking students will pay. Non-Ohio resident students also pay a tuition surcharge. Each incoming Cohort is charged its unique, guaranteed resident or non-resident rate for eight consecutive semesters. Full-time students pay no additional Tuition regardless of the number of hours enrolled. Part-time students pay Instructional Fees on a pro-rated, per-credit-hour basis.

2. General Fee

These are campus fees charged to all students for non-instructional services and programs on campus, such as orientation, health education and services, recreation, athletics, transportation, access to technology, graduation, the Armstrong Student Center, other student-life facilities and student activities.

3. Room and Board Charges

Room and Board charges are the guaranteed rates for Miami's housing and meal plan options. Students pay a fee based on the housing and meal plan selected. The schedule of fees and options are guaranteed for each Cohort for eight consecutive semesters. Miami University requires first- and second-year students to reside in University-provided housing and to purchase a meal plan.

4. Additional Tuition Promise Guaranteed Fees

1. Special Purpose Fees

Special Purpose Fees are additional per-semester charges that vary by college within the University and support specialized

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academic programs and instruction in that specific college (i.e., the College of Engineering and Computing major fees and the Architecture, Interior Design and Music major fees in the College of Creative Arts). These fees are charged as applicable and are guaranteed for each Cohort.

2. Course Fees

Course Fees are per-credit-hour charges for certain courses or course-related costs and vary based on the course (e.g., the per-credit-hour Farmer School of Business course fee, laboratory fees). These fees are charged as applicable and are guaranteed for each Cohort.

5. Charges and Fines Not Included in the Tuition Promise

1. Service Charges and Fines

These are charges and fines incurred by students such as vehicle registration and library and parking fines. These charges and fines will vary from year to year and are **not** included in Miami's Tuition Promise.

2. Workshops, student health insurance, textbooks and supplies are not included in the Tuition Promise.

4. Dissemination

The terms of the Tuition Promise, along with Miami University Board of Trustees' approved guaranteed Cohort prices, will be widely disseminated including publication on the Miami University Admission, One Stop for Student Success Services and other student service websites and in the Miami University Policy Library.

5. Additional Provisions

1. Summer/Winter Term Start

Students whose first enrollment is a summer or winter term will pay the continuing student/non-degree-seeking student tuition rate for the initial term, but will be assigned to the entering semester Cohort that immediately follows. Summer start students are typically students who have confirmed their enrollment and will be matriculating for the first time for the fall semester immediately following the summer term. By being assigned to the following semester Cohort, these students will receive the benefit of guaranteed tuition for four full years after completing the initial term.

2. Students Enrolled on Both Oxford and Regional Campuses

In addition to students who take all of their credit hours during an academic year (fall, winter, spring or summer) on either the regional campuses or the Oxford campus, some students take classes at the regional campuses and the Oxford campus during the same semester or

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academic year. Historically, these students have been assessed the tuition applicable to the "campus of the student" for all credit hours taken. Miami University will continue to use the "campus of the student" to determine the tuition applicable for all hours enrolled by the student during an academic year.

3. Exception for Relocating Students and Students Transferring from Ohio's Public Community and Technical Colleges.
When a student transfers from one of Ohio's public community or technical colleges or relocates from one of Miami University's regional campuses to the Oxford campus, the student will be assigned to the lowest unexpired Cohort for the duration of that Cohort. The Cohort will be assigned based on the earliest date of enrollment as a full-time undergraduate student at the qualifying institution. When the assigned Cohort expires the student will automatically be placed into the Cohort that went into effect the year after their assigned Cohort (Cohort +1). The student will remain in that Cohort for up to one year and if still enrolled after that Cohort expires, will be placed into the next Cohort (Cohort +2) for the next year and so on until the student is no longer enrolled. (Students admitted for College Credit Plus or other conditional admissions are not considered to be fully admitted).
If four (4) or more academic years have elapsed since the student's first date of enrollment as a full-time undergraduate student at the qualifying institution, then the student transferring from one of Ohio's public community or technical colleges or relocating from one of Miami University's regional campuses will be assigned to the oldest unexpired Cohort on the Oxford campus.
For Oxford campus students relocating to the regional campuses, these students will pay the current tuition and other fees in effect on the regional campuses. These students may relocate back to the Oxford campus at any time and pay tuition and other fees associated with their original Cohort.

4. Non-Degree Students
Students admitted or enrolled as non-degree-seeking students (students who are not pursuing an undergraduate degree or have not been admitted as a degree-seeking student at Miami University) are not covered by the Tuition Promise and will not be assigned to a Cohort unless the student is subsequently admitted and enrolls as a degree-seeking student. Tuition for these students will continue to be set annually by the Board of Trustees. This includes non-degree-seeking students or conditionally admitted students such as students enrolled in the American Culture and English (ACE) Program, College Credit Plus or Advanced High School; exchange students, and other students participating in other pre- enrollment or postsecondary option programs. Once a student is admitted as a First-Time, degree-seeking student, the student will be

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assigned to the Cohort based on the semester in which the student first enrolled as a degree-seeking student.

5. Re-Enrolling Students.
   Re-enrolling students who were admitted in a degree-seeking program prior to fall 2016 are not covered by the Tuition Promise and will pay tuition and other fees associated with Returning Students. When a student originally assigned to a Cohort seeks to re-enroll after any period of non- attendance and not more than four (4) academic years have elapsed since the student's initial degree-seeking enrollment, then the student will be assigned to the student's original Cohort for the balance of the Cohort period. If four (4) or more academic years have elapsed, then the re-enrolling student is assigned to the oldest unexpired Cohort on the Oxford campus. Additional Provisions

6. Exceptions to Standard Length of Cohort
   The Miami University Tuition Promise is for four (4) academic years commencing with either the fall or spring semester. Some students may require additional academic periods beyond the four (4) academic years to complete their baccalaureate degree and will continue to attend the Oxford campus beyond their Cohort period. When certain exceptions are met (as described in Section L of this document) students may extend their guaranteed Cohort price beyond their guaranteed Cohort period. A student must apply for an exception no later than one semester prior to the expiration of their Cohort. Students with approved exceptions will be granted additional courses at their guaranteed Cohort price. The specific courses or length of the exception will be determined as part of any approval.

7. Academic Costs Included in the Miami University Tuition Promise

   1. Tuition (Instructional and General Fee) Tuition is the sum of the Instructional Fee and General Fee. For non-Ohio resident students, Tuition also includes a tuition surcharge. Under Miami's Tuition Promise, Tuition is set each academic year and guaranteed for eight consecutive semesters for each entering Cohort. Winter and summer terms are charged separately based on the guaranteed Cohort per- credit-hour rate.

      1. Instructional Fee
         These are the guaranteed, instructional costs that all First-Time, degree-seeking students will pay. Non-Ohio resident students will also pay a tuition surcharge. Each incoming Cohort is charged its unique, guaranteed resident or non-resident rate for eight consecutive semesters. Full-time students pay no additional Tuition regardless of the number of hours enrolled. Part-time students pay instructional fees on the Cohort pro-rated, per-credit-hour basis. The Tuition Promise does not include workshops.

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2. General Fee

These are campus fees charged to all students for non-instructional services and programs on campus, such as recreation, athletics, transportation, technology, the Armstrong Student Center, other student life facilities and student activities.

3. Special Purpose Fees

Special Purpose Fees are additional per-semester fees that vary by college within the University and support specialized academic programs and instruction in that specific college (i.e., the College of Engineering and Computing major fees and the Architecture, Interior Design and Music major fees in the College of Creative Arts). These fees are charged as applicable and are guaranteed for each Cohort.

4. Course Fees

Course Fees are per-credit-hour fees for certain courses or course-related costs and vary based on the course (e.g., the per-credit-hour Farmer School of Business course fee, laboratory fees). These fees are charged as applicable and are guaranteed for each Cohort.

8. Other Student Costs Included in the Miami University Tuition Promise

The goal of the Tuition Promise is to provide a comprehensive set of costs for completing an undergraduate degree at Miami University. The following costs are also included in the Miami University Tuition Promise:

1. Housing Rates (Room)

The Tuition Promise includes a guaranteed price schedule for housing that represents the various housing options available to undergraduate students. The rate charged to the student is based upon the student's selected or assigned residence type, (e.g., single room, double room, triple occupancy, new construction and renovated hall). If a student changes from one room or hall type to another during the Cohort period, the housing rate charged to the student will be adjusted based on the guaranteed price schedule that is in effect throughout the student's Cohort period.

Student requests to reside in on-campus housing beyond the second year are subject to room availability. If space is available for a student who has already met the residency requirement, the established Cohort rate schedule for student rooms continues throughout the period covered by the guaranteed Cohort price.

2. Meal Plan Rates (Board)

The Tuition Promise includes a number of meal plan options from which the student may choose depending on whether the student is residing on- or off- campus. A meal plan is required for students residing in university

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housing. A Cohort menu of meal plans and rates is included as part of the Cohort pricing and the actual meal plan cost will be based on the meal plan selected by the student. While the meal plan price will remain guaranteed during the Cohort period, individual meal items and merchandise in retail locations are subject to price changes.

9. Other Student Costs Not Included in the Miami University Tuition Promise
   All other fees, fines, and costs related to attending Miami University not specifically identified as part of the Tuition Promise are excluded from the guaranteed Cohort price and are subject to price changes. These exclusions include credit workshops, student health insurance and textbooks. While such costs are excluded, Miami University will seek to limit increases to the extent feasible.

10. Cohort Pricing Beyond the Initial Year.

    1. Once the initial Cohort Tuition is established, subsequent Cohort increases in Tuition will be based on:

       1. The average rate of inflation, as measured by the consumer price index prepared by the Bureau of Labor Statistics of the United States Department of Labor (all urban consumers, all items), for the previous sixty-month period; and

       2. The percentage amount the Ohio General Assembly restrains increases on in-state undergraduate Instructional and General Fees for the applicable fiscal year. If the General Assembly does not enact a limit on the increase of in-state undergraduate instructional and general fees, then no limit shall apply under this section for the Cohort that first enrolls in any academic year for which the General Assembly does not prescribe a limit.

       3. This rate of increase will be benchmarked against other State of Ohio four-year residential research institutions' four-year rolling cost averages to account for the impact of the Cohort pricing model on tuition changes. If Miami University's Cohort Tuition for Ohio residents falls significantly below these institutions, Miami University may elect to submit for approval by the Chancellor of the Department of Higher Education an increase in the forthcoming Cohort Tuition pricing in excess of the stated limitation for Ohio residents.

    2. Other increases in Cohort pricing, including the Non-Resident Tuition Surcharge, Special Purpose Fees, Course Fees and Room and Board charges are not subject to the pricing formula set forth above and will be determined by the Miami University Board of Trustees.

11. Student Who Stop Out/Withdraw and Return
    If a student takes a leave, withdraws, or is judicially suspended from the

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~~University for one or more academic semesters, the four (4) academic year period covered by the guaranteed Cohort price will not be extended. As a result, the student will lose the term(s) of eligibility while absent within the four (4) academic year Cohort period. When the student re-enrolls, if four (4) academic years have not lapsed since the student's initial degree-seeking enrollment, then the student will be charged the guaranteed rate based on his or her original Cohort for the balance of the Cohort period. If four (4) or more academic years have passed, then the re-enrolling student is assigned to the oldest unexpired Cohort on the Oxford campus (as defined in section K).~~

~~12. Student Who Require Longer Than Their Cohort Period to Graduate~~

~~Students who do not complete their undergraduate degree requirements and are not eligible for an exception (as defined below) by the end of their assigned Cohort term, will automatically be placed into the Cohort that went into effect the year after their assigned Cohort (Cohort +1). The student will remain in that Cohort for up to one year and if still enrolled after that Cohort expires, will be placed into the next Cohort (Cohort +2) for the next year and so on until the student is no longer enrolled.~~

~~13. Exceptions for Students Who Require Longer Than Their Cohort Period to Graduate~~

~~There will be some students who will take longer than their guaranteed Cohort period to graduate due to circumstances beyond their control. No later than one semester prior to the expiration of their guaranteed Cohort term, a student may request, an extension of their guaranteed Cohort price. Each case will be evaluated on its own merits to determine whether an extension should be granted and if so, the nature and duration of any extension.~~

~~1. A Tuition Promise Appeals Committee will evaluate requests for exceptions. The appeal must fall within extenuating circumstances established by the Appeals Committee as described below.~~

~~1. If the Appeals Committee finds that the student cannot complete the degree program within the four (4) academic years of the student's Cohort due solely to a lack of available classes or space in classes provided by the University, the University will provide the student with an opportunity to take the necessary course or courses without requiring the payment of tuition.~~

~~2. Other circumstances will be considered for an extension of the guaranteed Cohort price beyond the four academic years depending on the validity and impact of the circumstances including:~~

~~1. Enrollment in a degree program requiring more than 128 hours to graduate~~

~~2. Illness or Injury~~

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3. Disability that necessitates a reduced course load as a reasonable accommodation

4. Medical Leave of Absence

5. Victim of Interpersonal Violence or Crime while enrolled

3. If the Appeals Committee determines that the student has provided sufficient documentation of extenuating circumstances that were outside the control of the student and prevented the student from completing the student's program of study during the assigned Cohort period, the Committee will determine the appropriate period of time or number of courses to extend the guaranteed Cohort price.

4. Any student called to active duty in the United States Armed Services will be given an automatic extension of their guaranteed Cohort price based upon the number of academic terms impacted by the student's active duty absence.

14. Graduate Courses

Students may enroll in graduate-level coursework for designated programs offered on the Oxford campus at their guaranteed tuition rate until their Cohort period expires. Students pursuing this option must meet all university requirements for admission to the program or to enroll in such courses. Following the expiration of their original Cohort, tuition for graduate-level coursework will be assessed at the current Oxford campus graduate student rate applicable to the program of study.

ARTICLE ~~VIII~~IX: THE UNIVERSITY SENATE

Section 1. Authority.

The University Senate is the primary university governance body where students, faculty, staff and administrators debate university issues and reach conclusions on the policies and actions to be taken by the institution. The University Senate is the legislative body of the University in matters involving educational programs, requirements, and standards; faculty welfare; and student conduct. The Board of Trustees delegates to University Senate primary responsibility for curriculum, programs, and course offerings and advisory responsibility on all matters related to Miami University.

The Board of Trustees reserves the right to consider, approve, modify, or reject actions taken by the University Senate. Amendments to the Enabling Act of University Senate and Faculty Assembly must be approved by the Board of Trustees.

ARTICLE ~~VIII~~X: THE FACULTY ASSEMBLY

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Section 1. Chair.

The Faculty Assembly is chaired by the President of the University who has responsibility for the preparation of the agenda for meetings.

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Section 2. Membership.

Faculty Assembly is comprised of all members of the faculty who hold tenure or a tenure track position; all librarians who hold the rank of Assistant Librarian, Associate Librarian, or Principal Librarian; and all members of the faculty who hold the rank of Lecturer (including Senior rank)* or Clinical/Professionally Licensed Faculty (including Senior rank)*.

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*Lecturers and Clinical/Professionally Licensed Faculty are members of the Faculty Assembly for the purpose of qualifying them to serve on the University Senate. Membership in Faculty Assembly does not qualify Lecturers or Clinical/Professionally Licensed faculty to serve on committees whose membership is restricted to faculty in tenure-eligible ranks.

Section 3. Authority.

Faculty Assembly hears reports from its committees and from the President, the Chair of Senate, and other Vice Presidents. It may propose, debate, and recommend matters for Senate consideration or for presentation to other officials or administrative bodies. It may also challenge and refer back any University Senate action. Faculty Assembly has the right of initiative and referendum. Such action shall be considered as tantamount to University Senate action.

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The Faculty Assembly may raise questions and offer comments. It may discuss matters affecting Miami University and its environment.

The Board of Trustees reserves the right to consider, approve, modify, or reject actions of Faculty Assembly. Amendments to the Enabling Act of University Senate and Faculty Assembly must be approved by the Board of Trustees.

~~ARTICLE IX: THE STUDENT LIFE COUNCIL~~

~~Section 1. Authority for the Council.~~

1. ~~The University Senate delegates its authority in the realm of student social conduct rules and regulations to the Student Life Council, a semi-autonomous governing body, which also has legislative authority in the general realm of non-academic student affairs. All actions of Student Life Council which have substantial academic content shall be reported to the Executive Committee of University Senate which shall place them on the agenda of the University Senate.~~

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2. All actions of Student Life Council shall be recorded in its minutes to be sent to the Executive Committee of University Senate which shall be alert to the possibility that some items may be of sufficient university-wide import to merit the attention of University Senate under its responsibility to provide recommendations on all matters related to Miami University.

3. Actions of the Student Life Council which do not have substantial academic content are made directly to the President via the Vice President for Student Life and need not be acted upon by the University Senate, although the President may desire to consult with this or other groups in deciding to approve, modify, or reject actions taken by the Student Life Council.

4. Matters to be considered by Student Life Council will originate from a number of sources, but it is expected that the Associated Student Government will be an important source of suggestions for action by Student Life Council.

5. The chair shall be the Vice President for Student Life who shall serve as an ex officio member with the Executive Assistant to the Vice President for Student Life as secretary without vote.

ARTICLE X: MAINTENANCE OF LAW AND ORDER

Section 1.

The Board of Trustees recognizes the constitutional guarantees of the right of free speech and peaceful assembly. The Board of Trustees also recognizes the principle of academic freedom which permits freedom of inquiry and discussion and the right to make constructive criticism. The Board of Trustees is equally cognizant of the necessity of maintaining justice, law, and order on the campuses of the University and preventing the disruption of the educational functions of the University.

Section 2.

Pursuant to Section 3345.21 of the Ohio Revised Code, the Board of Trustees hereby declares that all persons, including University faculty, staff, students, and visitors are prohibited from engaging or participating in, or aiding and abetting any of the following actions on any campuses of Miami University:

1. Obstruction or disruption of teaching, research, administration, disciplinary proceedings, or any other University activities, including its public safety or service functions;

2. Threatening to or engaging in conduct or creating a condition that presents a risk of physical harm to the offender or another or to the property of another;

3. Theft or damage to property of the University or any other person;

4. Unauthorized entry to or unauthorized use of University facilities;

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5. Violation of University rules and policies including those regulations concerning the use of University facilities, or concerning the time, place, and manner of public expression;
6. Violation of rules governing residence in University-owned or University-controlled property;
7. Disorderly conduct;
8. Use, offer for sale, sale, distribution, possession, or manufacture of any controlled substance or drug, except as expressly permitted by law;
9. Failure to comply with orders or directives of University officials or University police or any other law enforcement officers or emergency personnel acting in performance of their duties;
10. Obstruction of the free flow of pedestrian or vehicular traffic; and
11. Possession or use of firearms, explosives, dangerous weapons, or chemicals. A dangerous weapon is defined as any instrument, device, or object capable of inflicting physical harm or death and designed or specifically adapted for use as a weapon or possessed, carried or used as a weapon.

For the purposes of this section "aiding and abetting" shall be construed to mean the giving of aid or assistance to the principal in the planning or execution of any of the foregoing acts.

Section 3.

Any member of the faculty, staff member or student, who violates any of the foregoing prohibitions, is subject to University discipline. Disciplinary action may include discharge, suspension, or expulsion from the University. Such person may also be subject to criminal prosecution.

Visitors are subject to criminal prosecution. Any visitor who violates this policy may be immediately ejected from University property by Miami University Police.

Section 4.

The buildings, grounds, and other property of Miami University campuses are dedicated to the educational mission of the University. Use of the buildings, grounds, and other property of the University is reserved for the direct and indirect support of the teaching, research, and service missions; of the University's administrative functions; and of students' campus life activities. The University may prohibit or otherwise restrict access to or use of its buildings, grounds, and other property as may be necessary to provide for the orderly conduct of the University's teaching, research, and service missions; of the University's administrative functions and of students' campus life activities. Visitors are free to walk through our campuses; however, authorization is required from the University or from a recognized student organization to make speeches or presentations, to erect displays, to engage in any commercial activity, or to conduct similar activities on University owned or controlled property.

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~~Section 5.~~

~~The President, any Miami University police officer, or any university official authorized by them, may restrict or deny any person's access to the campus if the person engages in conduct prohibited by this policy. The Miami University police officer or other authorized University official restricting or denying access shall, if feasible, issue a trespass warning (orally or in writing) to the person. The police officer or University official issuing the trespass notice should advise the person that he/she is on University property and that permission to be on University property is revoked; and inform him/her that if he/she does not leave immediately or if he/she returns, he/she will be arrested and prosecuted for criminal trespass to the full extent of the law. A copy of the written trespass warning should be filed with the Miami University Police. An oral Trespass Warning should be documented in writing and filed with the Miami University Police.~~

ARTICLE XI: BUDGET AND FINANCIAL ADMINISTRATION

Section 1. Annual Budget.

The annual operating budget as adopted by the Board of Trustees, and as amended from time to time, shall govern all financial transactions of the University. This budget shall be presented in such form as the President may determine or as required by law.

The Senior Vice President for Finance and Business Services and Treasurer, with the approval of the President, may make such adjustments as are necessary in the operating budget within the limits of available funds or within the limits of additional income received for a specific purpose.

Section 2. Gifts.

In accordance with University policy, as approved by the President, the University may accept gifts.

Section 3. State Appropriations.

The preparation and presentation of requests for appropriations from the State of Ohio and all official financial dealings on behalf of the University with all federal, state, and local government offices, boards, and agencies shall be under the direction of the President of the University. Appearances in the name of the University before federal, state, or local government offices, boards, and agencies shall have prior ~~written~~ authorization from the President.

Section 4. Tuition and Fees.

All instructional and general fees (tuition), special purpose, course and miscellaneous fees collected by the University from students shall be fixed by the Board. The President or such persons as the President may designate may determine appropriate charges to

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be made to groups using the facilities of the University or receiving other auxiliary services. The Board delegates to the Senior Vice President for Finance and Business Services the authority to establish non-academic user fees.

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Section 5.

~~The Treasurer of the University shall establish such accounts as may be necessary to carry on the operation of the University. He/she shall designate depositories for the custody of funds of the University with the approval of the Board. Investment of funds may be made if authorized by the Board.~~

~~Section 6~~ Annual Audit.

There shall be an annual audit of all funds not examined by the Auditor of the State of Ohio. This audit shall be arranged by the Treasurer of the University subject to the approval of the Board of Trustees.

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ARTICLE XII: ~~BUILDINGS~~CAMPUS FACILITIES

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Section 1.

~~All buildings shall be carefully maintained in as good state~~ Naming of ~~operation as possible from the funds authorized for this purpose~~Facilities.

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~~Section 2.~~

~~The use of buildings and other facilities by groups not directly connected with the University shall be authorized only in accordance with policies approved by the President.~~

The Board of Trustees has sole authority to approve, remove or change the name of a campus facility.

ARTICLE XIII: INTERCOLLEGIATE ATHLETICS

Section 1. Authority.

The University shall engage in intercollegiate athletic competition in such sports as are approved by the President and the Board of Trustees ~~with the advice of the Committee on Athletic Policy, a committee advisory to the President and the Director of Intercollegiate Athletics, and the University Senate.~~

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~~Section 2.~~

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~~Standards of academic eligibility to participate in intercollegiate athletics shall be determined by the Committee on Athletic Policy and the University Senate, in accordance with National Collegiate Athletic Association, Mid-American Conference and National Collegiate Hockey Conference Regulations.~~

~~Section 3.~~

~~ATHLETIC POLICY COMMITTEE — advisory to the President and the Athletic Director.~~

1. ~~The Committee shall be composed of six faculty or staff members, one of whom shall be a member of Senate, and three students. Two of the student members, one female and one male, shall be selected from the Miami University intercollegiate athletic teams. Two female and two male nominees will be selected by the Director of Intercollegiate Athletics and forwarded to Associated Student Government, which will inform the Executive Committee of University Senate of its two choices. The third student member shall be chosen by Associated Student Government from students who have no involvement in intercollegiate athletics. Three of the faculty or staff members shall be nominated by the Executive Committee from a list of six or more names submitted by the President; the other three faculty or staff members are approved as provided in the Bylaws of University Senate. The Director of Intercollegiate Athletics and the Faculty Representative to the Mid-American Conference from Miami University (chosen by the President) shall serve as *ex officio*, non-voting members of the Committee.~~

2. ~~The functions of the Athletic Policy Committee are to represent University Senate and to advise the President and Director of Intercollegiate Athletics about matters affecting the academic and athletic integrity of Intercollegiate Athletics, including but not limited to matters affecting student-athlete welfare. The Committee shall advise the Director of Intercollegiate Athletics generally on policies for student athletes including standards of academic eligibility, student support and health, academic integrity and progress, equity, including Title IX, and NCAA, MAC, and NCHC issues. The Committee is charged with the responsibility to track the academic progress of current athletes, to analyze that academic data and to make recommendations to the Director of Intercollegiate Athletics on related policy. The Committee shall advise the President and University Senate on the sports in which Miami will participate. The Chair of the Athletic Policy Committee shall be kept informed of the search processes for head coaches and the Director of Intercollegiate Athletics. The Committee is involved in the NCAA recertification process.~~

ARTICLE XIV: HONORARY DEGREES

Section 1. Authority to Grant Honorary Degrees.

The authority to grant honorary degrees from Miami University resides solely with the Board of Trustees. The Board grants to the President of the University the discretionary authority to confer an honorary degree upon the speakers at any Commencement and the Charter Day convocation.

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~~Section 2. Annual Commencement Exercises and Honorary Degree Procedures~~

~~The following procedures, except in unusual circumstances, will be followed in nominating and electing candidates to receive honorary degrees at commencements or the "Charter Day" convocation:~~

1. ~~The Awards and Recognition Committee, which is advisory to the President and the Provost and Executive Vice President for Academic Affairs, shall be composed of six (6) tenured faculty members, at least one of whom shall be a member of the University Senate; one (1) member who is a past recipient of the Benjamin Harrison Medallion, or University Distinguished Professor Award, or Distinguished Service Award. As part of its responsibilities the Committee shall serve as the screening and nominating Committee for honorary degrees. The Secretary of University Senate or his or her designee shall serve as Committee secretary without vote. Nominations for honorary degrees shall be solicited from the entire University community.~~
2. ~~Candidates may be nominated from the following categories:~~
    1. ~~Alumni who have made substantial contributions of one kind or another to the University.~~
    2. ~~Other individuals who have made substantial contributions of one kind or another to the University.~~
    3. ~~Individuals, including non-alumni, of regional, national, or international reputation.~~
3. ~~Honorary degrees may be presented at any Commencement. Up to four nominations from the Committee in each of the three categories may be submitted for the academic year to be divided among the December and May Commencements.~~
4. ~~Trustees of the University may be candidates for honorary degrees two years following retirement from the Board.~~
5. ~~Members of the faculty and staff of the University may be candidates for honorary degrees two years after the date of retirement.~~
6. ~~Typically, nominations should consist of two internal and two external letters of nomination that explicitly describe the reasons for the nomination; and a curriculum vita, resume, or biography. These should be given to the Secretary of University Senate or his or her designee who will forward them to the Awards and Recognition Committee.~~
7. ~~Names of candidates shall be submitted to the University Senate according to this section as stated above. The names of those candidates receiving a majority vote by the University Senate in each of the categories shall be submitted to the President for consideration.~~
8. ~~It is understood and agreed upon, as reflected in the Standing Rules of the University Senate, that nominations for honorary degrees following the procedure set forth in Section 2, part A of this Article shall be received, discussed, and voted upon in executive session of the University Senate.~~

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~~Section 3. Honorary Degrees to be Received at Other Times – Procedures for Selection.~~

~~The following procedure, except in circumstances otherwise deemed appropriate by the Board of Trustees, will be followed in nominating and recommending candidates to receive honorary degrees other than at commencements or the Charter Day convocation:~~

1. ~~Recommendations for honorary degrees may be submitted by members of the Board of Trustees, the faculty, staff, students, and alumni to the Secretary of University Senate or his or her designee.~~
2. ~~The Awards and Recognition Committee will constitute the duly authorized committee on honorary degrees and shall consider all recommendations submitted to it by the Secretary of University Senate or his or her designee.~~
3. ~~Typically, nominations should consist of two internal and two external letters of nomination that explicitly describe the reasons for the nomination; and a curriculum vita, resume, or biography. These should be given to the Secretary of University Senate or his or her designee who will forward them to the Awards and Recognition Committee.~~
4. ~~The Committee on Awards and Recognition will review the recommendations and give its advice and consent to the President.~~
5. ~~Recommendations receiving favorable consideration by the Committee on Awards and Recognition will subsequently be forwarded by the President of the University to the Board of Trustees for further consideration and final approval.~~
6. ~~The President of the University will inform the University community of those individuals who have been approved by the Board for the granting of an honorary degree.~~

ARTICLE XV: Values Statement

Miami University is a scholarly community whose members believe that a liberal education is grounded in qualities of character as well as of intellect. We respect the dignity of other persons, the rights and property of others, and the right of others to hold and express disparate beliefs. We believe in honesty, integrity, and the importance of moral conduct. We defend the freedom of inquiry that is the heart of learning and combine that freedom with the exercise of judgment and the acceptance of personal responsibility.

ARTICLE XVI: INSIGNIA

Section 1. Colors of Miami University.

The official colors of Miami University shall be crimson and white. (Vol. 5, page 54, Minutes of the Board of Trustees, September 18, 1902.)

Section 2. Seal of the Miami University.

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"A seal has been provided of the description following, to wit: Around the outer circle thereof are the words, 'Sigillum Universitatis Miamiensis.' Within the circle are an open book, a globe, and a telescope, surmounted by these words as a motto: 'Prodesse quam conspici.' That the said seal be, and the same is hereby adopted as the seal of the Miami University." (Vol. 1, pages 176-177, Minutes of the Board of Trustees, September 26, 1826.)

Section 3. Motto of the Miami University.

The motto of the University shall be "Prodesse quam conspici," which may be translated "To Accomplish Rather Than to be Conspicuous," or "It Is Better to be Useful Than Conspicuous."

Section 4. Flag of Miami University.

The flag of Miami University shall be made in accordance with the following specifications: standard American flag proportions (generally 4 feet x 6 feet) with a field divided by a diagonal bar half crimson (coccinum), half white (album); the predominate color of crimson to be that half of the flag above a diagonal bar from the bottom of the hoist at the mast to the upper corner of the fly end; the subordinate color of white to be that half of the flag below a diagonal bar from the bottom of the hoist to the upper corner of the fly end. Satin or similar materials shall be used. In all instances, the National Flag Code shall be followed when displaying the flag of the University. Whenever the flags of the State of Ohio and Miami University are displayed together, the latter shall be subordinate to the former.

ARTICLE ~~XVI~~XVII: REGULATIONS: THEIR PROMULGATION, AMENDMENT, AND REPEAL

Section 1. Amendment.

These Regulations may be amended at any meeting of the Board of Trustees by a majority vote of the members present and voting, provided that at least fourteen ~~days~~days' notice of such proposed amendment has been given in writing to each member.

Section 2. Previous Enactments of the Board.

Nothing herein contained shall invalidate any lawful action taken under any regulation, ordinance, rule or regulation for the government of Miami University previously enacted by the Board of Trustees.

Section 3. Effective Date of Implementation.

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These Regulations shall be in full force and effect from the date of their enactment by the Board or upon such date as specified by the Board of Trustees.

Codification: June 1909

Revised: June 1954, February 1960, June 1969. Amended: September 1973, July 1978, February 1979, June 1982, September 1982, December 1982, October 1983, April 1985, July 1987, March 1988, August 1988, August 1989, December 1989, June 1990, September 1991, December 1997, June 1998, September 1999, June 2000. Revised: January 2001 (to reflect 1986 amendment, R86-42, to Article IV, Sections 2 and 12). Amended: April 2001, June 2002, June 2004, February 2005. Revised: February 2007 (to reflect Business School name change). Amended: February 2008. Revised: May 2010 (to reflect termination of School of Interdisciplinary degrees). Amended: April 2011, December 2011, April 2012, September 2012, July 2013, September 2014, June 2015, September 2016, December 2016, February 2017, April 2017, December 2017. Revised: May 2018 (to reflect the Division of Student Life name change). Amended: December 2018, February 2019.

Prepared by the Secretary to the Board

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MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
ROUDEBUSH HALL ROOM 212
OXFORD, OHIO 45056
(513) 529-6225 MAIN
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WWW.MIAMIOH.EDU

Statement of Expectations for the Miami University Board of Trustees

Trustees have one overriding responsibility- to serve the best interests of Miami University. As fiduciaries, the Board's principal duty requires loyalty to the University as a whole, not to any particular constituency, part of the University, special interest or personal agenda. Trustees must have an objective long-term view.

I. Role of the Board

A. The Board must act and speak as a single entity. No individual Trustee or self-appointed group of trustees shall speak on behalf of the Board or the University.
B. The Board's primary concerns are strategic governance and accountability. The Board is responsible for ensuring the University offers students an exceptional educational experience and produces research that provides economic and cultural benefits to the world, nation and State of Ohio.
C. The Board governs through the President and, thus, must refrain from becoming involved in day-to-day operations.
D. The Board should accept the principles of academic freedom and shared governance, under the authority of the president and the Board of Trustees, as fundamental characteristics of university governance.
E. The Board must be familiar with, committed to, and abide by the major responsibilities and duties of the board as set out in the laws of this State and Regulations of Miami University.
F. The Board must ensure the fiscal integrity of the University.
G. The Board must ensure there is an annual evaluation of the President in his role leading the university.

II. Role of Individual Trustees

Each Trustee is expected to:

A. Accord to every other Trustee civil, respectful treatment even when Trustees have different opinions or recommendations. No Trustee should be publicly critical of actions taken or decisions made by the Board or President.
B. Hold in the strictest confidence any information, written or oral, whatever the source, the Board receives or discusses in confidence.

C. Support the University philanthropically, commensurate with one's means, as an expression of a personal commitment to the University's well-being and assist in the solicitation of others.
D. Attend Board meetings and, as assigned, committee meetings. Each Trustee is expected to prepare diligently and participate constructively in all Board and committee meetings. This includes the obligation to:

- Carefully read and review all relevant materials;
- Thoughtfully prepare for all Board and committee meetings;
- Expend the time and effort to understand the issues at hand;
- Disclose promptly and fully any potential or actual conflicts or dualities of interest; and
- Make informed decisions based on an analysis of objective data and, during deliberations, apply sound management principles and prudent business judgment.

E. Direct constituents to the President when presented with concerns about the performance of individuals or offices.
F. Not initiate communication on behalf of the University or in the role of University Trustee with external constituencies (members of Congress, Governor, senior State executives, the Chancellor, members of the General Assembly, or local or federal officials) **without** the prior consent of the Board Chair or President. Should any external constituents contact a Trustee, the Trustee should be mindful not to speak on the Board's behalf or otherwise violate the letter or spirit of this Statement. In all instances, Trustees should promptly report to the Board Chair any conversations with government officials.
G. Not interact with external stakeholders on specific University issues, projects, policies, or concerns unless that interaction occurs directly and expressly as part of a Board or Committee approved process.
H. Adhere to the highest ethical standards and perform University duties without regard to any personal interests.

Student Trustees have a unique responsibility to ensure that the views of students are heard in Board deliberations. Student Trustees should also share with other students the Board's perspectives on University issues. In performing both of these functions, Student Trustees should keep in mind the needs of all constituencies within the University.

Because Trustees agree to honor the standards, procedures and expectations outlined in this document, compliance is fundamentally a matter of self-enforced honor. A Trustee concerned about the comportment of a fellow Trustee should speak with the Board Chair in confidence and as expeditiously as possible.

MIAMI UNIVERSITY
OXFORD, OH • EST. 1809

BOARD OF TRUSTEES
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REGULATIONS OF THE MIAMI UNIVERSITY BOARD OF TRUSTEES

ARTICLE I: MEMBERS OF THE BOARD OF TRUSTEES, NATIONAL TRUSTEES AND POWERS

Section 1. Numbers of Members; Appointments; Vacancies.

The government of Miami University is vested in the Board of Trustees consisting of nine voting members and two students who shall be non-voting members, all of whom shall be selected in accord with Ohio Revised Code 3339.01. The nomination process of candidates for the non-voting student seats on the Board of Trustees shall be in accordance with “Article V, Section 5, Elections, Nominations, and Qualifications of the Bylaws of the Associated Student Government of Miami University.”

The non-voting student members shall not be entitled to attend executive sessions of the Board.

Section 2. National Trustees.

The Miami University Board of Trustees establishes the position of National Trustee to take advantage of the talents, resources, and experiences of Miami University alumni who are not residents of the state of Ohio.

National Trustees will serve as non-compensated advisors to the Board of Trustees. National Trustees may not serve as officers of the Board and do not have voting privileges at Board of Trustees meetings. National Trustees may participate in all Board activities, including committee membership. National Trustees have voting privileges on committees and may serve as committee chairs.

National Trustees are appointed and are subject to removal upon a majority vote of the Miami Board of Trustees.

A maximum of six National Trustees may be elected, each serving a three-year term. National Trustees are eligible for appointment to no more than two consecutive terms or a total of six years for non-consecutive service.

The qualifications for serving as a National Trustees are Miami alumna/alumnus; successful in chosen field or business; state or national prominence; ability to be an advocate for higher education; and willingness and ability to offer counsel.

Section 3. General Powers and Duties.

The Board of Trustees is responsible for the governance of the University and for determining the University's mission and strategic direction. The Board shall have all the powers that are conferred upon it by the laws of the State of Ohio. The Board shall take all actions necessary for the successful and continuous operation of the University and shall adopt, and from time to time amend, the Regulations for the conduct of the Board, and the government and conduct of the University.

The Board shall exercise fiscal and administrative oversight of the University. Under its general supervision, it assigns the approval and execution of University policies to the President and his/her designates, as provided in these Regulations. The Board reserves the authority to consider, approve, modify, or reject policies approved by the President.

No member or committee of the Board shall have the authority to commit the Board or University to any policy or action unless expressly given that power in writing by the Board. All Trustees are expected to abide by the ethics laws of the State of Ohio and to avoid all conflicts of interest.

No Trustee shall, during the Trustee's tenure on the Board, serve on any other University board or committee without the consent of the Chair.

Section 4. Trustee Emerita/Emeritus.

To recognize and honor the service of prior Trustees, the title of Trustee Emerita/Emeritus, National Trustee Emerita/Emeritus or Chair Emerita/Emeritus is conferred, as applicable, upon the approval by the Board of Trustees. All Trustees who have completed at least three years of service are automatically nominated for consideration and approval by the Board of Trustees.

Those Trustees appointed by the Governor of the State of Ohio shall be titled Trustee Emerita/Emeritus, departing National Trustees shall be titled National Trustee Emerita/Emeritus and for those who have served as the Chair of the Board of Trustees, Chair Emerita/Emeritus.

ARTICLE II: MEETINGS AND ORGANIZATION OF THE BOARD OF TRUSTEES

Section 1. Regular Meetings.

The Board shall hold at least four regular meetings each year on dates, and times, and at such locations as established by the Chair of the Board. Unless otherwise specified, all meetings of the Board will be held at the Oxford campus.

Section 2. Special Meetings.

A special meeting of the Board shall be held upon call of the Chair of the Board who shall fix the date, time, and location of the meeting. Further, upon written petition of not less than five (5) voting members of the Board, the Chair of the Board shall call a special meeting of the Board at the date, time, and place set forth in the petition.

Section 3. Emergency Meetings.

In the event of an emergency requiring immediate official action, the Chair may call an emergency meeting. Notice of an emergency meeting must be given to all news media outlets that have requested such notification.

Section 4. Notice of Meetings.

Public notice of all meetings shall be given in accordance with the requirements of Ohio Revised Code Section 121.22. Any person may obtain information regarding the time, date, and location of all meetings by contacting the Secretary to the Board or by visiting https://miamioh.edu/about-miami/leadership/president/bot/meeting-dates/index.html.

Section 5. Quorum.

A majority of the voting members of the Board, when duly convened, shall constitute a quorum. A majority of the voting members of the Board must be present at a duly convened meeting to vote on resolutions or ordinances.

Section 6. Parliamentary Authority.

Robert's Rules of Order, Newly Revised (most recent edition), shall be accepted as authority on all questions of parliamentary procedure not determined by these Regulations or provisions of the Revised Code of the State of Ohio.

Section 7. Election of Officers.

At the meeting in September of each year, the Chair shall appoint a nominating committee of three Trustees. The committee will be charged with proposing a slate of the following officers, selected from the voting members of the Board: a Chair and a Vice Chair. At the meeting in December of each year, the Board shall consider the proposed slate and elect from the voting members of the Board: a Chair and a Vice Chair. These officers shall hold their respective office from January 1 through December 31 of the year succeeding their election and until their respective successors have been elected, so long as they shall continue to be trustees.

Section 8. Duties of Officers.

The Chair shall preside at all meetings and appoint committees. The Chair serves as an ex-officio member of all Board committees, except the nominating committee. The Chair is responsible for establishing the agenda for Board meetings.

The Vice Chair serves in the absence of the Chair and assists the Chair at the latter's request.

Section 9. Vacancy in Office.

In the event of a vacancy in the office of Chair, the Vice Chair shall become Chair. The Chair shall then nominate a Vice-Chair to fill the remainder of Vice Chair term. The office of Vice Chair shall be confirmed at the next regular or special meeting of the Board.

Section 10. Minutes.

Minutes of each meeting of the Board shall be distributed to the members of the Board within thirty (30) days after the meeting. All minutes shall be signed by the Secretary to the Board.

Section 11. Reimbursement for Expenses.

All trustees are entitled to reimbursement for travel expenses incurred in attending meetings of a committee or of the Board, and reimbursement of expenses incurred in attending meetings as a representative of the Board, in accordance with law.

Article III: Committees of the Board.

Standing and special committees are appointed by the Chair of the Board of Trustees. Each committee shall report its recommendations for action to the Board of Trustees. Each committee shall have a chair and vice chair.

Section 1. Finance and Audit Committee.

CHARGE

The Finance and Audit Committee (the "Committee") is appointed by the Chair of the Board of Trustees, with the primary function of assisting the Board of Trustees with its oversight responsibilities in the following areas:

- The University's long-term financial plans.
- The University's financial reporting, internal controls and the independent audit.
- The University's budget.
- The University's capital expenditures for facilities and property.
- The University's investments.
- The University's sponsored retirement plans.
- The University's internal audit activities.

- The University's processes for monitoring compliance with University policies, including ethical conduct requirements and applicable state and federal laws and regulations.
- The University's risk assessment process.

The Charter of the Finance and Audit Committee is included in Appendix A of these Regulations.

COMMITTEE MEMBERSHIP

The Committee shall consist of no fewer than four members, including at least one student trustee. The Chair and Vice-Chair of the Committee shall be a "financial expert," as that term is defined by the Securities and Exchange Commission. One-third of the Committee, but not less than two members, shall constitute a quorum for the transaction of business. The Chair of the Board of Trustees shall appoint the Committee chair and vice-chair.

MEETINGS

The Committee shall meet as often as it determines necessary, but no fewer than four times per year. The Committee shall meet from time to time with the University's senior administrators, the internal auditors, and the independent auditors. The Committee shall maintain written minutes of its meetings.

The Committee shall make a report to the Board of Trustees at each regularly scheduled meeting. The Committee shall submit any proposed changes to the Committee charter to the Board of Trustees for approval. The Committee shall annually review its own performance.

Section 2. Academic and Student Affairs Committee.

CHARGE

The Academic and Student Affairs Committee (the "Committee") is appointed by the Chair of the Board of Trustees, with the primary function of assisting the Board of Trustees with its oversight responsibilities in the following areas:

- The University's long-term academic plans.
- The University strategic enrollment plans.
- The University's retention and graduation rate plans and goals.
- The University's research activities.
- The University's role in the University System of Ohio.
- Student life.
- Campus safety and student life risk management.
- The University's Communications and Marketing plans.

The Charter of the Academic and Student Life Committee is included in Appendix B of these Regulations.

COMMITTEE MEMBERSHIP

The Committee shall consist of no fewer than four members including at least one student trustee. One-third of the Committee, but no fewer than two members, shall constitute a quorum for the transaction of business. The Chair of the Board of Trustees shall appoint the Committee chair and vice-chair.

MEETINGS

The Committee shall meet as often as it determines necessary, but no fewer than four times per year. The Committee shall meet from time to time with the University's senior administrators. The Committee shall maintain written minutes of its meetings.

The Committee shall make a report to the Board of Trustees at each regularly scheduled meeting. The Committee shall submit any proposed changes in the Committee's charter to the Board of Trustees for approval. The Committee shall annually review its own performance.

Section 3. Foundation Board.

At the meeting in September of each year, the Chair of the Board of Trustees shall appoint a member of the Board of Trustees (normally the Chair of the Board of Trustees), and a second Trustee to serve as appointed Directors to the Miami University Foundation Board of Directors, from January 1 to December 31 of the succeeding calendar year.

Any amendments to the Miami University Foundation's Code of Regulations require the approval and consent of the Board of Trustees given by the affirmative vote of 2/3 of all voting Trustees.

ARTICLE IV: ADMINISTRATIVE OFFICERS OF THE UNIVERSITY

Section 1. Administrative Officers.

The administrative officers of the University are the President, Provost and Executive Vice President for Academic Affairs, Senior Vice President for Finance and Business Services and Treasurer, General Counsel and Secretary to the Board.

Section 2. President.

The President of Miami University shall be appointed by the Board of Trustees and shall be the chief administrative officer of the University responsible for the operation of the University as a whole. The Board delegates responsibility for the operations of the

University to President, who has authority to sign on behalf of the University all necessary documents and papers pertaining to the operating needs of the University.

The President has authority to delegate signature authorization to other appropriate administrative personnel of the University for all necessary contracts, documents and papers pertaining to the operating needs of the University, provided that such designation of authority is in writing and on file in the Office of the General Counsel.

On an annual basis, typically at the September Board meeting, Board shall consider the performance and establish the salary for the President, and the amount of any other payment(s) due, as required by the terms of the President's employment contract. The Board retains sole responsibility for the hiring of the President and determining the size, composition and selection procedures of any presidential search advisory committee. The Chair of the Board of Trustees is authorized to select and engage an external search firm to aid in a presidential search. The Chair of the Board has the authority to enter into a contract of employment for the President following consultation with the Board.

Section 3. Provost and Executive Vice President for Academic Affairs.

The Provost and Executive Vice President for Academic Affairs of Miami University shall be appointed by the President and elected by the Board of Trustees. The Provost shall be the chief educational officer of the University under the President responsible for general supervision of instructional programs. In the absence of the President, the Provost and Executive Vice President for Academic Affairs shall be the chief administrative officer of the University.

Section 4. Senior Vice President for Finance and Business Services and Treasurer.

The Senior Vice President for Finance and Business Services and Treasurer shall be appointed by the President and elected by the Board of Trustees. The Senior Vice President serves as the chief business and financial officer of the University under the President responsible for the (1) business affairs of the University including general direction and supervision over new construction, the maintenance and operation of the physical plant, the procurement and distribution of supplies, the management of residence halls and dining facilities, the operation of other auxiliary services, the administration of non-academic personnel serving in civil service classifications, and the security of University property and (2) financial affairs of the University including the collection of all accounts due, the custody of funds, the disbursements of accounts payable, the preparation of vouchers payable by the State of Ohio, the control of financial records, the rendering of proper financial reports, the provision of bookkeeping and auditing service to student organizations, and all necessary liaison with State financial officers.

The Senior Vice President serves as the Treasurer for the University and is responsible for establishing such accounts as may be necessary to carry on the operation of the University. The Treasurer shall designate depositories for the custody of funds of the University. Investment of funds may be made as authorized by the Board.

Section 5. General Counsel.

The General Counsel is shall be appointed by the Board of Trustees upon nomination of the President. The General Counsel shall be responsible for the coordination and supervision of all legal matters involving Miami University and the Board of Trustees, and its officers and employees acting on behalf of the University or its affiliated entities. The General Counsel also serves as the University's chief ethics officer and is responsible for reporting complaints and compliance issues to the Board of Trustees and its Finance and Audit Committee as described in the Board's Complaint/Compliance Communication Protocol included as Appendix C to these Regulations.

Section 6. Secretary to the Board.

The Secretary to the Board shall be appointed by the Board of Trustees upon nomination of the President. The Secretary shall prepare and keep the records of the Board and shall be responsible for the safekeeping of the seal and other official insignia of the University. As custodian of the University seal, the Secretary shall affix it to such instruments as require its use and when so done shall attest it by his or her signature. This officer shall sign all documents requiring the signature of the Secretary as an officer of the corporation. The Secretary shall have custody of the minute books and papers relating to the records of the Board. The Secretary shall perform other duties and responsibilities not specifically assigned to another officer by these regulations as the Board or the President may direct.

Section 7. Authority.

The President, Provost and Executive Vice President for Academic Affairs, Senior Vice-President for Finance and Business Services and Treasurer, General Counsel and the Secretary to the Board are the administrative officers of the University; the Secretary to the Board shall also serve as Secretary of the corporation. The President shall have the power to appoint additional principal administrative officers, subject to approval by the Board of Trustees.

Subject to the Board's signature authorizations, the administrative officers of the University have the authority to execute transfers of real estate, lease of University property or leases of real property for the benefit of the University. The administrative officers are also authorized to buy, sell, assign, transfer and convey securities belonging to the University or delegate authority for the same.

Section 8. Power of President to Designate Committees.

The President and those authorized by the President shall have authority to appoint advisory committees to advise him/her on affairs relating to the University. These committees shall have such responsibilities as are assigned to them. Advisory Committees may be discharged at any time.

ARTICLE V: EDUCATIONAL PROGRAM OF THE UNIVERSITY

Section 1. Academic Divisions.

Miami University consists of the following major academic divisions:

- College of Arts and Science
- College of Education, Health and Society
- Farmer School of Business
- College of Creative Arts
- College of Engineering and Computing
- Graduate School
- College of Professional Studies and Applied Sciences

And such other divisions as the President may from time to time determine.

Section 2. Fields of Study.

The provision of major fields of study and the determination of the degrees appropriate thereto shall be approved by the Board.

Section 3. Content of Educational Program.

The content of the educational program shall be determined by the University Senate (subject to the right of initiative and referendum of the Faculty Assembly) and approved by the President.

Section 4. Deans.

Each of the major academic divisions of the University shall be headed by an Academic Dean to be appointed by the President, upon the recommendation of the Provost. Each Academic Dean shall be responsible for general educational supervision of the departments, faculty, and curricula in the college or school of which he or she is Dean.

Article VI: Signature Authority.

Section 1. Contracting Authority.

No contract, agreement, promise, bank account, or other financial undertaking in the name of Miami University or purporting to be made for, or on behalf of any campus, college, school, department, division or other part of the University may be made by any of its officers, agents, or employees or by any student, faculty, or alumni organization,

enterprise, or association whatever, unless the same shall have been first authorized by the Board of Trustees of the University or as authorized these Regulations.

Whenever the Board of Trustees has authorized or ratified the making of any contract or the execution of a written instrument of any kind, the President, the Provost and Executive Vice President for Academic Affairs and the Senior Vice President for Finance and Business Services shall, without additional authorization by the Board, each be authorized to execute and deliver such documents as may be necessary or proper for the performance of the University's obligations thereunder.

Signature Authorizations are included in Appendix D.

ARTICLE VII: EMPLOYMENT

Section 1. Staff.

The policies that govern the terms and conditions of employment of the unclassified administrative staff and classified staff are subject to approval by the President.

Section 2. Faculty.

Tenure is a method of assuring academic freedom; that is, the freedom to teach, to inquire, to create, to debate, to question, and to dissent. Tenure represents a long-term financial commitment to the extent allowable under the laws of the State of Ohio. Miami has no specified or implied limits on the percentage of the instructional staff who may be granted tenure. Each candidate for tenure is judged individually, not relative to other candidates. If an individual demonstrates the high quality of professional performance expected by Miami, tenure will be conferred, regardless of how many other candidates may be considered in a given year. However, it is not anticipated that all individuals appointed to tenurable ranks will be able to demonstrate the high quality of professional performance required to achieve tenured status.

The Board retains full authority for policies that govern the terms and conditions of employment and tenure of the faculty.

Section 3. Compensation.

The Board authorizes the President and the Provost, within the budgetary resources of the University, to employ and set compensation for members of the instructional staff and unclassified administrative staff employed in Academic Affairs.

The Board designates the President and the Senior Vice President for Finance and Business Services as the appointing authority to employ and set compensation for members of the unclassified administrative staff, classified staff and law enforcement officers within the budgetary resources of the University.

ARTICLE VIII: STUDENTS

Section 1. Admission.

General policies on the admission of students to the University may be fixed from time to time by the Board upon recommendation of the President, or the University Senate with the approval of the President.

Section 2. Conduct.

Good conduct and deportment is expected of every student at all times. Regulations governing student conduct may be recommended from time to time by the University Senate or the Student Life Council; such recommendations shall be subject to the approval of the Vice President for Student Life and the President.

ARTICLE IX: THE UNIVERSITY SENATE

Section 1. Authority.

The University Senate is the primary university governance body where students, faculty, staff and administrators debate university issues and reach conclusions on the policies and actions to be taken by the institution. The University Senate is the legislative body of the University in matters involving educational programs, requirements, and standards; faculty welfare; and student conduct. The Board of Trustees delegates to University Senate primary responsibility for curriculum, programs, and course offerings and advisory responsibility on all matters related to Miami University.

The Board of Trustees reserves the right to consider, approve, modify, or reject actions taken by the University Senate. Amendments to the Enabling Act of University Senate and Faculty Assembly must be approved by the Board of Trustees.

ARTICLE X: THE FACULTY ASSEMBLY

Section 1. Chair.

The Faculty Assembly is chaired by the President of the University who has responsibility for the preparation of the agenda for meetings.

Section 2. Membership.

Faculty Assembly is comprised of all members of the faculty who hold tenure or a tenure track position; all librarians who hold the rank of Assistant Librarian, Associate Librarian, or Principal Librarian; and all members of the faculty who hold the rank of Lecturer (including Senior rank)* or Clinical/Professionally Licensed Faculty (including Senior rank)*.

*Lecturers and Clinical/Professionally Licensed Faculty are members of the Faculty Assembly for the purpose of qualifying them to serve on the University Senate. Membership in Faculty Assembly does not qualify Lecturers or Clinical/Professionally

Licensed faculty to serve on committees whose membership is restricted to faculty in tenure-eligible ranks.

Section 3. Authority.

Faculty Assembly hears reports from its committees and from the President, the Chair of Senate, and other Vice Presidents. It may propose, debate, and recommend matters for Senate consideration or for presentation to other officials or administrative bodies. It may also challenge and refer back any University Senate action. Faculty Assembly has the right of initiative and referendum. Such action shall be considered as tantamount to University Senate action.

The Faculty Assembly may raise questions and offer comments. It may discuss matters affecting Miami University and its environment.

The Board of Trustees reserves the right to consider, approve, modify, or reject actions of Faculty Assembly. Amendments to the Enabling Act of University Senate and Faculty Assembly must be approved by the Board of Trustees.

ARTICLE XI: BUDGET AND FINANCIAL ADMINISTRATION

Section 1. Annual Budget.

The annual operating budget as adopted by the Board of Trustees, and as amended from time to time, shall govern all financial transactions of the University. This budget shall be presented in such form as the President may determine or as required by law.

The Senior Vice President for Finance and Business Services and Treasurer, with the approval of the President, may make such adjustments as are necessary in the operating budget within the limits of available funds or within the limits of additional income received for a specific purpose.

Section 2. Gifts.

In accordance with University policy, as approved by the President, the University may accept gifts.

Section 3. State Appropriations.

The preparation and presentation of requests for appropriations from the State of Ohio and all official financial dealings on behalf of the University with all federal, state, and local government offices, boards, and agencies shall be under the direction of the President of the University. Appearances in the name of the University before federal, state, or local government offices, boards, and agencies shall have prior authorization from the President.

Section 4. Tuition and Fees.

All instructional and general fees (tuition), special purpose, course and miscellaneous fees collected by the University from students shall be fixed by the Board. The President or such persons as the President may designate may determine appropriate charges to be made to groups using the facilities of the University or receiving other auxiliary services. The Board delegates to the Senior Vice President for Finance and Business Services the authority to establish non-academic user fees.

Section 5. Annual Audit.

There shall be an annual audit of all funds not examined by the Auditor of the State of Ohio. This audit shall be arranged by the Treasurer of the University subject to the approval of the Board of Trustees.

ARTICLE XII: CAMPUS FACILITIES

Section 1. Naming of Facilities.

The Board of Trustees has sole authority to approve, remove or change the name of a campus facility.

ARTICLE XIII: INTERCOLLEGIATE ATHLETICS

Section 1. Authority.

The University shall engage in intercollegiate athletic competition in such sports as are approved by the President and the Board of Trustees.

ARTICLE XIV: HONORARY DEGREES

Section 1. Authority to Grant Honorary Degrees.

The authority to grant honorary degrees from Miami University resides solely with the Board of Trustees. The Board grants to the President of the University the discretionary authority to confer an honorary degree upon the speakers at any Commencement and the Charter Day convocation.

ARTICLE XV: Values Statement

Miami University is a scholarly community whose members believe that a liberal education is grounded in qualities of character as well as of intellect. We respect the dignity of other persons, the rights and property of others, and the right of others to hold and express disparate beliefs. We believe in honesty, integrity, and the importance of moral conduct. We defend the freedom of inquiry that is the heart of learning and

combine that freedom with the exercise of judgment and the acceptance of personal responsibility.

ARTICLE XVI: INSIGNIA

Section 1. Colors of Miami University.

The official colors of Miami University shall be crimson and white. (Vol. 5, page 54, Minutes of the Board of Trustees, September 18, 1902.)

Section 2. Seal of the Miami University.

“A seal has been provided of the description following, to wit: Around the outer circle thereof are the words, ‘Sigillum Universitatis Miamiensis.’ Within the circle are an open book, a globe, and a telescope, surmounted by these words as a motto: ‘Prodesse quam conspici.’ That the said seal be, and the same is hereby adopted as the seal of the Miami University.” (Vol. 1, pages 176-177, Minutes of the Board of Trustees, September 26, 1826.)

Section 3. Motto of the Miami University.

The motto of the University shall be “Prodesse quam conspici,” which may be translated “To Accomplish Rather Than to be Conspicuous,” or “It Is Better to be Useful Than Conspicuous.”

Section 4. Flag of Miami University.

The flag of Miami University shall be made in accordance with the following specifications: standard American flag proportions (generally 4 feet x 6 feet) with a field divided by a diagonal bar half crimson (coccinum), half white (album); the predominate color of crimson to be that half of the flag above a diagonal bar from the bottom of the hoist at the mast to the upper corner of the fly end; the subordinate color of white to be that half of the flag below a diagonal bar from the bottom of the hoist to the upper corner of the fly end. Satin or similar materials shall be used. In all instances, the National Flag Code shall be followed when displaying the flag of the University. Whenever the flags of the State of Ohio and Miami University are displayed together, the latter shall be subordinate to the former.

ARTICLE XVII: REGULATIONS: THEIR PROMULGATION, AMENDMENT, AND REPEAL

Section 1. Amendment.

These Regulations may be amended at any meeting of the Board of Trustees by a majority vote of the members present and voting, provided that at least fourteen days' notice of such proposed amendment has been given in writing to each member.

Section 2. Previous Enactments of the Board.

Nothing herein contained shall invalidate any lawful action taken under any regulation, ordinance, rule or regulation for the government of Miami University previously enacted by the Board of Trustees.

Section 3. Effective Date of Implementation.

These Regulations shall be in full force and effect from the date of their enactment by the Board or upon such date as specified by the Board of Trustees.

Codification: June 1909

Revised: June 1954, February 1960, June 1969. Amended: September 1973, July 1978, February 1979, June 1982, September 1982, December 1982, October 1983, April 1985, July 1987, March 1988, August 1988, August 1989, December 1989, June 1990, September 1991, December 1997, June 1998, September 1999, June 2000. Revised: January 2001 (to reflect 1986 amendment, R86-42, to Article IV, Sections 2 and 12). Amended: April 2001, June 2002, June 2004, February 2005. Revised: February 2007 (to reflect Business School name change). Amended: February 2008. Revised: May 2010 (to reflect termination of School of Interdisciplinary degrees). Amended: April 2011, December 2011, April 2012, September 2012, July 2013, September 2014, June 2015, September 2016, December 2016, February 2017, April 2017, December 2017. Revised: May 2018 (to reflect the Division of Student Life name change). Amended: December 2018, February 2019.

Prepared by the Secretary to the Board

Quick Links

- Article I. Members of the Board of Trustees, Their Powers, and National Trustees
- Article II. Meetings and Organization of the Board of Trustees
- Article III Committees of the Board
- Article IV Administrative Officers of the University
- Article V. Educational Program of the University
- Article VI. Signature Authority
- Article VII. Employment
- Article VIII. Students

- Article IX. The University Senate
- Article X. Faculty Assembly
- Article XI. Budget and Financial Administration
- Article XII. Campus Facilities
- Article XIII. Intercollegiate Athletics
- Article XIV. Honorary Degrees
- Article XV. Values Statement
- Article XVI. Insignia
- Article XVII Regulations: Their Promulgation, Amendment, and Repeal

Board of Trustees

February 2020

MIAMI UNIVERSITY

University Advancement Report

Tom Herbert, J.D.

Senior Vice President, University Advancement

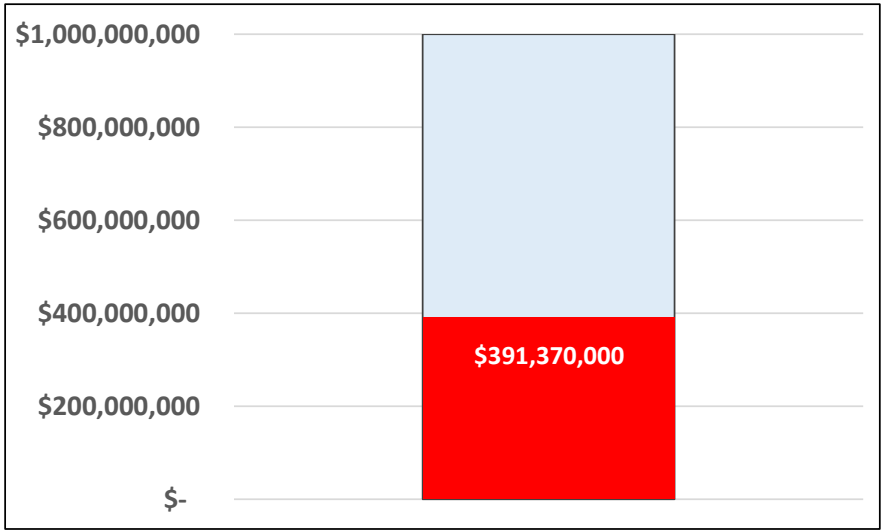
President, Miami University Foundation

MIAMI UNIVERSITY

$1B Campaign Progress Report

- Goal: $1 billion
- Raised to date: $391.4 million (39% of goal)

$1B Campaign Progress Report



FY'20 Fundraising To Date

- Goal: $100 million
- Raised to date: $52.2 million (51% of goal)
  - Last FY: $25.5 million
- Solicitation activity in FY20:
  - 17 asks of $1M+ ($75 million)
  - 381 asks of $50-999k ($45 million)
  - In addition, expected close on $48 million of previous asks

MIAMI UNIVERSITY

FY'20 Cash Received

- Goal: $40 million
- Raised to date: $26.6 million (67% of goal)
  - Last FY: $25.3 million
- Cash to Endowment: $16.7 million
  - Last FY: $9.7 million

CY'19 Totals

- Fundraising Commitments: $84.5 million (second-best calendar year)
- Cash Received: $42.1 million (fourth-best calendar year)

Farmer School of Business Campaign

- Seven year campaign for $250 million
- Timeline: July 1, 2016 - June 30, 2023
- Raised to date: $73.8 million
- Cash received: $25.6 million

Campaign Drivers

- Honors College in refinement
- Clinical Health Building – schematics in hand
- Alumni Center – schematics in refinement; naming explored
- Digital Innovation Center – location in place; further schematics to be developed

MIAMI UNIVERSITY

Campaign Drivers

- Farmer School of Business Dean's Search
- College of Engineering and Computing Dean's Search
- Training Deans for fundraising through a CASE conference
- Continued exploration of corporate partnerships
  - Cleveland Clinic, etc.

MIAMI UNIVERSITY

Campaign Volunteer Future Structure

- Early stages– fully in place when campaign “Goes Public”
  - National Campaign Steering Committee
  - Regional Campaign Committees
  - Corporate and Foundation Committee

Campaign Volunteers Now

- Working with the Foundation Board for high level prospect identification
- Working with a Scholarship Task Force
- Asking *all* board volunteers across Miami for a campaign gift and their contacts

MIAMI UNIVERSITY

Thank you!

MIAMI UNIVERSITY